

California Gambling Control Commission

CONFLICT OF INTEREST CODE

The Political Reform Act (Government Code Sections 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission (FPPC) has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **California Gambling Control Commission** (Commission).

Individuals holding designated positions shall file their statements of economic interests with the FPPC filing officer in the Commission who will make the statements available for public inspection and reproduction. Gov. Code Sec. 81008.) With respect to the Commissioners and the Executive Director of the **California Gambling Control Commission**, the Commission shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission.

Authority: Section 87300, Government Code.

Reference: Sections 87300 and 87302, Government Code.

APPENDIX A

CALIFORNIA GAMBLING CONTROL COMMISSION

List of Designated Positions	Assigned Disclosure Categories
COMMISSIONERS	
Commissioners	1
EXECUTIVE ADMINISTRATION	
Executive Director	1
Deputy Executive Director	1
Staff Services Manager, all levels	1
Research Program Specialist II	2, 3, 4
Senior Information System Analyst (Specialist)	2, 3
Staff Information System Analyst	2, 3
Administrative Assistant I/II	2
Executive Assistant	2
LEGAL DIVISION	
Chief Counsel	1
Staff Counsel, all levels	1
Associate Governmental Program Analyst	2, 4
Staff Services Analyst	2, 4
LEGISLATIVE & PUBLIC AFFAIRS OFFICE	
Deputy Director	1
Information Officer II	2, 3
Associate Governmental Program Analyst	2
Staff Services Analyst	2
SUPPORT SERVICES	
Deputy Director	1
Staff Services Manager, all levels	1
Systems Software Specialist II (Supervisor)	1
Senior Programmer Analyst	2, 3
Associate Programmer Analyst	2, 3
Systems Software Specialist I	2, 3
Staff Programmer Analyst	2, 3
Associate Governmental Program Analyst	2, 4
Staff Services Analyst	2, 4
Senior Accounting Officer	2, 4
Associate Budget Analyst	4
Associate Information System Analyst (Specialist)	2, 3
Senior Personnel Specialist	2, 4
Accountant I	2, 4

Associate Personnel Analyst	4
Health and Safety Officer	4
LICENSING DIVISION	
Deputy Director	1
Staff Services Manager, all levels	1
Research Program Specialist I	2
Associate Governmental Program Analyst	2
Staff Services Analyst	2
Management Services Technician	2
COMPLIANCE DIVISION	
Deputy Director	1
Associate Governmental Program Analyst	2
Staff Services Analyst	2
FINANCIAL AUDITS	
Supervising Management Auditor	1
Senior Management Auditor	1
Staff Management Auditor	2
Associate Management Auditor	2
Staff Services Management Auditor	2
Associate Accounting Analyst	2
Associate Governmental Program Analyst	2
Staff Services Analyst	2
ELECTRONIC GAMING DEVICES	
Systems Software Specialist III (Supervisory)	1
Systems Software Specialist II	2, 3
Systems Software Specialist I	2, 3
Staff Services Manager, all levels	1
Associate Information System Analyst (Specialist)	2, 3
Associate Governmental Program Analyst	2
Staff Services Analyst	2
Management Service Technician	2
GAMING STANDARDS SECTION	
Staff Services Manager, all levels	1
Associate Management Auditor	2
Associate Governmental Program Analyst	2
Staff Services Analyst	2
Management Service Technician	2
CONSULTANTS	
*Consultant	

Appendix B

DISCLOSURE CATEGORY 1 – Full disclosure is required. Any officer, employee, or consultant in this category shall disclose all interest in real property in the State of California, as well as investments, business positions, and sources or income, including gifts, loans and travel payments.

DISCLOSURE CATEGORY 2 – Any officer, employee, or consultant in this category shall disclose investments, business positions, and sources of income including gifts, loans and travel payments, from any manufacturer or distributor of gambling equipment; manufacturer or distributor of card-minding devices; manufacturer or distributor of remote caller bingo equipment or supplies; holder of a finding of suitability issued pursuant to a tribal-state compact; Indian tribe; holder of a state gambling license, holder of a key employee license; holder of a work permit; holder of a third-party provider of proposition player services license; holder of a third-party provider of proposition player services registration; holder of a gambling business license; holder of a gambling business registration; applicant for a state gambling license; applicant for a key employee license; applicant for a work permit; applicant for licensure as a third-party provider of proposition player services; applicant for registration as a third-party provider of proposition player services; applicant for licensure as a gambling business; applicant for registration as a gambling business; applicant for registration as a manufacturer or distributor of gambling equipment; applicant for licensure as manufacturer or distributor of card-minding devices; applicant for licensure as manufacturer or distributor of remote caller bingo equipment or supplies; applicant for a finding of suitability under a tribal-state compact; or applicant for any other license, registration, permit or approval provided for in the Gambling Control Act or the Remote Caller Bingo Act, any regulation adopted pursuant to the Gambling Control Act or the Remote Caller Bingo Act, or any tribal-state compact. Any person in this category shall also disclose any interest in real property in the State of California.

DISCLOSURE CATEGORY 3 – A designated employee in this category shall report all investments, business positions, and income, including gifts, loans, and travel payments, from sources that provide information technology systems including: hardware, software, equipment, or consulting services, of the type utilized at the Commission.

DISCLOSURE CATEGORY 4 – A designated employee in the category shall report all investments, business positions and income, including gifts, loans and travel payments, from sources that provides or provided within the previous two years services, supplies, equipment, vehicles, machinery, leased facilities, including training or consulting services, of the type utilized by the Commission.

***CONSULTANT DISCLOSURE CATEGORY** – Consultants shall be placed in disclosure category 1, subject to the following limitation: the Executive Director may determine in writing that a particular consultant although a “designated position,” has been hired to perform a range of duties that is limited in scope and, thus, is not required to fully comply with the disclosure requirements in this Code. Such determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Director’s determination is a public record and shall be retained for public inspection in the same manner and locations as this Conflict of Interest Code.

This is the last page of the conflict-of-interest code for the **California Gambling Control Commission**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict-of-interest code for the **California Gambling Control Commission** was approved on April 26, 2010.

A handwritten signature in black ink, appearing to read "R. Porter", written over a horizontal line.

Roman G. Porter
Executive Director
Fair Political Practices Commission

Pursuant to Government Code Section 11346.2:

Secretary of State Filing Date:

Effective: