

**State of California
Office of Administrative Law**

In re:
California Gambling Control Commission

Regulatory Action:

Title 04, California Code of Regulations

Amend sections: 12261, 12264

**NOTICE OF APPROVAL OF CHANGES
WITHOUT REGULATORY EFFECT**

**California Code of Regulations, Title 1,
Section 100**

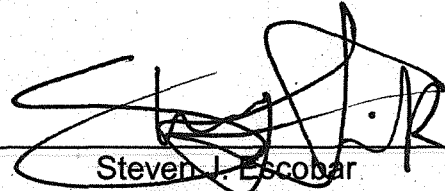
OAL Matter Number: 2017-1201-04N

OAL Matter Type: Nonsubstantive (N)

This change without regulatory effect updates a telephone number in the Application for Playing Book Approval.

OAL approves this change without regulatory effect as meeting the requirements of California Code of Regulations, title 1, section 100.

Date: January 2, 2018



**Steven J. Escobar
Attorney**

**For: Debra M. Cornez
Director**

**Original: Tina Littleton, Executive Director
Copy: Josh Rosenstein**

NOTICE PUBLICATION/REGULATIONS SUBMISSION

(See instructions on reverse)

For use by Secretary of State only

NONSUBSTANTIVE

STD. 400 (REV. 01-2013)

OAL FILE NUMBERS	NOTICE FILE NUMBER	REGULATORY ACTION NUMBER	EMERGENCY NUMBER
Z-		2017-1201-04N	

For use by Office of Administrative Law (OAL) only

ENDORSED - FILED in the office of the Secretary of State of the State of California

JAN 02 2018

1:41pm

2017 DEC -1 P 3 57

OFFICE OF ADMINISTRATIVE LAW

NOTICE

REGULATIONS

AGENCY WITH RULEMAKING AUTHORITY	AGENCY FILE NUMBER (if any)
California Gambling Control Commission	CGCC-GCA-2017-04-N

A. PUBLICATION OF NOTICE (Complete for publication in Notice Register)

1. SUBJECT OF NOTICE	TITLE(S)	FIRST SECTION AFFECTED	2. REQUESTED PUBLICATION DATE
3. NOTICE TYPE <input type="checkbox"/> Notice re Proposed Regulatory Action <input type="checkbox"/> Other	4. AGENCY CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)
OAL USE ONLY <input type="checkbox"/> Approved as Submitted <input type="checkbox"/> Approved as Modified <input type="checkbox"/> Disapproved/Withdrawn	NOTICE REGISTER NUMBER	PUBLICATION DATE	

B. SUBMISSION OF REGULATIONS (Complete when submitting regulations)

1a. SUBJECT OF REGULATION(S)	1b. ALL PREVIOUS RELATED OAL REGULATORY ACTION NUMBER(S)
Playing Books Form Correction	

2. SPECIFY CALIFORNIA CODE OF REGULATIONS TITLE(S) AND SECTION(S) (Including title 26, if toxics related)	
SECTION(S) AFFECTED (List all section number(s) individually. Attach additional sheet if needed.)	ADOPT §
	AMEND § 12261 and 12264
TITLE(S)	REPEAL §
4	

3. TYPE OF FILING			
<input type="checkbox"/> Regular Rulemaking (Gov. Code §11346)	<input type="checkbox"/> Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.	<input type="checkbox"/> Emergency Readopt (Gov. Code, §11346.1(h))	<input checked="" type="checkbox"/> Changes Without Regulatory Effect (Cal. Code Regs., title 1, §100)
<input type="checkbox"/> Resubmittal of disapproved or withdrawn nonemergency filing (Gov. Code §§11349.3, 11349.4)	<input type="checkbox"/> Resubmittal of disapproved or withdrawn emergency filing (Gov. Code, §11346.1)	<input type="checkbox"/> File & Print	<input type="checkbox"/> Print Only
<input type="checkbox"/> Emergency (Gov. Code, §11346.1(b))		<input type="checkbox"/> Other (Specify)	

4. ALL BEGINNING AND ENDING DATES OF AVAILABILITY OF MODIFIED REGULATIONS AND/OR MATERIAL ADDED TO THE RULEMAKING FILE (Cal. Code Regs. title 1, §44 and Gov. Code §11347.1)
N/A

5. EFFECTIVE DATE OF CHANGES (Gov. Code, §§ 11343.4, 11346.1(d); Cal. Code Regs., title 1, §100)			
<input type="checkbox"/> Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4(a))	<input type="checkbox"/> Effective on filing with Secretary of State	<input checked="" type="checkbox"/> §100 Changes Without Regulatory Effect	<input type="checkbox"/> Effective other (Specify)

