California Gambling Control Commission<br>SPECIFIC LANGUAGE OF PROPOSED EMERGENCY REGULATIONS - NOTICED TEXT<br>Commission Fees Modernization<br>CGCC-GCA-2021-05-E

## California Code of Regulations <br> Title 4. Business Regulations. Division 18. California Gambling Control Commission.

## Chapter 2. Licenses and Work Permits

## Article 1. Definitions and General Provisions

## § 12108. Replacement of a Badge.

(a) The Bureau will provide a replacement badge to a licensee if all of the following conditions are met:
(2) A completed Badge Replacement Request, CGCC-CH2-01 (New 05/20Rev. 09/21), which is attached in Appendix A to this chapter, is submitted.

Note: Authority cited: Sections 19811, 19823, 19824, 19826, 19827, 19840, 19841, 19854, 19912 and 19984, Business and Professions Code. Reference: 19801, 19824, 19826, 19854 and 19984, Business and Professions Code.

## Article 2. Initial and Renewal Licenses and Work Permits

## § 12112. Initial License Applications; Required Forms.

A person applying for Commission approval must submit the following to the Bureau:
(a) A completed Application for Employee Category License, CGCC-CH2-04 (Rev. 10/21) or Application for Owner Category License, CGCC-CH2-05 (Rev. 10/21), which are attached in Appendix A to this chapter.

Note: Authority cited: Sections 19811, 19824, 19840, 19841, 19850, 19912 and 19984, Business and Professions Code. Reference: Sections 19801, 19811, 19824, 19826, 19841, 19850, 19851, 19852, 19855, 19864, 19865, 19866, 19867, 19868, 19878, 19880(d), 19883, 19890(e), 19893, 19912, 19951, 19982 and 19984, Business and Professions Code.

## § 12114. Renewal License Applications; Required Forms.

(c) For the purposes of this section, a "complete application" must consist of all of the following:
(1) A completed Application for Employee Category License, CGCC-CH2-04 (Rev. 10/21) or Application for Owner Category License, CGCC-CH2-05 (Rev. 10/21), as referred to in paragraph (1) of subsection (a) of Section 12112;
(5) If the application is an Application for Owner Category License, CGCC-CH2-05 (Rev. 10/21) then a completed copy of the Spousal Information, CGCC-CH2-12 (Rev. 03/21).

Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19850, 19851, 19854, 19951 and 19984, Business and Professions Code. Reference: Sections 19811, 19823, 19824, 19826, 19841, 19850, 19851, 19852, 19854, 19855, 19856, 19857, 19864, 19865, 19866, 19867, 19868, 19876, 19912, 19951 and 19984, Business and Professions Code.

## Chapter 3. Conditions of Operation for Tppps Businesses

## Article 2. Playing Books

## § 12261. Review of Playing Book Forms.

(b) To request the review of a new or amended hardcopy playing book, the form Application for Playing Book Approval, BGC APP 036 (Rev. 1/18) CGCC-CH3-01 (Rev. 09/21), attached in Appendix A to this chapter, must be completed and submitted to the Bureau along with the following:
(1) An initial or amendment application processing fee of $\$ 75$.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19826, 19841 and 19984, Business and Professions Code.

## § 12264. Review and Certification of Electronic Playing Book Systems.

(a) Each electronic playing book system requires prior review and approval by the Bureau. To request review of the electronic playing book system, the form Application for Playing Book Approval, CGCC-CH3-01 (New 05/20Rev. 09/21), referenced in subsection (b) of Section 12261, must be completed and submitted to the Bureau along with the following:
(1) An initial application processing fee of $\$ 1200$ or an amendment application processing fee of $\$ 94$ per system, as applicable.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19826, 19841 and 19984, Business and Professions Code.

Additions shown in underline; deletions shown in strikeout.
Revision Date: March 8, 2022

## Article 3. Tppps Contracts

## § 12272. Review and Approval of TPPPS Contracts.

(a)(1) Proposition player services must not be provided except pursuant to a written TPPPS contract approved in advance by the Bureau. Provision of proposition player services by any person subject to licensing under Chapter 2, or engagement of proposition player services by a cardroom owner type licensee, without a TPPPS contract as required by this section is a violation of this section. The Bureau must approve a TPPPS contract only if all the following requirements have been satisfied:
...
(2) A complete application for TPPPS contract approval must include all of the following:
(A) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-CH3-02 (New 05/20Rev. 09/21) referenced in paragraph (2).
...
(D) A $\$ 1000 \$ 57$ nonrefundable application fee.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19951 and 19984, Business and Professions Code.

