

1 CALIFORNIA GAMBLING CONTROL COMMISSION
2 SPECIFIC LANGUAGE OF PROPOSED REGULATIONS
3 EMERGENCY SANITATION PLAN
4 CGCC-GCA-2020-0X-E
5

6 Title 4. Business Regulations.
7 Division 18. California Gambling Control Commission.
8

9 CHAPTER 2.1. THIRD-PARTY PROVIDERS OF PROPOSITION PLAYER SERVICES:

10 REGISTRATION; LICENSING

11 ARTICLE 1. DEFINITIONS AND GENERAL PROVISIONS.

12 § 12200. Definitions.

13 ...

14 (b) ...

15 ...

16 (25) ...

17 (A) Owners, as defined in Section 12200, that are a natural person shall complete the form Level III
18 Supplemental Information-Individual (BGC-APP-034A (Rev. ~~0807/2017~~) for a level III investigation.

19 (B) Owners, as defined in Section 12200, that are not a natural person shall complete the form Level
20 III Supplemental Information-Business (BGC-APP-034B (Rev. ~~0807/2017~~) for a level III investigation.

21 ...

22 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
23 19805 and 19984, Business and Professions Code.
24

25
26 CHAPTER 3. CONDITIONS OF OPERATION FOR PROVIDERS OF PROPOSITION PLAYER SERVICES

27 AND GAMBLING BUSINESSES

28 ARTICLE 5. COMPLIANCE.
29

30 § 12292. Emergency Planning and Preparedness – Sanitation Plan.

31 (a) A primary owner under Chapter 2.1 or 2.2 must prepare and maintain an emergency sanitation
32 plan and conduct employee training on the content of their emergency sanitation plan and appropriate use
33 and disposal of all necessary equipment. An emergency sanitation plan must, at a minimum, comply with
34 the following standards:

35 (1) The emergency sanitation plan must be activated if the primary owner under Chapter 2.1 or 2.2
36 participates in the play of any controlled game where the operating gambling establishment is subject to a

1 state of emergency or other order and that state of emergency or other order is associated with a virus and
2 includes an isolation, stay at home, telework, teleconferencing, or physical distancing order(s).

3 (2) General Information

4 (A) Identify the job title(s) (including contact information such as phone number) of the person who
5 is responsible for:

6 1. Maintaining the emergency sanitation plan;

7 2. Implementing the emergency sanitation plan;

8 3. Coordinating training and documenting all required training related to the emergency sanitation
9 plan; and,

10 4. Conducting regular evaluations of the primary owner's operations for compliance with the
11 emergency sanitation plan.

12 (B) Identify contact information for the local health department for the jurisdiction in which the
13 gambling establishment is located and include all necessary contact information for communicating
14 information related to any outbreak among employees.

15 (3) Training Requirements

16 (A) Include procedures for providing all new employees with an orientation concerning the
17 emergency sanitation plan. New employee orientation must be completed within 60 days of the issuance
18 of a license or work permit, or the employee's start date, whichever is later. New employee orientation
19 may be conducted via an internal training program, an external training program, or by providing printed
20 or electronic training materials.

21 (B) Upon activation of the emergency sanitation plan, each employee must receive a reorientation
22 prior to beginning any work shift other than a work shift for receiving the orientation. This reorientation
23 may be conducted via an internal training program, an external training program, or by providing printed
24 or electronic training materials. An employee who had received the orientation or reorientation within the
25 previous three months would be exempt from this requirement.

26 (C) Records of employee completion of emergency sanitation plan orientation and reorientation must
27 be maintained in accordance with Section 12003, and must include the date of the training, the topics
28 covered, the name of the employee receiving the training, and the name of the employee responsible for
29 coordinating training. Training records may include, but need not be limited to, sign-in sheets, email
30 record of material being provided, and training certificates.

31 (D) The topics of the orientations must include, at a minimum, the following:

32 1. Information related to the virus for which the current or any past state of emergency or other order
33 had been issued including how to prevent the virus from spreading and which underlying health
34 conditions, as identified in any guidelines, requirements, or instructions provided by any federal agency,

1 such as the Center for Disease Control or the Occupational Safety and Health Administration, any other
2 state agency, such as the Department of Public Health, or by the local jurisdiction that may make certain
3 individuals more susceptible to contracting the virus;

4 2. How to self-screen at home, including temperature and/or symptom checks;

5 3. The importance of not coming to work if the employee or someone they live with has been
6 diagnosed with a virus for which the current or any past state of emergency or other order had been
7 issued, or the employee is exhibiting symptoms of the virus for which the current or any past state of
8 emergency or other order had been issued;

9 4. The importance of proper hygiene, including frequent handwashing with soap and water, including
10 scrubbing with soap for 20 seconds or using hand sanitizer with at least 60 percent ethanol or 70 percent
11 isopropanol when unable to get to a sink or handwashing station;

12 5. The importance of physical distancing, both at work and off work time;

13 6. Information on employer or government-sponsored leave benefits the employee may be entitled to
14 receive; and,

15 7. The purpose and proper use of face coverings; including:

16 i. Face coverings do not protect the wearer and are not personal protective equipment;

17 ii. Face coverings can help protect people near the wearer, but do not replace the need for physical
18 distancing and frequent handwashing;

19 iii. Face coverings must cover the nose and mouth;

20 iv. Employees must wash or sanitize hands before and after using or adjusting face coverings;

21 v. Avoid touching the eyes, nose, and mouth; and,

22 vi. Reusable face coverings must be washed after each shift.

23 (4) Employee and Public Health

24 (A) Provide that all employees must wear appropriate face coverings while at the gambling
25 establishment as well as all personal protective equipment in accordance with the emergency sanitation
26 plan. All employees must practice correct hygiene and hand washing, or use hand sanitizer if unable to
27 get to a sink or handwashing station, at least every 60 minutes.

28 (B) Provide that any shared equipment must be sanitized prior to being used by a different employee.

29 (C) Upon return to a floor bank, under the control of the TPPPS, as identified in subsection (c) of
30 Section 12387, all chips must be sanitized or kept out of rotation for a time period sufficiently long to
31 ensure that no viral threat remains (based upon the most current information available for the virus for
32 which the state of emergency or other order is associated).

33 (5) Sanitation Standards

1 (A) Any reusable face coverings or gloves must be properly cleaned, decontaminated, and maintained
2 after and between uses. Face coverings and protective gloves must never be shared between persons.

3 (B) The sanitation of shared equipment must include the use of a disinfectant that is on the
4 appropriate United States Environmental Protection Agency’s list of registered disinfectants, a diluted
5 household bleach solution at a ratio of five tablespoons (1/3rd cup) of bleach per gallon of water, or an
6 alcohol solution with at least 70 percent alcohol that is appropriate for the surface being cleaned.

7 (C) For any shared equipment that requires sanitization but is not recorded by the gambling
8 establishment’s surveillance system, the primary owner must maintain a record of all sanitizations
9 performed. This record must include the date and time of the sanitation and the name of the employee
10 who completed it.

11 (6) The requirements of this subsection do not exempt the primary owner from complying with any
12 other guidelines, requirements, or instructions provided by any federal agency, such as the Center for
13 Disease Control or the Occupational Safety and Health Administration, any other state agency, such as
14 the Department of Public Health, or by the local jurisdiction.

15 (b) Each applicant as a primary owner must submit to the Bureau one copy of a current emergency
16 sanitation plan together with those application documents required by either Section 12202 or 12222, as
17 applicable.

18 (c) Each registered or licensed primary owner must submit one copy of its current emergency
19 sanitation plan to the Bureau within 30 calendar days after the effective date of this section.

20 (d) If a primary owner’s emergency sanitation plan is revised, the primary owner must submit one
21 copy of its revised emergency sanitation plan to the Bureau within seven calendar days of the revision.

22 Note: Authority cited: Sections 19811, 19824, 19840, and 19841, Business and Professions Code. Reference:
23 Sections 19801, 19823, 19920, and 19984, Business and Professions Code.

24
25 **CHAPTER 6. GAMBLING LICENSES AND APPROVALS FOR GAMBLING ESTABLISHMENTS AND**
26 **OWNERS; PORTABLE PERSONAL KEY EMPLOYEE LICENSES**

27 **ARTICLE 2. GAMBLING LICENSES.**

28
29 **§ 12342. Initial Gambling License Applications; Required Forms; Processing Times.**

30 (a) ...

