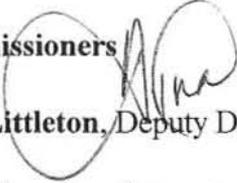


**CALIFORNIA GAMBLING CONTROL COMMISSION**

Address: 2399 Gateway Oaks Drive, Suite 220 • Sacramento, CA 95833-4231

Phone: (916) 263-0700 • FAX: (916) 263-0452

**Memorandum**

To : Commissioners 

Via : Tina Littleton, Deputy Director, Licensing Division

From : Jim Allen, Regulatory Actions Manager 

Subject : 2011 RULEMAKING CALENDAR

Date : January 3, 2011

Agenda Item # 8**PURPOSE**

This is to inform the Commission of the completion of the Commission's annual Rulemaking Calendar, and to recommend approval of the calendar for filing with the Office of Administrative Law (OAL) and publication in the *California Regulatory Notice Register (Register)*.

**BACKGROUND**

The law requires state agencies with rulemaking authority to prepare a Rulemaking Calendar by January 30<sup>th</sup> each year, for submission to OAL, covering the regulatory actions proposed for that calendar year. The calendar must be (1) prepared in accordance with the format specified by OAL; (2) approved by the Commission or the Commission's designee; and (3) published in the *Register*. [Gvt. Code § 11017.6]

The calendar consists of two schedules:

1. Schedule A, which describes the rulemaking necessary to implement statutes enacted during the previous year. This schedule must include, for each of the agency's regulatory proposals, the projected dates for each major step in the formal rulemaking process, the organizational unit responsible for the rulemaking proposal, and the name and telephone number of a contact person. This schedule must also be sent to the author of each statute enacted during the previous year for which the Commission has responsibility, together with an explanation of the priority given to the statute in the rulemaking calendar. [Gvt. Code § 11017.6(b)]
2. Schedule B, which describes all other rulemaking that may be proposed in order to implement, interpret or make specific statutes enacted prior to the previous year. This schedule must contain the same information required to be contained in the other schedule. In addition, it must include a report on the status of all uncompleted rulemaking that has been described in previous calendars.

The attached 2011 Rulemaking Calendar was prepared from last year's calendar and the current rulemaking status and priority schedule. Agencies are not precluded from adopting a regulation not included in their calendars if the regulation "is required by circumstances not reasonably anticipated at the time the rulemaking calendar is prepared." [Gvt. Code § 11017.6] However, to be as informative as possible, an effort was made to list all rulemaking that could possibly be pursued in the upcoming year. For this reason, some of the subjects listed are relatively broad in order to cover those proposals that are still in the conceptual development phase. Other subjects are more specific as they relate to proposals where the concept has already been developed or the formal process has been or is about to be commenced. All of the dates included for each rulemaking are estimates, subject to change and not binding on the Commission. In other words, the rulemaking calendar is only an estimation of what might be pursued and when, and is subject to changing events and priorities.

---

**ACTION NEEDED** It has been the past practice to include the review and approval of the calendar on a Commission meeting agenda. If the calendar meets with your approval, staff already has delegated authority to submit it to OAL for publication.

---

**DUE DATE** The Rulemaking Calendar is due at OAL by February 11, 2011. Approval at the Commission's January 27, 2011 meeting will allow OAL's deadline to be met.

---

**ATTACHMENTS** ☞ 2011 Rulemaking Calendar – Schedules A & B

---

**COPIES TO** Joe Dhillon, Acting Executive Director  
Anna Carr, Deputy Director

---

**CALIFORNIA GAMBLING CONTROL COMMISSION  
2011 RULEMAKING CALENDAR**

**SCHEDULE A: PROPOSED REGULATIONS IMPLEMENTING STATUTES ENACTED DURING THE YEAR 2010**

Subject:  Delinquency Fees for Late Submission of an Application to Renew a Gambling License	CCR Title & Sections Affected:  Title 4, § 12008	Statutes Being Implemented:  Chapter 553, Statutes of 2010 (AB 2596, Portantino); Bus. & Profs. Code § 19876			
Responsible Agency Unit:  Licensing Division	Contact Person & Phone Number:  James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice:  Aug 2011	Hearing:  Oct 2011	Adoption:  Nov 2011	To OAL:  Dec 2011