## § 12274. Expedited Review and Approval of TPPPS Contracts.

...
(c) The Bureau will complete the expedited review and approval of a TPPPS contract within five (5) business days of receiving all of the following:
(1) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-CH3-02 (New 05/20Rev. 09/21), referenced paragraph (2) of subsection (a) of Section 12272.
(4) $\mathrm{A} \$ 1000 \$ 57$ nonrefundable application fee.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19951 and 19984, Business and Professions Code.

## § 12276. Review and Approval of Amendments to TPPPS Contracts.

(a) Requests to review and approve an amendment to a TPPPS contract must be submitted with an application for approval [see Section 12272 (a)(32)(A)] along with an executed copy of the TPPPS contract, a five hundred dollar (\$500) \$57 nonrefundable application fee, and a deposit as required by Title 11, California Code of Regulations, Section 2037. The Bureau may require an additional sum to be deposited to pay the final costs of the review and approval or disapproval of the amendment. Any money

Additions shown in underline; deletions shown in strikeout.
Revision Date: March 8, 2022
received as a deposit in excess of the costs incurred in the review and approval or disapproval of the amendment must be refunded and an itemized accounting must be provided to the TPPPS business licensee or the TPPPS business licensee's designee.

Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19951 and 19984, Business and Professions Code.

## § 12278. Extension of TPPPS Contracts.

(a) An application for approval of a contract to continue proposition player services must include all of the following:
(1) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-CH3-02 (New 05/20Rev. 09/21), referenced in paragraph (2) of subsection (a) of Section 12272.
(2) A $\$ 1000$ \$57 application fee.
...
Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections 19951 and 19984, Business and Professions Code.

## Chapter 4. Manufacturers or Distributors of Gambling Equipment

## § 12309. Forms; Fees.

(a) Applications for registration under Section 12301(b) must be submitted on the Application for Registration of Manufacturers or Distributors of Gambling Equipment BGC-025 (Rev. 07/1709/21), which is hereby attached in Appendix A to this chapter.
...
(c) For a gambling equipment manufacturer or distributor registration, the fee is as follows:
(1) For an initial and renewal registration as a Class A equipment manufacturer or distributor, the fee is $\$ 500 \$ 32$.
(2) For an initial and renewal registration as an "antique collector," within the meaning of paragraph (1) of subsection (b) of Section 12300 and subparagraph (B) of paragraph (10) of subsection (b) of Section 12301, the fee is $\$ 40 \$ 32$.

Note: Authority cited: Sections 19823, 19824, 19840, 19841(r) and 19864, Business and Professions Code. Reference: Sections 19841(r) and 19951(a), Business and Professions Code; Section 2015.5, Code of Civil Procedure; Section 330.8, Penal Code; and Chapter 24 (commencing with Section 1171) of Title 15 of the United States Code.

# Chapter 7. Conditions of Operation for Gambling Establishments article 10. Gaming Tables 

§ 12470. Request for Additional Temporary Tables for Tournaments or Special Events.
(a) A cardroom business licensee may apply to operate, on a limited and temporary basis, for a tournament or special event (hereinafter, event), more tables than the gambling establishment is authorized to regularly operate. To apply for additional tables, the applicant must submit to the Bureau, no less than 45 business days prior to the event, the following for each event:
(2) A non-refundable application fee of $\$ 500 \$ 164$ plus a Bureau review deposit pursuant to Title 11 , Cal Code Regs., Section 2037, made payable to the Bureau of Gambling Control.

Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841(a), 19841(b), 19841(c), 19841(p), 19864, 19950(b) and 19952, Business and Professions Code. Reference: Section 19951, Business and Professions Code.

## § 12472. Request for Additional Permanent Tables.

(a) The cardroom business licensee may apply to operate additional tables on a permanent basis by submitting the following to the Bureau:
(2) A non-refundable application fee of $\$ 500 \$ 164$ plus a Bureau review deposit pursuant to Title 11 , Cal Code Regs., Section 2037, made payable to the Bureau of Gambling Control.

Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19864, 19950(b) and 19951, Business and Professions Code. Reference: Section 19951, Business and Professions Code.
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///

## CHAPTER 2

## APPENDIX A

Additions shown in underline; deletions shown in strikeout.


## MAIL COMPLETED FORM AND FEE TO:

BUREAU OF GAMBLING CONTROL
P.O. Box 168024

Sacramento, CA 95816-8024
(916) 830-1700

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant. A replacement badge fee of $\$ \mathbf{2 5} \mathbf{\$ 8}$ is required for all license types.

## SECTION 1: PERSONAL INFORMATION

| FULL NAME: LAST | FIRST | MIDDLE |
| :--- | :--- | :--- |

## SECTION 2: REPLACEMENT INFORMATION



| SECTION 3: DECLARATION |
| :--- | :--- |
| $\left.\begin{array}{l}\text { I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that } \\ \text { this declaration is executed by me at } \\ \text { PRINTED NAME } \\ \\ \hline\end{array}\right]$ City and State |

This form must be signed by the badge recipient.


MAIL COMPLETED FORM AND FEE TO:<br>BUREAU OF GAMBLING CONTROL<br>P.O. Box 168024<br>Sacramento, CA 95816-8024<br>(916) 830-1700

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply for an Employee Category License.
All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive an Employee Category License is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.

By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, Cal. Code Regs., Section 12015.
It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's Employee Category License. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

Applicant's Full Name

Associated Owner Category Licensee

Date of Photograph

Affix a passport quality
photography taken within the last 30
calendar days here.

PLEASE PRINT NAME
ON BACK OF PHOTOGRAPH

## SECTION 1: PERSONAL INFORMATION

| FULL NAME: LAST | FIRST | MIDDLE |
| :--- | :--- | :--- |

## SECTION 2: APPLICATION

A) Type of Application (Check Appropriate Box)

| Key Employee License | TPPPS SUPERVISOR LICENSE |
| :---: | :---: |
| Commission Work Permit | TPPPS WORKER LICENSE |

## B) Select if this is an Application for an Initial or Renewal License (Check Appropriate Box)

$\square$ InITIAL APPLICATION

- Key Employee License or TPPPS Supervisor License, an application fee of $\$ 750164$
- TPPPS Worker License, an application fee of \$500164
- Commission Work Permit, an application fee of \$250164


## Renewal Application

- Key Employee License or TPPPS Supervisor License, an application fee of $\$ 750164$
- TPPPS Worker License, an application fee of $\$ 500164$
- Commission Work Permit, an application fee of $\$ 250164$
$\square$ AdDITIONAL REQUEST FOR A TEMPORARY LICENSE
Include additional temporary license fee of $\$ 254$.
NOTE: Initial applicants do not Complete Section 3.
C) JOB InFORMATION

JOB TITLE

JOB DESCRIPTION

## SECTION 3: RENEWAL INFORMATION

Complete this section only for a renewal application. If you answer "YES" to any of the questions below, please provide a detailed explanation for each item marked "YES" on a separate sheet of paper and attach to the application.

ALL APPLICANTS

1. Have you been named in any administrative action affecting any license certification since last filing a license or Commission work permit application?
2. Have you been convicted of any crime (misdemeanor or felony) since last filing a license or Commission work permit application?

Note: It is your responsibility to verify the circumstances and status of all crimes and you should err on the side of disclosure as failing to disclose a conviction can weigh against your application being approved.
KEY EMPLOYEE OR TPPPS SUPERVISOR
3. Have you been a party to any civil litigation since last filing a license or Commission work permit application?
4. Have you acquired or increased your financial interest in a business that conducts lawful gambling outside the State since last filing a license or Commission work permit application?
5. Have you entered into any new agreements since last applying for a license? If yes, attach a list of agreements including the amount and all contracting parties.


## SECTION 4: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS MUST BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND COMPLETED AND RECEIVED BY THE STATE.
MARK THE BOX NEXT TO EACH ATTACHED ITEM.Completed Request for Live Scan Service (BCIA 8016), including the ATi NumberAuthorization to Release Information, CGCC-CH2-13 - Provide originalInITIAL APPLICANTS MUST ALSO ATTACH A COMPLETED SUPPLEMENTAL BACKGROUND INFORMATION FORM, AS INDICATED BELOW:
Key Employee License and TPPPS Supervisor License: Key Employee or TPPPS Supervisor: Supplemental Information, CGCC-CH2-08 Commission Work Permit and TPPPS Worker License: Commission Work Permit or TPPPS Worker: Supplemental Information, CGCC-CH2-10

## SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at $\qquad$
City and State
PRINTED NAME
SIGNATURE
DATE (MM/DD/YYYY)

This form must be signed by the applicant.


MAIL COMPLETED FORM AND FEE/DEPOSIT TO:<br>BUREAU OF GAMBLING CONTROL<br>P.O. Box 168024<br>Sacramento, CA 95816-8024<br>(916) 830-1700

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply as an Owner Category Licensee as defined by the Gambling Control Act (Act) and/or implementing administrative regulations, as applicable.
All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive a license is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.
By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, California Code of Regulations, Section 12015.
It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's license. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.
All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.


Associated Owner Category Licensee
Associated Owner Category Licensee

Date of Photograph

> Affix a passport quality photography taken within the last 30 calendar days here.

> PLEASE PRINT NAME ON BACK OF PHOTOGRAPH

## Page 2 of 4

## SECTION 1: APPLICATION

## A) Type of Application (Check Appropriate Box)

$\square$ CARDRoom Business LIcense
The sole proprietor, LLC, corporation, partnership, trust, or business entity that operates a gambling establishment

TPPPS Business License
The sole proprietor, LLC, corporation, partnership, trust, or other business entity that proposes to provide third-party proposition services as an independent contractor in a gambling establishment.
$\square$ Cardroom Endorsee License
An endorsed licensee is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source.

TPPPS Endorsee License
An owner is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source.

## B) Select if this is an Application for an Initial or Renewal License (Check Appropriate Box)

## $\square$ Intital Application

- $\$ 1000-164$ Application Fee
- Cardroom business licensee, an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951 unless the application is due to a change in the ownership structure of a currently licensed gambling establishmentInitial Application with Request for TEMPORARY LICENSE
- $\$ 1000-164$ Application Fee
- Cardroom business licensee, an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951 unless the application is due to a change in the ownership structure of a currently licensed gambling establishment


## $\square$ Renewal Application

- $\$ 1000-164$ Application Fee
- Inelude additional temporary license fee of $\$ 25$.

BADGE REQURED

- $\$ 25$ Application Fee
- Cardroom business licensee, Cardroom
endorsee licensee, Cardroom business licenseeor Cardroom endorsee licensee, a delinquency fee of $\$ 1000492$, if applicable
- Cardroom business licensee, a background investigation deposit required in Title 11, Cal. Code Regs., Section 2037
$\square$-badgerequred
- $\$ 25$ Application Fee

Note: Initial applicants and Initial Applicants with Request for Temporary License do not complete Section 2.


## Page 3 of 4

## SECTION 3: CARDROOM BUSINESS LICENSE OPERATIONS

Complete this section only for a cardroom business licensee.


NAME OF PROPOSED GAMES

## Indicate Endorsed Owners

Identify all individual officers (President, Secretary, Treasurer, and Chief Financial Officer), directors, shareholders, partners, members, etc. of the entity. For Trusts, identify the Trustor and any Trustees. For officers and directors of corporations with no ownership interest, enter $0 \%$ in the ownership column. If a section does not apply, write "N/A" (not applicable). If additional space is needed, please use separate sheets of paper.

| Entity/Individual's Name | Title | Ownership /Membership Interest <br> Percentage | Compensation Arrangement <br> (salary, hourly wage, incentives, <br> bonuses, etc.) |
| :---: | :---: | :---: | :---: |
|  |  | $\%$ | $\%$ |
|  |  | $\%$ | $\%$ |
|  |  | $\%$ | $\%$ |

## SECTION 4: ADDITIONAL REQUIRED ITEMS

The following items must be submitted, as applicable, with this completed form. Provide copies of documents unless otherwise stated. Only documents that are dated and signed by the applicant will be accepted. Failure to provide required items may result in denial OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND RECEIVED BY THE STATE.
MARK THE BOX NEXT TO EACH ATTACHED ITEM.Completed Request for Live Scan Service (BCIA 8016), including the Ati NumberAuthorization to Release Information, CGCC-CH2-13 - Provide originalNote: Initial applicants must also attach a completed Supplemental Background Information form, as indicated below:
Cardroom business licensee or TPPPS business licensee: Business Entity: Supplemental Information, CGCC-CH2-06 in addition to any other form required below Individual Applicants: Individual Owner/Principal: Supplemental Information, CGCC-CH2-07 Entity Applicants: Business Entity: Supplemental Information, CGCC-CH2-06
*Trust Applicants: Trust: Supplemental Information, CGCC-CH2-09
*Current beneficiaries do not need to submit an application if the beneficiary is less than 21 years of age. Contingent beneficiaries do not need to submit an application if benefits are contingent upon a specific future event or circumstance.
additional documentation may be required by the Bureau of Gambling Control.

## SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at

> City and State

PRINTED NAME
SIGNATURE
DATE (MM/DD/YYYY)

This form must be signed by the appropriate person identified below:

- If applicant is a corporation, LLC, or joint venture then by an authorized officer.
- If applicant is a general partnership or limited partnership then by an authorized partner.
- If applicant is a sole proprietor then by the owner.
- If applicant is a trust then by an authorized trustor or trustee.
- If applicant is a natural person then by the applicant/.


## CHAPTER 3

## APPENDIX A

Additions shown in underline; deletions shown in strikeout.

# COMMERCIAL/EXPRESS DELIVERIES ONLY BUREAU OF GAMBLING CONTROL 

P.O. Box 168024

Sacramento, CA 95816-8024
(916) 830-1700

ATTN: THIRD-PARTY PROVIDER UNIT
2450 Del Paso Road, Suite 100
Sacramento, CA 95834

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section number. Please review California Code of Regulations, Title 4, Sections 12250 to 12290 , prior to submitting a playing book for approval. Failure to provide the requested information may result in the abandonment or denial of this application.

## Enclose a check or money order made payable to: Bureau of Gambling Control

## SECTION 1: TYPE OF FORM SUBMITTED (check one box and submit the additional required items)

| HARD COPY PLAYING BOOK FORM (\$75) COMPLETE SECTIONS $2,3,7 \& 8$ | ELECTRONIC PLAYING BOOK SYSTEM (\$1200) COMPLETE SECTIONS 2, 3, 4, 5, 7 \& 8 |
| :---: | :---: |
| SECTION 2: TYPE OF APPROVAL REQUESTED (check one box) |  |
| $\square$ INITIAL | AMENDMENT <br> IN ADDITION TO THE SECTIONS IDENTIFIED ABOVE, COMPLETE SECTION 6 |

SECTION 3: TPPPS BUSINESS LICENSEE INFORMATION
FULL NAME OF TPPPS BUSINESS LICENSEE (BUSINESS ENTITY OR SOLE PROPRIETOR)

> LICENSE NUMBER

SECTION 4: INFORMATION TECHNOLOGY TECHNICIAN CONTACT INFORMATION
(Must be licensed pursuant to CCR $\S 12260(j)$ )

| LAST NAME | FIRST NAME | LICENSE NUMBER | STP CODE |
| :--- | :--- | :--- | :--- |
| MAILING ADDRESS (NUMBER/STREET/SUITE) | CITY | EMAIL ADDRESS |  |
| TELEPHONE NUMBER | FAX NUMBER |  |  |

SECTION 5: DESCRIBE HOW THE SIGNATURE WILL BE INDICATED (FOR ELECTRONIC PLAYING BOoK SYSTEMS ONLY)

## SECTION 6: BRIEFLY EXPLAIN THE REASON FOR THE AMENDMENT AND IDENTIFY THE CHANGES

 (FOR AMENDMENTS ONLY)
## SECTION 7: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS MUST BE SUBMITTED WITH THIS COMPLETED FORM, AS APPLICABLE. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.
Application Fee:
$\square$ Intial Hard Copy Playing Book Form: $\$ 75$Initial Electronic Playing Book System: \$1200Amendment to Electronic Playing Book System: \$94
$\square$ AMENDMENT TO HARD COPY Playing Book Form: \$75

## FOR HARD COPY PLAYING BOOK FORM:

SAMPLE PLAYING BOOK FORM

## FOR ELECTRONIC PLAYING BOOK SYSTEM:

SCREEN SHOTS OR PICTURES OF THE FORM AS IT APPEARS ON THE DEVICEPRINTED PLAYING BOOK FORMCERTIFICATION FROM AN INDEPENDENT GAMING TESTING LABORATORYChart of system accessWRITTEN SUMMARY OF THE DESIGN AND OPERATION OF THE SYSTEMAt LEAST ONE OFA VIDEO OF THE SYSTEM IN OPERATION
A PROTOTYPE DEVICE WITH WRITTEN INSTRUCTIONS AND NECESSARY ACCESS
$\square$ A LIVE DEMONSTRATION OF THE SYSTEM WILL BE PROVIDED

Additional documentation may be required by the Bureau of Gambling Control.

## SECTION 8: SIGNATURE

This form must be signed by the appropriate person identified below:

- If licensee is a corporation, LLC, or joint venture then by an authorized officer.
- If licensee is a general partnership or limited partnership then by an authorized partner.
- If licensee is a sole proprietor then by the owner.
- If licensee is a trust then by an authorized trustor or trustee.
- If licensee is a natural person then by the licensee.

Application for Contract Approval to
Provide Proposition Player Services

CGCC-CH3-02 (Now 05/20Rev. 09/21)
Page 1 of 2

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BGC ID#
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MAIL COMPLETED FORM AND FEE TO: BUREAU OF GAMBLING CONTROL
P.O. Box 168024

Sacramento, CA 95816-8024
(916) 830-1700

COMMERCIAL/EXPRESS DELIVERIES ONLY BUREAU OF GAMBLING CONTROL<br>ATTN: THIRD-PARTY PROVIDER UNIT<br>2450 Del Paso Road, Suite 100<br>Sacramento, CA 95834

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

If a question does not apply to you, indicate with "N/A." Failure to provide the requested information may result in the abandonment or denial of this application. Any corrections, changes, or other alterations must be initialed and dated by the signatory.

The TPPPS business licensee is responsible for all costs incurred by the Bureau of Gambling Control (Bureau) while conducting the review. At the conclusion of the review, the signatory will receive an itemized accounting of all costs. Deposits received in excess of the actual costs incurred will be refunded to the applicant. A notice of contract approval will not be issued until all fees have been received.

Enclose a check or money order made payable to: Bureau of Gambling Control

| A) Indicate the type of contract approval request (CHECK Appropriate Box) |  |  |  |
| :---: | :---: | :---: | :---: |
| $\square$ NEW | $\square$ Expedited New | $\square$ Amendment | $\square$ Extension |
| B) TPPPS BUSINESS LICENSEE INFORMATION |  |  |  |
| BUSINESS ENTITY NAME (IF APPLICABLE) |  |  |  |
| FULL NAME(IF APPLICABLE): LAST | FIRST |  | MIDDLE |
| MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE) |  |  |  |
| TELEPHONE NUMBER | FAX NUMBER |  | EMAIL ADDRESS |

## SECTION 2: CARDROOM BUSINESS LICENSEE INFORMATION

| BUSINESS ENTITY NAME |  |  |
| :--- | :--- | :--- |
| MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE) |  |  |
| TELEPHONE NUMBER | FAX NUMBER | EMAIL ADDRESS |

## SECTION 3: ADDITIONAL REQUIRED ITEMS

The following items must be submitted with this completed form, as applicable. Provide copies of documents unless otherwise stated. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

## FOR A NEW CONTRACT OR THE EXTENSION OF AN EXISTING CONTRACT:

Appointment of Designated Agent [CGCC-CH1-04 (New 05/20)] - Provide OriginalExecuted Copy of the ContractNon-refundable \$1,000 57 Application FeeDeposit as required by Title 11, CCR, Section 2037FOR AN EXPEDITED REVIEW OF NEW CONTRACT (IN ADDITION TO THOSE ITEMS REQUIRED OF NEW CONTRACTS):

Expedited Review Processing Fee of $\$ 150$
FOR AN AMENDED CONTRACT:Appointment of Designated Agent [CGCC-CH1-04 (New 05/20)] - Provide OriginalExecuted Copy of the Amended contractNon-REFUNDABLE \$500 57 AMENDMENT APPLICATION FEEDeposit as required by Title 11, CCR, Section 2037
Additional documentation may be required by the Bureau of Gambling Control.

## SECTION 4: SIGNATURE

This form must be signed by the appropriate person identified below:

- If licensee is a corporation, LLC, or joint venture then by an authorized officer.
- If licensee is a general partnership or limited partnership then by an authorized partner.
- If licensee is a sole proprietor then by the owner.
- If licensee is a trust then by an authorized trustor or trustee.
- If licensee is a natural person then by the licensee.