31 ...

32 (4) Gambling Establishment Supplemental Information for State Gambling License, BGC-APP-015C
33 (Rev. ~~0807/2017~~).

34 ...

1 Note: Authority cited: Section 19811, 19823, 19824, 19840, 19841, 19853(a)(3), 19860, 19862 and 19864, Business
2 and Professions Code. Reference: Sections 19811, 19824, 19826, 19860, 19862 and 19868, Business and
3 Professions Code.
4

5 **CHAPTER 7. CONDITIONS OF OPERATION FOR GAMBLING ESTABLISHMENTS**

6 **ARTICLE 1. GENERAL PROVISIONS.**

7

8 **§ 12364. Relocation of Gambling Establishment.**

9 ...

10 (b) An owner-licensee ~~must~~shall notify the Bureau of a planned relocation of a gambling
11 establishment at least 90 days in advance of the intended commencement of gambling operations at the
12 new location on the form entitled “Notice of Relocation,” CGCC-050 (~~Rev. New 0806/2012~~), which is
13 attached in Appendix A to this Chapter. A draft floor plan of the proposed gambling establishment
14 depicting, at a minimum, the location of the main cage, the count room, the surveillance room, and the
15 gaming area(s) ~~must~~shall accompany the notice to the Bureau.

16 (1) If the new location is more than 1,000 feet from any boundary line of its governing local
17 jurisdiction, the owner-licensee ~~must~~shall submit to the Bureau all of the following information and
18 documents, of which the information and documents specified in subparagraphs (A) through ~~(D)(E)~~,
19 inclusive, are to be submitted no later than 30 days prior to the Bureau's site visit conducted pursuant to
20 subsection (d):

21 ...

22 (C) A copy of the gambling enterprise's emergency sanitation plan for the proposed location,
23 prepared in compliance with Section 12371.

24 ~~(D)(E)~~ ...

25 ~~(E)(D)~~ ...

26 ~~(E)(E)~~ ...

27 ...

28 Note: Authority cited: Section 19811, 19823, 19824, 19840, 19841, 19853(a)(3), 19860, 19862 and 19864, Business
29 and Professions Code. Reference: Sections 19811, 19824, 19826, 19860, 19862 and 19868, Business and
30 Professions Code.
31

32 **ARTICLE 2. EMERGENCY PREPAREDNESS, SECURITY AND SURVEILLANCE PLANS.**

33

34 **§ 12370. Emergency Planning and Preparedness – Fire Safety and Evacuation Plan.**

35 (a) As required by California Code of Regulations Title 24, Part 9, Chapter 4 (commencing with
36 Section 401), and Title 19, Section 3.09, a gambling ~~enterprise establishment~~ ~~must~~shall prepare and
37 maintain a fire safety and evacuation plan, conduct emergency evacuation drills and conduct employee

1 training on the content of their fire safety and evacuation plan. Fire safety and evacuation plans,
2 emergency evacuation drills and employee training procedures adopted pursuant to this section ~~must~~
3 comply with, as applicable, California Code of Regulations Title 24, Part 9, Chapter 4 (commencing with
4 Section 401) and Title 19, Section 3.09, or those standards adopted by local ordinance pursuant to Health
5 and Safety Code section 13143.5.

6 ...

7 Note: Authority cited: Sections 19811, 19824 and 19840, Business and Professions Code. Reference: Sections
8 19801, 19823, 19841, 19860, 19920 and 19924, Business and Professions Code.
9

10 **§ 12371. Emergency Planning and Preparedness – Sanitation Plan.**

11 (a) A gambling enterprise must prepare and maintain an emergency sanitation plan and conduct
12 employee training on the content of their emergency sanitation plan and appropriate use and disposal of
13 all necessary equipment. An emergency sanitation plan must, at a minimum, comply with the following
14 standards:

15 (1) The emergency sanitation plan must be activated if the operating gambling establishment is
16 subject to a state of emergency or other order and that state of emergency or other order is associated with
17 a virus and includes an isolation, stay at home, telework, teleconferencing, or physical distancing order(s).