6. CHECK IF THESE REGULATIONS REQUIRE NOTICE TO, OR REVIEW, CONSULTATION, APPROVAL OR CONCURRENCE BY, ANOTHER AGENCY OR ENTITY			
<input type="checkbox"/> Department of Finance (Form STD. 399) (SAM §6660)	<input type="checkbox"/> Fair Political Practices Commission	<input type="checkbox"/> State Fire Marshal	
<input checked="" type="checkbox"/> Other (Specify) Department of Justice, Bureau of Gambling Control (for information purposes only)			

7. CONTACT PERSON	TELEPHONE NUMBER	FAX NUMBER (Optional)	E-MAIL ADDRESS (Optional)
Josh Rosenstein	(916) 274-5823		jrostein@cgcc.ca.gov

8. I certify that the attached copy of the regulation(s) is a true and correct copy of the regulation(s) identified on this form, that the information specified on this form is true and correct, and that I am the head of the agency taking this action, or a designee of the head of the agency, and am authorized to make this certification.

For use by Office of Administrative Law (OAL) only

ENDORSED APPROVED

JAN 02 2018

Office of Administrative Law

SIGNATURE OF AGENCY HEAD OR DESIGNEE	DATE
<i>Stacey Luna Baxter</i>	11-16-2017
TYPED NAME AND TITLE OF SIGNATORY	
Stacey Luna Baxter, Executive Director	

1 CALIFORNIA GAMBLING CONTROL COMMISSION

2 PLAYING BOOKS FORM CORRECTION

3 CGCC-GCA-2017-04-N

4
5 CALIFORNIA CODE OF REGULATIONS

6 TITLE 4. BUSINESS REGULATIONS.

7 DIVISION 18. CALIFORNIA GAMBLING CONTROL COMMISSION.

8
9 CHAPTER 3. CONDITIONS OF OPERATION FOR PROVIDERS OF PROPOSITION PLAYER SERVICES AND
10 GAMBLING BUSINESSES.

11 ARTICLE 2. PLAYING BOOKS

12
13 **§ 12261. Review of Playing Book Forms.**

14 ...

15 (b) To request the review of a new or amended hardcopy playing book, the form Application
16 for Playing Book Approval, BGC-APP 036 (Rev. 10/187), attached in Appendix A to this
17 chapter, must be completed and submitted to the Bureau along with the following:

18 ...

19 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
20 19826, 19841 and 19984, Business and Professions Code.

21
22 **§ 12264. Review and Certification of Electronic Playing Book Systems.**

23 (a) Each electronic playing book system requires prior review and approval by the Bureau.
24 To request review of the electronic playing book system, the form Application for Playing Book
25 Approval, BGC-APP 036 (Rev. 10/187), referenced in subsection (b) of Section 12261, must be
26 completed and submitted to the Bureau along with the following:

27 ...

28 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections
29 19826, 19841 and 19984, Business and Professions Code.

30
31 ///

APPENDIX A

Application for Playing Book Approval

BGC-APP 036 (Rev. 10/187)

Page 1 of 2

BUREAU USE ONLY

BGC ID# _____



MAIL COMPLETED FORM AND DEPOSIT TO:

BUREAU OF GAMBLING CONTROL
 P.O. Box 168024
 Sacramento, CA 95816-8024
 (916) 830-1700

COMMERCIAL/EXPRESS DELIVERIES ONLY

BUREAU OF GAMBLING CONTROL
 ATTN: THIRD-PARTY PROVIDER UNIT
 2450 Del Paso Road, Suite 100
 Sacramento, CA 95834

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section number. Please review California Code of Regulations, Title 4, Sections 12250 to 12290 prior to submitting a playing book for approval. Failure to provide the requested information may result in the abandonment or denial of this application.

Enclose a check or money order made payable to: Bureau of Gambling Control

SECTION 1: TYPE OF FORM SUBMITTED (check one box and submit the additional required items)			
<input type="checkbox"/> HARD COPY PLAYING BOOK FORM (\$75) COMPLETE SECTIONS 2, 3, 7 & 8		<input type="checkbox"/> ELECTRONIC PLAYING BOOK SYSTEM (\$1200) COMPLETE SECTIONS 2, 3, 4, 5, 7 & 8	
SECTION 2: TYPE OF APPROVAL REQUESTED			
<input type="checkbox"/> INITIAL		<input type="checkbox"/> AMENDMENT IN ADDITION TO THE SECTIONS IDENTIFIED ABOVE, COMPLETE SECTION 6	
SECTION 3: PRIMARY OWNER INFORMATION (check one box)			
<input type="checkbox"/> THIRD-PARTY PROVIDER OF PROPOSITION PLAYER SERVICES		<input type="checkbox"/> GAMBLING BUSINESS	
FULL NAME OF PRIMARY OWNER (BUSINESS ENTITY OR SOLE PROPRIETOR)			REGISTRATION/LICENSE NUMBER
SECTION 4: INFORMATION TECHNOLOGY TECHNICIAN CONTACT INFORMATION <i>(Must be registered or licensed pursuant to CCR §12260(j))</i>			
LAST NAME		FIRST NAME	REGISTRATION/LICENSE NUMBER
MAILING ADDRESS (NUMBER/STREET/SUITE)		CITY	STATE ZIP CODE
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS	
SECTION 5: DESCRIBE HOW THE SIGNATURE WILL BE INDICATED (FOR ELECTRONIC PLAYING BOOK SYSTEMS ONLY)			
SECTION 6: BRIEFLY EXPLAIN THE REASON FOR THE AMENDMENT AND IDENTIFY THE CHANGES <i>(FOR AMENDMENTS ONLY)</i>			

SECTION 7: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS MUST BE SUBMITTED WITH THIS COMPLETED FORM, AS APPLICABLE. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

APPLICATION FEE (HARD COPY PLAYING BOOK FORM-\$75) (ELECTRONIC PLAYING BOOK SYSTEM-\$1200)

FOR HARD COPY PLAYING BOOK FORM:

SAMPLE PLAYING BOOK FORM

FOR ELECTRONIC PLAYING BOOK SYSTEM:

PRINTED PLAYING BOOK FORM SCREEN SHOTS OR PICTURES OF THE FORM AS IT APPEARS ON THE DEVICE

CERTIFICATION FROM AN INDEPENDENT GAMING TESTING LABORATORY

CHART OF SYSTEM ACCESS

WRITTEN SUMMARY OF THE DESIGN AND OPERATION OF THE SYSTEM

AT LEAST ONE OF THE FOLLOWING: A VIDEO OF THE SYSTEM IN OPERATION A PROTOTYPE DEVICE WITH WRITTEN INSTRUCTIONS AND NECESSARY ACCESS A LIVE DEMONSTRATION OF THE SYSTEM WILL BE PROVIDED

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

SECTION 8: Signature

PRINTED NAME SIGNATURE CAPACITY DATE (MM/DD/YYYY)

This form must be signed by the appropriate person identified below:
- By an authorized officer, if applicant/licensee is a corporation, LLC, or joint venture.
- By an authorized partner, if applicant/licensee is a general partnership or limited partnership.
- By the owner, if applicant/licensee is a sole proprietor.

PRIVACY NOTICE

THE INFORMATION PRACTICES ACT OF 1977 (CIVIL CODE SECTION 1798.17) AND THE FEDERAL PRIVACY ACT (PUBLIC LAW 93-579) REQUIRE THAT THIS NOTICE BE PROVIDED WHEN COLLECTING PERSONAL INFORMATION FROM INDIVIDUALS. INFORMATION REQUESTED ON THIS FORM IS REQUIRED BY THE STATE OF CALIFORNIA, DEPARTMENT OF JUSTICE, DIVISION OF LAW ENFORCEMENT, BUREAU OF GAMBLING CONTROL (BUREAU), PURSUANT TO CALIFORNIA CODE OF REGULATIONS, TITLE 4, SECTIONS 12261 AND 12264. THE BUREAU USES THIS INFORMATION TO REVIEW AND APPROVE A PLAYING BOOK FORM OR SYSTEM.

IN ADDITION, ANY PERSONAL INFORMATION COLLECTED BY STATE AGENCIES IS SUBJECT TO THE LIMITATIONS IN THE INFORMATION PRACTICES ACT AND STATE POLICY. THE INFORMATION YOU PROVIDE MAY ALSO BE DISCLOSED IN THE FOLLOWING CIRCUMSTANCES: 1) TO ANOTHER GOVERNMENT AGENCY AS REQUIRED BY STATE OR FEDERAL LAW; 2) IN RESPONSE TO A COURT OR ADMINISTRATIVE ORDER, A SUBPOENA, OR A SEARCH WARRANT; OR, 3) PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19821(C) THIS FORM IS EXEMPT FROM THE CALIFORNIA PUBLIC RECORDS ACT.

THE DEPARTMENT OF JUSTICE'S GENERAL POLICY IS AVAILABLE AT: <http://oag.ca.gov/privacy-policy>. YOU MAY REVIEW THE RECORDS MAINTAINED BY THE BUREAU THAT CONTAIN YOUR PERSONAL INFORMATION, AS PERMITTED BY THE INFORMATION PRACTICES ACT. FOR QUESTIONS REGARDING THIS NOTICE OR ACCESS TO YOUR RECORDS, YOU MAY CONTACT THE BUREAU AT (916) 830227-17003584.