**SCHEDULE B: PROPOSED REGULATIONS IMPLEMENTING STATUTES ENACTED PRIOR TO THE YEAR 2010**

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Phase III – Gaming Floor Operations and Play of Controlled Games.	CCR Title & Sections Affected: Title 4, § 12391	Statutes Being Implemented: Bus. & Profs. Code §§ 19841, 19920 and 19824			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Jan 2011	Hearing: Mar 2011	Adoption: Apr 2011	To OAL: May 2011

Subject: Approval of Bingo Card Minding Devices; Certificate of Compliance (COC) Re: OAL File No. 2009-0619-02 EE.	CCR Title & Sections Affected: Title 4, § 12482	Statutes Being Implemented: Bus. & Profs. Code §§ 19850.5 and 19850.6; and P.C. § 326.5			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Feb 2011	Hearing: Apr 2011	Adoption: May 2011	To OAL: Jun 2011

Subject: Licensing of Manufacturers and Distributors of Bingo Card-Minding Devices, and Remote Caller Bingo Equipment. COC Re: OAL File No. 2009-0414-02 E.	CCR Title & Sections Affected: Title 4, § 12484	Statutes Being Implemented: Bus. & Profs. Code §§ 19850.5 and 19850.6; and P.C. §§ 326.3 and 326.5			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Feb 2011	Hearing: Apr 2011	Adoption: May 2011	To OAL: Jun 2011

<b>Subject:</b> Request for Approval Regarding Security Interests and Secured Transactions; Request for Approval of Transactions – Stock Purchases, Transfer of Shares, Purchase and Sales Agreements; Temporary Gambling Licenses – Conditions; Licensing of Receivers, Trustees, Beneficiaries, Executors, Administrators, Conservators, Successors in Interest, or Security Interest Holders (Ch. 233, Stats. 2009; AB 293, Mendoza).	<b>CCR Title &amp; Sections Affected:</b> Title 4, §§ 12070, 12072, 12074, 12343, 12344, 12349, 12358 and 12359	<b>Statutes Being Implemented:</b> Bus. & Profs. Code § 19824, 19852, 19853, 19892, 19900, 19901, 19902, 19903, 19904 and 19951			
<b>Responsible Agency Unit:</b> Licensing Division	<b>Contact Person &amp; Phone Number:</b> James Allen (916) 263-4024	<b>Projected Dates:</b>			
		<b>Notice:</b> Mar 2011	<b>Hearing:</b> May 2011	<b>Adoption:</b> Jun 2011	<b>To OAL:</b> Jul 2011

<b>Subject:</b> Approval of Gambling Establishment Relocations	<b>CCR Title &amp; Sections Affected:</b> Title 4, § 12343	<b>Statutes Being Implemented:</b> Bus. & Profs. Code §§ 19811, 19824, 19826, 19860, 19862, 19868 and 19851			
<b>Responsible Agency Unit:</b> Licensing Division	<b>Contact Person &amp; Phone Number:</b> James Allen (916) 263-4024	<b>Projected Dates:</b>			
		<b>Notice:</b> Apr 2011	<b>Hearing:</b> Jun 2011	<b>Adoption:</b> Jul 2011	<b>To OAL:</b> Aug 2011

<b>Subject:</b> Establishment of Criteria for the Approval of Remote Caller Bingo Controls, Methodologies and Standards of Game Play; and Approval of Remote Caller Bingo Equipment, Including Transmission Equipment. COC Re: OAL File No. 2009-0508-02 E.	<b>CCR Title &amp; Sections Affected:</b> Title 4, §§ 12490 and 12492	<b>Statutes Being Implemented:</b> Bus. & Profs. Code §§ 19850.5 and 19850.6; and P.C. § 326.3.			
<b>Responsible Agency Unit:</b> Licensing Division	<b>Contact Person &amp; Phone Number:</b> James Allen (916) 263-4024	<b>Projected Dates:</b>			
		<b>Notice:</b> May 2011	<b>Hearing:</b> Jul 2011	<b>Adoption:</b> Aug 2011	<b>To OAL:</b> Sep 2011

Subject: Minimum Internal Control Standards (MICS) for Gambling Establishments: Phase IV – Chips, Cards and Other Gambling Equipment.	CCR Title & Sections Affected: Title 4, § 12393.	Statutes Being Implemented: Bus. & Profs. Code §§ 19841, 19920 and 19824.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Jun 2011	Hearing: Aug 2011	Adoption: Sep 2011	To OAL: Oct 2011

Subject: Application Abandonments and Hearing Procedures	CCR Title & Sections Affected: Title 4, §§ 12048 and 12050.	Statutes Being Implemented: Bus. & Profs. Code §§ 19804, 19811, 19823, 19824, 19840, 19841, 19870, 19872, 19893, and 19951.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Jul 2011	Hearing: Sep 2011	Adoption: Oct 2011	To OAL: Nov 2011

Subject: General Regulation Reorganization, Revision and Clean Up	CCR Title & Sections Affected: Title 4, Div. 18, § 12002, et seq.	Statutes Being Implemented: Gambling Control Act (Bus. & Profs. Code, Div. 8, Ch. 5, § 19800, et seq.)			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Aug 2011	Hearing: Oct 2011	Adoption: Nov 2011	To OAL: Dec 2011

Subject: Accounting and Financial Reporting.	CCR Title & Sections Affected: Title 4, §§ 12400-12406.	Statutes Being Implemented: Bus. & Profs. Code §§ 19805 and 19841.			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Sep 2011	Hearing: Nov 2011	Adoption: Dec 2011	To OAL: Jan 2012

Subject: Self-Exclusion Program and Self-Exclusion Form Updates	CCR Title & Sections Affected: Title 4, § 12464	Statutes Being Implemented: Bus. & Profs. Code §§ 19801, 19920 and 19954; WI Code § 4369.4			
Responsible Agency Unit: Licensing Division	Contact Person & Phone Number: James Allen (916) 263-4024	<b>Projected Dates:</b>			
		Notice: Oct 2011	Hearing: Dec 2011	Adoption: Jan 2012	To OAL: Feb 2012

**Report on the status of all uncompleted rulemaking described on previous calendars:**

- Licensing of Receivers, Trustees, Beneficiaries, Executors, Administrators, Conservators, Successors in Interest, or Security Interest Holders (Ch. 233, Stats. 2009; AB 293, Mendoza). Combined with Approval of Security Interests and Secured Transactions; Approval of Transactions – Stock Purchases, Transfer of Shares, Purchase and Sales Agreements; and Temporary Gambling Licenses. Combined proposal remains in the conceptual planning and drafting stage.
- Remote Caller Bingo and Bingo Card-Minding Devices:  
The effective terms of all emergency regulations adopted before July 1, 2009 were extended to December 31, 2009 by SB 126, Cedillo (Ch 562, Stats. 2009). The development of permanent regulations to replace the emergency interim regulations is continuing.
- Minimum Internal Control Standards (MICS) for Cardrooms:  
The MICS regulations were separated into focused categories or phases. Phase I was completed in 2009. Phase II was completed in 2010. Public/Industry workshops on the third and fourth phases are planned for January and May 2011 respectively. All other phases remain in the conceptual planning and drafting stage.
- Licensing Issues:
  - a. Application Abandonment combined with Hearing Procedures. Combined proposal remains in the conceptual planning and drafting stage.
  - b. Request for Approval of Security Interests and Secured Transactions. See “Licensing of Receivers, Trustees, Beneficiaries ...” above.
  - c. Temporary Gambling Licenses. See “Licensing of Receivers, Trustees, Beneficiaries ...” above.
  - d. Licensing of Gambling Equipment Manufacturers and Distributors. Proposal discontinued.
  - e. Temporary Work Permits – Clean-Up. Consolidated in “General Regulation Reorganization, Revision and Clean Up.” Combined proposal remains in the conceptual planning and drafting stage.
- Redundant Definitions. Consolidated in “General Regulation Reorganization, Revision and Clean Up.” Combined proposal remains in the conceptual planning and drafting stage.
- Class III Gaming Devices; Transportation & Software Testing/Approval. Proposal discontinued.
- Accounting and Financial Reporting. Conceptual planning and drafting continuing. Superseded by higher priority projects. Some elements may be addressed in the MICS regulations.