18 (2) General Information

19 (A) Identify the job title(s) (including contact information such as phone numbers) of the person who
20 is responsible for:

21 1. Maintaining the emergency sanitation plan;

22 2. Implementing the emergency sanitation plan;

23 3. Coordinating training and documenting all required training related to the emergency sanitation
24 plan; and,

25 4. Conducting regular evaluations of the gambling establishment for compliance with the emergency
26 sanitation plan.

27 (B) Identify contact information for the local health department for the jurisdiction in which the
28 gambling establishment is located and include all necessary contact information for communicating
29 information related to any outbreak among employees.

30 (C) Provide procedures for when the gambling enterprise is required by the state and/or public health
31 officials to close. This plan must include notification to the Chief of the Bureau and Executive Director
32 by email within 24 hours of closing. An outstanding gaming chip liability count must be conducted
33 within 24 hours of closure, with a notification to the Bureau of the results within 24 hours of the count
34 being completed.

35 (3) Training Requirements

Additions shown in underline; deletions shown in ~~strikeout~~.

Revision Date: July 24, 2020

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1 (A) Include procedures for providing all new employees with an orientation concerning the
2 emergency sanitation plan. New employee orientation must be completed within 60 days of the issuance
3 of a license or work permit, or the employee's start date, whichever is later. New employee orientation
4 may be conducted via an internal training program, an external training program, or by providing printed
5 or electronic training materials.

6 (B) Upon activation of the emergency sanitation plan, each employee must receive a reorientation
7 prior to beginning any work shift other than a work shift for receiving the reorientation. This
8 reorientation may be conducted via an internal training program, an external training program, or by
9 providing printed or electronic training materials. An employee who had received the orientation or
10 reorientation within the previous three months would be exempt from this requirement.

11 (C) Records of employee completion of emergency sanitation plan orientation and reorientation must
12 be maintained in accordance with Section 12003, and must include the date of the training, the topics
13 covered, the name of the employee receiving the training, and the name of the employee responsible for
14 coordinating training. Training records may include, but need not be limited to, sign-in sheets, email
15 record of material being provided, and training certificates.

16 (D) The topics of the orientations must include, at a minimum, the following:

17 1. Information related to the virus for which the current or any past state of emergency or other order
18 had been issued including how to prevent it from spreading and which underlying health conditions, as
19 identified in any guidelines, requirements, or instructions provided by any federal agency, such as the
20 Center for Disease Control or the Occupational Safety and Health Administration, any other state agency,
21 such as the Department of Public Health, or by the local jurisdiction that may make certain individuals
22 more susceptible to contracting the virus;

23 2. How to self-screen at home, including temperature and/or symptom checks;

24 3. The importance of not coming to work if the employee or someone they live with has been
25 diagnosed with a virus for which the current or any past state of emergency or other order had been
26 issued, or the employee is exhibiting symptoms of the virus for which the current or any past state of
27 emergency or other order had been issued;

28 4. The importance of proper hygiene, including frequent handwashing with soap and water, including
29 scrubbing with soap for 20 seconds or using hand sanitizer with at least 60 percent ethanol or 70 percent
30 isopropanol when unable to get to a sink or handwashing station;

31 5. The importance of physical distancing, both at work and off work time;

32 6. Information on employer or government-sponsored leave benefits the employee may be entitled to
33 receive; and,

34 7. The purpose and proper use of face coverings; including:

- 1 i. Face coverings do not protect the wearer and are not personal protective equipment;
- 2 ii. Face coverings can help protect people near the wearer, but do not replace the need for physical
- 3 distancing and frequent handwashing;
- 4 iii. Face coverings must cover the nose and mouth;
- 5 iv. Employees must wash or sanitize hands before and after using or adjusting face coverings;
- 6 v. Avoid touching the eyes, nose, and mouth; and,
- 7 vi. Reusable face coverings must be washed after each shift.

8 (4) Employee and Public Health

9 (A) Have an entering process that ensures no person is allowed to stay in the gambling establishment
10 if they are displaying a temperature of 100.4 degrees Fahrenheit or greater. This requirement does not
11 apply to employees unless employer screening has been identified in any guidelines, requirements, or
12 instructions provided by any federal agency, such as the Center for Disease Control, U.S. Equal
13 Employment Opportunity Commission, or the Occupational Safety and Health Administration, any other
14 state agency, such as the Department of Public Health or the California Department of Fair Employment
15 and Housing, or by the local jurisdiction.

