

California Code of Regulations, Title 4. Business Regulations
Division 18. California Gambling Control Commission
Chapter 7. Conditions of Operation for Gambling Establishments
Article 1. General Provisions

12362. Statewide Involuntary Exclusion List

- (a) A licensee may remove a person from the gambling establishment pursuant to common law, Business and Professions Code section 19801, subdivision (i), or Business and Professions Code section 19845. A gambling establishment may also have an internal removal list to bar certain individuals from entering the specific gambling establishment only.
- (b) A licensee or government official (such as law enforcement, or agents of the California Horse Racing Board, Bureau ~~of Gambling Control~~, or Commission) (*“requestor”*) may submit, on form CGCC – 12362 (New ~~03-07 10-08~~) *“Request for Statewide Involuntary Exclusion of an Individual,”* which is attached in Appendix A to this chapter, a request to exclude an individual from all California gambling establishments based upon the reasons listed in Business and Professions Code section 19844 or 19845, *subdivision(a)(7)*. *Such request shall have the protections afforded under Business and Professions Code section 19846, subdivision (a).*
- (c) Removal of an individual from a specific gambling establishment, as described in subsection (a) above, or statewide exclusion, pursuant to the request described in subsection (b) above, shall not be based upon the race, color, religious creed, gender, sexual orientation, marital status, national origin or ancestry, or disability of the individual, *with the exception that a problem or pathological gambler may be excluded pursuant to Article 6 of these regulations (commencing with section 12460) or involuntarily excluded pursuant to this section.*
- (d) Upon receipt of a request by a licensee or governmental official for statewide involuntary exclusion of an individual, the Executive Director shall review the reason for exclusion. If there appears to be good cause to place an individual on the statewide involuntary exclusion list, the Executive Director shall cause a notice of exclusion to issue to the individual. Such notice *shall state the grounds for exclusion and* may be *served* by personal service, by certified mail at the last known address of the individual, or by publication daily for 1 week in a newspaper of general circulation in the vicinity of the ~~requestor gambling establishment which requested the individual be placed on the statewide involuntary exclusion list.~~ The exclusion shall be effective upon perfection of notice and shall ~~remain~~*be* in effect until ~~such time as~~ the individual is removed from the list by Commission decision.
- (e) An individual may contest the Commission’s notice of exclusion by requesting a hearing from the Commission. Such hearing may be pursuant to Business and Professions Code section 19871 or pursuant to Government Code section 11500 et seq., as determined by the Executive Director. Such hearing shall occur within 60 days of the request for hearing, unless the time of the hearing is changed by agreement of the Commission and the individual requesting the hearing.
- (f) If the individual fails to appear at the time and place set for hearing, and the individual does not contact the Commission within 24 hours to give good cause why the hearing should be reset, a default decision shall issue affirming the exclusion.
- (g) At the hearing, the individual may appear in person and/or be represented by counsel at the individual’s own expense and present relevant testimony or documentary evidence. If a governmental agency requested that the individual be placed on the statewide involuntary

1 exclusion list, the governmental agency may appear. If a ~~gambling establishment~~*licensee*
2 requested that the individual be placed on the statewide involuntary exclusion list, then the
3 *licensee or designated agent*~~gambling establishment~~ may appear.

- 4 (h) The standard of proof shall be preponderance of the evidence that the individual poses a threat
5 either to the public, gambling enterprise employees, or the gambling industry, or should be
6 excluded pursuant to Business and Professions Code section 19844 or 19845,
7 *subdivision(a)(7)*. The burden of proof shall be on the Commission staff. Evidence of exclusion
8 or discipline by another gaming jurisdiction based upon the factors described in Business and
9 Professions Code section 19844 or 19845, *subdivision(a)(7)* may be introduced.
- 10 (i) The final decision in the matter shall be in writing, shall state any term-length for the exclusion *if*
11 *other than lifetime*, shall be sent by certified mail or personal service to the individual and the
12 governmental agency or gambling establishment which requested the individual be placed on
13 the statewide involuntary exclusion list, and shall be effective immediately.
- 14 (j) If the individual requested a hearing after the Commission's notice of exclusion and was given
15 a final decision in the matter that affirmed the exclusion, that individual shall not petition the
16 Commission to be removed from the statewide involuntary exclusion list for a minimum of one
17 year after the date of the final decision.
- 18 (k) Petitions to be removed from the statewide involuntary exclusion list shall be in writing, directed
19 to the Executive Director, and sent to the Commission at 2399 Gateway Oaks Drive, Suite
20 ~~100220~~, Sacramento, CA 95833. Petitioners should clearly state the circumstances of the
21 ejection or exclusion, any new evidence which is material and necessary, including evidence
22 that circumstances have changed since placement on the statewide involuntary exclusion list,
23 and why they do not pose a threat to ~~either~~ the public, gambling enterprise employees, ~~or~~ the
24 gambling industry, *or should otherwise not be excluded pursuant to Business and Professions*
25 *Code section 19844 or 19845, subdivision(a)(7)*. This statement shall be signed under penalty
26 of perjury under the laws of the State of California. The Executive Director may summarily
27 deny the petition without prejudice due to lack of compliance with this subsection. *If not*
28 *summarily denied, the Executive Director shall provide notice and opportunity to comment to*
29 *the requestor. After review of the requestor's comments, the Executive Director, may notify the*
30 *Bureau to* remove the individual from the statewide involuntary exclusion list, or may set the
31 matter for hearing pursuant to Business and Professions Code section 19871 or pursuant to
32 Government Code section 11500 et seq., as determined by the Executive Director.
- 33 (l) The Executive Director may order an individual removed from the list after verified information
34 is received that the individual is deceased *and shall so notify the Bureau*.
- 35 (m) If the Commission determines that an individual should be removed from the statewide
36 involuntary exclusion list, the Commission's decision shall include an order removing the
37 individual's name from the list, and *shall so notify the Bureau. The Bureau shall amend the*
38 *exclusion database and send* notification ~~sent~~ to all gambling establishments *and to the*
39 *requestor*.
- 40 (n) Judicial review of the Commission's decision shall be in accordance with Code of Civil
41 Procedure, section 1094.5.
- 42 (o) The statewide involuntary exclusion list shall be *maintained by the Bureau*, sent *or made*
43 *available* to all gambling establishments, and may be shared with law enforcement personnel of
44 any jurisdiction.

- 1 (p) *Licensees shall implement policies and procedures designed to thwart excluded persons, as*
2 *noticed by the Bureau, from entering the gambling establishment, ejection or removal*
3 *procedures of any patrons once recognized as being a known excluded person, and notification*
4 *to the Bureau of any incidents of attempted entry, entry, or removals of known excluded*
5 *persons. Any individual placed on the statewide involuntary exclusion list shall be excluded*
6 *from entering any gambling establishment in the State of California or, if found inside the*
7 *gambling establishment, shall be ejected immediately. Licensees shall notify the Commission*
8 *of any entry or attempted entry by an excluded person. This regulation does not require a*
9 *licensee's polices and procedures to include patrons providing proof of identification before*
10 *entering the gambling establishment. This regulation does not require the gambling*
11 *establishment to use physical force in ejecting or removing an excluded person.*
- 12 (q) The Commission may discipline a *licensee that*~~gambling establishment which~~ knowingly fails to
13 *take prompt, reasonable action to* eject or exclude an individual listed on the statewide
14 involuntary exclusion list, or fails to notify the ~~Commission Bureau~~ of any entries or attempts to
15 enter by an excluded person, pursuant to Chapter 10 of these regulations. Discipline may
16 include imposition of a \$500 fine for failure to notify or a \$1000 fine for failure to eject.
- 17 (r) *This regulation does not create any right or cause of action against a gambling establishment,*
18 *government official (such as law enforcement, or agents of the California Horse Racing Board,*
19 *Bureau, or Commission) by an excluded person or abrogate the existing common law or*
20 *statutory privileges and immunities of a licensee or requestor, or limit or expand the provisions*
21 *of Business and Professions Code section 19846.*

22 Authority: Sections 19840 and 19844, Business and Professions Code.

23 Reference: Sections 19801(i) and (l), 19844, 19845, *19846*, and 19940, Business and Professions Code.



REQUEST FOR STATEWIDE INVOLUNTARY EXCLUSION OF AN INDIVIDUAL

Type or print (in ink) as much information as possible on this form. If additional space is needed, please note response on a separate sheet of paper and attach to the form. **NOTE: THIS IS NOT A VOLUNTARY SELF-RESTRICTION OR SELF-EXCLUSION FORM.**

Mail this completed form to: **California Gambling Control Commission, 2399 Gateway Oaks Drive, Suite 100220, Sacramento CA 95833.**

SECTION 1: PERSONAL INFORMATION

Full Legal Name:

First	Middle (if applicable)	Last

Other Names (Former Names (such as Maiden names), Nicknames, or Aliases / A.K.A.'s):

Home Address:

Street	City	State	Zip Code

Mailing Address (if different than Home Address):

Street	City	State	Zip Code

Home Telephone Number:

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Business Number:

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Games most often played:

SECTION 2: PHOTO AND VISUAL DESCRIPTION

Gender: Male

Female

Date of Birth:

/ /

Race/Ethnicity:

Height:

Weight:

Hair Color/Type:

Eye Color:

Date of Photograph:

/ /

CA Drivers License
or other ID

Distinguishing marks (such as visible scars or tattoos – describe mark & location):

Type of vehicle normally driven:

License Plate:

AFFIX A RECENT
 PASSPORT QUALITY
 PHOTOGRAPH
 HERE SHOWING
 HEAD AND SHOULDERS OF
 PERSON TO BE EXCLUDED

SECTION 3: AFFIRMATION BY KEY EMPLOYEE OR OWNER OR BY GOVERNMENT EMPLOYEE

NOTE: This form is not for voluntary self-restriction or self-exclusions. This form is not to be completed by patrons.

~~Examples of when this form should be used include, but are not limited to, known cheaters or those who interfere with controlled gambling, persons with connections to organized crime, prostitutes or pimps, habitually drunk or drugged persons, or violent or threatening persons.~~

The facts and reasons why the individual should be excluded are as follows:

The information provided above is true and accurate to the best of my knowledge and **the individual described above should be excluded because he or she poses a threat** to the public, gambling enterprise employees, or the gambling industry.

Signature: _____ Date:

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Print Name, Title, and Gambling Establishment or Facility Name or Governmental Entity:

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