

California Gambling Control Commission
Attachment A
May 29, 2014 Commission Meeting

Application for Approval of Renewal Tribal-State Compact Gaming Resource Supplier
Finding of Suitability (Pursuant to the Tribal-State Gaming Compact, section 6.4.5):
Happ Controls, Inc. (HCI):

Pfingsten Partners, LLC (PPL) – Parent Company of Pfingstern Partners, III, LP
Pfingsten Partners III, LP- Parent Company of Pfingstern Executive QP Fund III, LP
Pfingsten Executive QP Fund III, LP – Parent Company of Happ Controls II, LLC
Happ Acquisition, Inc. – Holding Company of HCI
Thomas Bagley – Senior Managing Director for Pfingsten Partners, LLC
James Brendel – President of Happ Controls, Inc.
Jeffery Cote – Chief Financial Officer of Pfingsten Partners, LLC
Frank Happ – Shareholder of Happ Controls II, LLC
James Norton – Senior Managing Director of Pfingsten Partners, LLC
John Underwood – Senior Managing Director of Pfingsten Partners, LLC
Thomas Happ – Executive Vice President of Marketing/Business Development