16 (B) Ensure that every member of the public, upon entry, be advised to practice a physical distancing
17 standard consistent with applicable physical distancing guidelines provided by any federal agency, such
18 as the Center for Disease Control or the Occupational Safety and Health Administration, any other state
19 agency, such as the Department of Public Health, or by the local jurisdiction, to not touch their face, to
20 frequently wash their hands with soap for at least 20 seconds, and how to properly use, handle and
21 dispose of face coverings and gloves.

22 (C) Designate separate, clearly designated entrance(s) and exit(s) to help maintain physical
23 distancing, wherever possible.

24 (D) Ensure employees and members of the public have sufficient accessibility to hand sanitizer
25 dispensers, touchless whenever possible, at all entrances and at high contact areas such as reception areas,
26 lobbies, gaming tables, restaurant entrances, meeting and convention spaces, elevator lobbies, employee
27 break rooms, employee time clock locations, cages (both interior and exterior), count rooms, locations
28 where floor banks are maintained, ATMs, etc. Ensure that all sanitary facilities stay operational and
29 stocked at all times. All persons (dealers and players) must use hand sanitizer prior to beginning play at
30 each card table.

31 (E) Install and encourage the use of hands-free devices, whenever practical and possible, including
32 motion sensor lights, contactless payment systems, automatic soap and paper towel dispensers, and
33 timecard systems.

1 (F) Provide that all employees must use appropriate face coverings while at the gambling
2 establishment as well as all personal protective equipment in accordance with the emergency sanitation
3 plan. Any employees such as waiters, bussers, and janitors whose job duties include contact with body
4 fluids, such as saliva, must wear appropriate protective gloves. All employees must practice correct
5 hygiene and hand washing, or use hand sanitizer if unable to get to a sink or handwashing station, at least
6 every 60 minutes.

7 (G) Provide a list of areas and equipment to be sanitized:

8 1. Common contact areas that must be sanitized at least once per hour.

9 2. Shared equipment must be sanitized prior to being used by a different employee.

10 3. Player spaces at gaming tables, which includes but is not limited to the immediate surface of the
11 gaming table, the player's chair, and any immediately adjacent barrier's surface, must be sanitized before
12 another player is allowed to occupy the space.

13 (H) Implement measures to ensure appropriate physical distancing between all persons within the
14 gambling establishment; including but not limited to:

15 1. Clearly mark areas where employees or members of the public should stand when waiting in a line.

16 2. Where appropriate physical distancing cannot be ensured, take other measures such as the use of
17 non-permeable polymethyl methacrylate barriers.

18 (I) Provide a list of common areas where signage will be prominently posted. Additionally, signage
19 must be prominently posted on the gambling establishment's website(s) and at all entrances to the
20 gambling establishment. The signage must include information on the following:

21 1. Individuals who are showing signs of illness are advised to leave the establishment;

22 2. Information that is provided to the public pursuant to paragraph (B); and,

23 3. Everyone should practice proper physical distancing while waiting in lines and whenever else
24 possible.

25 (J) Inspect deliveries and take all necessary and feasible disinfection measures when receiving goods.

26 (K) Consider installing portable high-efficiency air cleaners, upgrading the building's air filters to the
27 highest efficiency possible, and making other modifications to increase the quantity of outside air and
28 ventilation in offices and other spaces.

29 (L) Notify the Bureau and the local health department for the jurisdiction of any presence of the virus
30 designated by the state of emergency or other order identified in the gambling establishment within 24
31 hours or less if required by the local jurisdiction.

32 (M) Upon return to a cage, all chips must be sanitized or kept out of rotation for a time period
33 sufficiently long to ensure that no viral threat remains (based upon the most current information available
34 for the virus for which the state of emergency or other order is associated).

1 (5) Operation of Gaming Tables

2 (A) No gaming table is allowed to operate unless:

3 1. The gaming table is operating with no more than half of the number of players allowed in the
4 Bureau-approved game rules, with remaining spaces for players distributed on the table to ensure
5 maximum physical separation. Any play space that is to remain unused must be removed or covered in
6 such a way as to prohibit its use by a player; or,

7 2. The gaming table includes, between each available space designated for a player, a clear, non-
8 tinted, non-permeable barrier, such as polymethyl methacrylate, that is sufficiently sized to prevent two
9 players from coming into direct physical contact during the play of any controlled game.

10 (B) Participation and observation of a controlled game by members of the public will not be allowed
11 unless that person has a space designated for a player at the table or is at another designated space that is
12 positioned to allow for appropriate physical distancing and the gaming table includes a vacant player
13 space that has been designated for such participants to approach the table one at a time.

14 (C) If, after the reduction of spaces for players, the gaming table does not allow for appropriate
15 physical distancing, all players must wear appropriate face coverings in the manner of its intended use. If
16 players must wear face coverings, no activity may be conducted at the table that would require the
17 removal of face coverings, except during the actual consumption of a beverage.

18 (D) Provide frequent breaks in play in games where items are passed back and forth for an extended
19 period, to allow employees and players to use hand sanitizer and/or wash their hands.

20 (E) Cards must be replaced as follows:

21 1. If the game rules allow a player to touch the cards, all cards that have been dealt must be replaced
22 at least every four hours.

23 2. If the game rules do not allow a player to touch the cards, all cards that have been dealt must be
24 replaced at least every twelve hours.

25 3. Any card removed from the table must be disposed of, sanitized, or kept out of rotation for seven
26 days.

27 (6) Non-Gambling Areas within the Gambling Establishment

28 (A) Any other facilities, such as office spaces, cages, security rooms, break rooms, supply rooms, and
29 meeting rooms, must be closed, have reduced capacity, use barriers, or be otherwise reconfigured, to
30 ensure physical distancing between all persons, whenever possible.

31 (B) Self-service coffee, water, and snack areas must be closed unless they are capable of dispensing
32 without physical touching.

33 (7) Sanitation Standards

1 (A) Any reusable face coverings or gloves must be properly cleaned, decontaminated, and maintained
2 after and between uses. Face coverings and protective gloves must never be shared between persons.

3 (B) The sanitation of common contact areas and shared equipment must include the use of a
4 disinfectant that is on the appropriate United States Environmental Protection Agency's list of registered
5 disinfectants, a diluted household bleach solution at a ratio of five tablespoons (1/3rd cup) of bleach per
6 gallon of water, or an alcohol solution with at least 70 percent alcohol that is appropriate for the surface
7 being cleaned.

8 (C) For any common contact areas and shared equipment that requires sanitization but is not recorded
9 by the gambling establishment's surveillance system, the gambling enterprise must maintain a record of
10 all sanitizations performed. This record must include the date and time of the sanitation and the name of
11 the employee who completed it.

12 (8) The requirements of this subsection do not exempt the gambling enterprise from complying with
13 any other guidelines, requirements, or instructions provided by any federal agency, such as the Center for
14 Disease Control or the Occupational Safety and Health Administration, any other state agency, such as
15 the Department of Public Health, or by the local jurisdiction.

16 (b) Each applicant as an initial gambling enterprise under Chapter 6 of this Division must submit to
17 the Bureau one copy of a current emergency sanitation plan together with those application documents
18 required by Section 12342.

19 (c) Each gambling enterprise must submit one copy of its current emergency sanitation plan to the
20 Bureau within 30 calendar days after the effective date of this section.

21 (d) If a gambling enterprise's emergency sanitation plan is revised the gambling enterprise must
22 submit one copy of its revised emergency sanitation plan to the Bureau within seven calendar days of the
23 revision.

24 Note: Authority cited: Sections 19811, 19824, 19840 and 19841, Business and Professions Code. Reference:
25 Sections 19801, 19823, and 19920, Business and Professions Code.

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Proposed Regulations - Subject to Change

CHAPTER 2.1: FORM INCORPORATED BY REFERENCE

Level III Supplemental Information - Individual

BGC-APP-034A (Rev. [0807/2017](#))

**DEPARTMENT OF JUSTICE
BUREAU OF GAMBLING CONTROL
LEVEL III SUPPLEMENTAL INFORMATION - INDIVIDUAL**

INSTRUCTIONS

Each owner as defined in Title 4, California Code of Regulations, Chapters 2.1 and 2.2, who is a natural person must complete the Level III Supplemental Information - Individual and submit all required forms, documentation, and deposits. This includes, but is not limited to, officers, directors, partners, shareholders, members, and sole proprietors. Originals are required unless otherwise stated. Any corrections or alterations must be initialed and dated by the applicant.