## CHAPTER 4

## APPENDIX A

Additions shown in underline; deletions shown in strikeout.

## APPLICATION FOR REGISTRATION OF MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT BGC-025 (Rev. 07/1709/21)

Please read the instructions listed on this form. Type or print legibly in ink an answer for each question. If a question does not apply to you, please indicate with "N/A". PLEASE SEND COMPLETED APPLICATIONS TO: Bureau of Gambling Control, P.O. Box 168024, Sacramento, CA 95816-8024

| PLEASE TYPE OR PRINT ALL INFORMATION |  |  | $\square$ New |  | $\square$ Renewal |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\stackrel{\text { 下 }}{\frac{\pi}{4}}$ | Registration Type: <br> Check One $\qquad$ Class A (include registration fee with application) $\qquad$ Class B |  |  |  |  |
|  | APPLICANT NAME |  |  | PHONE NUMBER$\left(\begin{array}{l}\text { ( }\end{array}\right.$ |  |
|  | PRINCIPAL PLACE OF BUSINESS ADDRESS |  |  |  |  |
|  | MAILING ADDRESS (IF DIFFERENT THAN BUSINESS ADDRESS) |  |  |  |  |
|  | FACSIMILE NUMBER ( ) | FEDERAL EMPLOYER IDENTIFICATION N | ( (if any) | SOCIAL SECURITY NUMBER (If no FEIN) |  |
|  | ADDITIONAL GAMBLING EQUIPMENT BUSINESS LOCATION(S) WITHIN CALIFORNIA, INCLUDING STORAGE FACILITIES (if any) |  |  |  |  |
|  | BUSINESS ACTIVITY STATEMENT WITH REGARDS TO GAMBLING EQUIPMENT (PLEASE MARK EACH BOX THAT APPLIES): MANUFACTURING (INCLUDING THE ASSEMBLY, <br> PRODUCTION, PROGRAMMING, OR MODIFICATION OF) testing distributing REPAIRING SELLING REFURBISHING LEASING storing INSPECTING |  |  |  |  |
|  | If more space is necessary or more information included with the registration, please indicate with an X in the "Additional Information Attached" box for that section. Please indicate on attached sheet(s) which information section the attachment is intended to supplement. |  |  |  | Additional Information Attached |
|  | 1. If applicant is a business entity: name, mailing address, phone number, facsimile number (if any), of chief executive officer, or other person designated by the entity to serve as the entity's representative. |  |  |  | $\square$ |
|  | 2. If principal place of business is located outside of California, enclose copy of current gaming licensure in the jurisdiction in which it is located to manufacture or distribute gambling equipment, or a statement that gaming licensure is not required by the jurisdiction in which it is located. |  |  |  | $\square$ |
|  | 3. Statement of registration with United States government as required by Title 15, United States Code, section 1173 or statement that registration is not required. |  |  |  | $\square$ |
|  | 4. Have you designated an agent for service of process with the California Secretary of State? If so, name of designated agent for service of process: |  |  |  | $\square$ |
|  | 5. Please answer both $A$ and $B$ with either yes or no: <br> A. Do you sell, lease, inspect, test, repair, refurbish, or store only slot machines or devices which are "antique slot machines" within the definition of Penal Code 330.7? <br> B. Are you otherwise a manufacturer or distributor as defined in 4 CCR sec. 12300(b)(7)? |  |  |  | Yes $\square \mathrm{No} \square$ <br> Yes $\square$ No $\square$ |
|  | 6. Within my personal knowledge, the foregoing information is accurate and complete. |  |  |  | $\square$ |


|  | I declare under penalty of perjury under the laws of the State of California that the foregoing is true, and <br> correct. |
| :--- | :--- | :--- |
| Dignature of Applicant's Designated Representative |  |
| 三 | Typed or Printed Name of Applicant's Designated Representative |
| Title |  |

## REGISTRATION OF MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT INSTRUCTIONS

The regulations contained in Title 4, California Code of Regulations, sections 12300 through 12310 require manufacturers or distributors of gambling equipment to apply for registration with the Bureau of Gambling Control (Bureau).