Regular Mail and Commercial/Personal Delivery

Bureau of Gambling Control
2450 Del Paso Road, Suite 100
Sacramento, CA 95834
(916) 830-1700

Pursuant to Business and Professions Code section 19868, subd. (a), the supplemental information package will not be deemed complete until all required forms, documentation, and deposits have been received by the Bureau.

Forms/Documentation	Submitted
Level III Supplemental Information - Individual (BGC-APP-034A (Rev. 0807/2017) (includes Instructions)	
Authorization to Release Information (BGC-APP-006 (Rev. 07/17))	
Appointment of Designated Agent For Owners and Proposition Players (BGC-APP-031 (Rev. 07/17))	
Request for Transcript of Tax Return (IRS 4506-T (Rev. April 2006))	
Signed copies of Federal tax returns for the past three years, including all schedules and attachments.	
Bank Statements - Copies for any and all personal and business accounts for past 18 months.	
Investment Account Statements - Copies for any and all accounts for the past 18 months.	
Trust Agreement pertaining to interest in Third-Party Provider of Proposition Player Services - Copy	
All Lease Agreements pertaining to gaming – Copy	
Emergency Sanitation Plan (primary owner only) – Copy	
Employment Contract – Copy	
Current Local License, Permit, Badge, etc. – Copy	
Naturalization Certificate - If naturalized citizen, copy of your naturalization certificate	
Deposit of \$6,000 for Level III Supplemental Information - Individual Investigation and Processing	

Applicant is responsible for all investigative costs incurred by the Bureau. At the conclusion of the investigation, an itemized accounting will be provided. Monies received in excess of the actual costs incurred will be refunded.

Level III Supplemental Information - Individual

BGC-APP-034A (Rev. 0807/2017)

Section 1. Personal History Information

(A) PERSONAL INFORMATION:

Last name		First name	Middle name (if no middle name, indicate "NMN")
Alias(es), nicknames, maiden name, other name changes, legal or otherwise			
Present residence address		City, county, state, zip code	
Mailing address (if different from above)		City, county, state, zip code	
Present employer business address		City, county, state, zip code	
Current occupation		Phone: Residence () Business () Fax ()	
Date of birth		Place of birth (city, county, state, and country)	
Age	Social security number* ____ - ____ - ____	Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female	Drivers license/identification card number: State issued:
Eye color	Hair color	Weight	Height
Distinguishing marks (scars, tattoos, etc.). Describe and indicate location.			

* Applicants are required to provide their social security number. This requirement is authorized by Business and Professions Code sections 19841(a)(2), 19864(b)(6), and 19865. This information is used to obtain records relevant to background investigations.

Do you have any family members who work in the gaming industry? Yes No

If YES, complete the following. If more space is needed, attach a separate sheet:

Name	Address	Relationship	Position Held	Business Name

Are you a United States citizen? Yes No

If NO, what country?

Alien registration number: _____

If naturalized: Certificate Number:

Alien Number:

Level III Supplemental Information - Individual

BGC-APP-034A (Rev. 0807/2017)

(2) Co-habitants and/or Roommates

List any adults, not disclosed above, with whom you reside.

Name (Last, First, Middle, Maiden)	Date of Birth	Employer/ Occupation	Employer Address & Telephone	Relationship
			()	
			()	
			()	
			()	

Attach an additional sheet if necessary.

(3) Parents and/or Stepparents

List name, date of birth, place of birth, residence address, and most recent occupation of parents and/or stepparents. If retired or deceased, list last address and occupation.

Name (Last, First, Middle, Maiden)	Date of Birth	Place of Birth	Address	Telephone No.	Occupation
				()	
				()	
				()	
				()	

Attach an additional sheet if necessary.

(4) Brothers and Sisters

List name, date of birth, place of birth, residence address, and most recent occupation of brothers and sisters. If retired or deceased, list last address and occupation.

Name (Last, First, Middle, Maiden)	Date of Birth	Place of Birth	Address	Telephone No.	Occupation
				()	
				()	
				()	
				()	
				()	

Attach an additional sheet if necessary.