Section 12300(b)(5) defines "gambling equipment" as follows:
"any slot machine or device as defined in section 330b or 330.1 of the Penal Code. 'Gambling Equipment' also includes (A) any essential part and (B) any inoperable slot machine or device that is substantially complete and repairable or that can be made operable with the installation of one or more essential parts. Any reference to slot machines or devices has the meaning defined in Penal Code sections 330b and 330.1."

Section 12300(b)(7) defines "manufacturer or distributor" as follows:
"any person that manufactures, including the assembly, production, programming, or modification of, distributes, sells, leases, inspects, tests, repairs, refurbishes, or stores gambling equipment in this state or for use in this state. Manufacturer or distributor includes, in addition to in-state manufacturers and distributors, persons performing these functions in a location outside of this state with respect to gambling equipment intended for operation in this state.

The application form requires the following information:

1. Registration type: Indicate Class A or Class B. [Section 12300(b)(2).]
a. Class B registration applies to any manufacturer or distributor that has no place of business in the State of California and that does not transport gambling equipment to a destination within the State of California, other than transportation of gambling equipment from an out-of-state location to a tribal gaming facility in this state.
b. All other registrations are Class A.
2. Name, address of principal place of business (whether located within or outside California), telephone and facsimile numbers, and mailing address (if different from the address of the principal place of business).
3. Federal Employer Identification Number ("FEIN") or Social Security Number, if no FEIN.

If you do not have an "FEIN" number, disclosure of your social security number is mandatory. Section 19841(a) of the Business and Professions Code authorizes collection of your social security number. If you fail to disclose your social security number, your application will not be processed. Your social security number will be used exclusively for identification purposes or for purposes of compliance with any judgment or order for family support in accordance with Section 17520 of the Family Code.

Effective July 1, 2012, the Commission is required to deny an application and to suspend the license/registration/permit/ approval of any applicant or licensee who has outstanding state tax obligations and appears on either the Franchise Tax Board's or Board of Equalization's certified list of the top 500 tax delinquencies over \$100,000 (Revenue and Taxation Code section 494.5).
4. Gambling equipment business location(s) within California, including storage facilities (if applicable).
5. Part I. Business Activity Statement - A description of the types of gambling equipment businesses conducted by the applicant: manufacturing (i.e., assembly, production, programming, or modification), distributing, selling, leasing, inspecting, repairing, or storing. Indicate all categories that apply.
6. If the applicant is a business entity, the name, mailing address, voice telephone number, and facsimile telephone number (if any) of the chief executive officer, or other person designated by the entity to serve as the entity's representative.
7. If the applicant's principal place of business is located outside of this state, provide a copy or other evidence of current gaming licensure in the jurisdiction in which the applicant is located to manufacture or distribute gambling equipment, or submit a statement that gaming licensure is not required by the jurisdiction in which the applicant is located.
8. A copy of the applicant's current registration with the United States Attorney General pursuant to the Gambling Devices Act of 1962 (the Johnson Act), Title 15 United States Code section 1173, if registered. If not registered, include a statement that the applicant is not required to register under the Gambling Devices Act of 1962, Title 15 United States Code section 1173.
9. If an agent for service of process has been designated with the California Secretary of State, indicate the name of that designated agent. [California Corporations Code section 1505.] (An agent for service of process is a person authorized to receive the summons used to initiate a legal action).
10. Signature of the applicant's designated representative. (This individual would be the person designated to represent the entity for the purpose of applying for registration.)
11. Except as noted below, a non-refundable $\$ 50032$ application fee for Class A registration. A nonrefundable application fee of forty thirty-two dollars (\$4032) shall be submitted by any manufacturer or distributor applying for Class A registration that sells, leases, inspects, tests, repairs, refurbishes, or stores ONLY slot machines or devices which are "antique slot machines" within the meaning of Penal Code section 330.7, provided that this provision does not apply to a person that is otherwise a manufacturer or distributor. (Section 12301(b)(10)(B).)

The information can be mailed to:
For Regular Mail Delivery: For Commercial/Personal Delivery:

Bureau of Gambling Control
P.O. Box 168024

Sacramento, CA 95816-8024

Bureau of Gambling Control 2450 Del Paso Road, Suite 100 Sacramento, CA 95834

The Commission strongly encourages all manufacturers and distributors to read the regulations published in the California Code of Regulations: Title 4, sections 12300 through 12310. The text of the regulations may also be found on the Commission's website: www.cgcc.ca.gov, under "Laws and Regulations."

