

1 **California Code of Regulations**

2 TITLE 4. BUSINESS REGULATIONS.

3
4 DIVISION 18. CALIFORNIA GAMBLING CONTROL COMMISSION.

5 (AMENDED REGULATIONS EFFECTIVE SEPTEMBER 30, 2022)

6
7 **CHAPTER 1. GENERAL PROVISIONS**

8 **ARTICLE 1. DEFINITIONS AND GENERAL PROCEDURES**

9
10 **§ 12002. General Definitions.**

11 Unless otherwise specified, the definitions in Business and Professions Code section 19805,
12 supplemented by the definitions found in Chapter 10 of Title 9 of Part 1 of the Penal Code (commencing
13 with section 330), govern the construction of this division. As used in this division:

14 ...

15 (ab) "Gross revenue" means the total of all compensation received for participating in or conducting
16 any controlled game, and includes interest received in payment for credit extended by a cardroom
17 business licensee to a patron for purposes of gambling.

18 (ac) ...

19 (ad) ...

20 (ae) ...

21 (af) ...

22 (ag) ...

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25 (aj) ...

26 (ak) ...

27 (al) ...

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- 1 (at) ...
- 2 (au) ...
- 3 (av) ...
- 4 (aw) ...
- 5 (ax) ...
- 6 (ay) ...
- 7 (az) ...

8 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19853 and 19854, Business and Professions
9 Code. Reference: Sections 19800, 19805, 19811, 19816, 19853 and 19984, Business and Professions Code.

10
11 **CHAPTER 2. LICENSES AND WORK PERMITS**

12 **ARTICLE 2. INITIAL AND RENEWAL LICENSES AND WORK PERMITS**

13
14 **§ 12112. Initial License Applications; Required Forms.**

15 A person applying for Commission approval must submit the following to the Bureau:

16 (a) A completed Application for Employee Category License, CGCC-CH2-04 (Rev. 11/21) or
17 Application for Owner Category License, CGCC-CH2-05 (Rev. 9/22), which are attached in Appendix A
18 to this chapter, any applicable fees required in Section 12090, and the applicable background
19 investigation deposit required by Title 11, CCR, Section 2037.

20 ...

21 Note: Authority cited: Sections 19811, 19824, 19840, 19841, 19850, 19912 and 19984, Business and Professions
22 Code. Reference: Sections 19801, 19811, 19824, 19826, 19841, 19850, 19851, 19852, 19855, 19864, 19865, 19866,
23 19867, 19868, 19878, 19880(d), 19883, 19890(e), 19893, 19912, 19951, 19982 and 19984, Business and
24 Professions Code.

25
26 **CHAPTER 3. CONDITIONS OF OPERATION FOR TPPPS BUSINESSES**

27 **ARTICLE 1. GENERAL PROVISIONS**

28
29 **§ 12252. TPPPS Annual Fee.**

30 (a) The Bureau will provide each TPPPS business licensee an invoice, pursuant to Section 12006, by
31 October 1, 2022, and each October 1 thereafter, of their annual fee, as provided in Section 12252.2.

32 (b) The annual fee may be paid in installments. The TPPPS business licensee must submit a written
33 request to the Bureau to make installment payments within 30 calendar days from the date the invoice
34 was served.

35 (c) A request for installment payments will be considered approved, unless within 14 calendar days of
36 the request being received, the Bureau provided notification, pursuant to Section 12006, that the request
37 has been disapproved. The Bureau will disapprove the request if the TPPPS business licensee has a

1 history of failing to make installment payments as required or has not submitted the request pursuant
2 subsection (b). Installment payments must be made in the year following the request pursuant to
3 subsection (b) as follows: one-third of the annual fee to be submitted no later than January 1, one-third no
4 later than April 1, and the balance no later than June 30. If installment payments were not requested or a
5 request for installment payments was disapproved, the entire amount of the annual fee must be submitted
6 no later than January 1.

7 (d) The TPPPS license certificate will not be issued until the Bureau has received the annual fee or
8 has approved the TPPPS business licensee for installment payments.

9 (e) Any application for a renewal TPPPS business license will not be approved by the Commission
10 until any delinquent annual fees have been paid in full.

11 (f) No application for a TPPPS contract may be approved by the Bureau until any delinquent annual
12 fees have been paid in full.

13 (g)(1) Upon the first issuance of a TPPPS business license, as either a temporary TPPPS business
14 license or an initial TPPPS business license, whichever occurs first, the Bureau will issue an invoice,
15 pursuant to Section 12006, in the annual fee amount required of an active licensee with a gross revenue
16 under \$1,500,000, as provided in Section 12252.2, divided by 12, multiplied by the number of whole
17 months remaining in the current calendar year. The TPPPS business licensee must submit to the Bureau
18 the annual fee within 30 calendar days of service.

19 (2) For the first full calendar year of licensure, the Bureau will invoice, pursuant to Section 12006, the
20 TPPPS business licensee the unadjusted annual fee required of an active licensee with a gross revenue
21 under \$1,500,000, as provided in Section 12252.2 for the following calendar year. If the license is
22 approved after October 1, this invoice will be issued at the same time as the invoice required in paragraph
23 (1).

24 Note: Authority cited: Sections 19823, 19824, 19826, 19840, 19841 and 19984, Business and Professions Code.
25 Reference: Sections 19841 and 19984, Business and Professions Code.
26

27 **§ 12252.2. TPPPS Annual Fee Amounts.**
28

Type of TPPPS Business Licensee	Annual Fee
Surrendered or Revoked Licensee	\$0
Non-Operational Licensee	\$2,035
Active Licensee – 3-Year Average Gross Revenue Under \$1.5M	\$4,069
Active Licensee – 3-Year Average Gross Revenue of \$1.5M or More	1.54% of the 3-year Average Gross Revenue

29 Note: Authority cited: Sections 19823, 19824, 19826, 19840, 19841, and 19984, Business and Professions Code.
30 Reference: Sections 19826, 19841, 19867 and 19984, Business and Professions Code.

1
2 **CHAPTER 5. ACCOUNTING AND TRANSACTION APPROVALS**

3 **ARTICLE 1. ACCOUNTING AND FINANCIAL REPORTING**
4

5 **§ 12318. Cardroom Business License Gaming Revenue Report.**

6 Each cardroom business licensee must submit, no later than 120 calendar days following the end of
7 the cardroom business licensee's preceding fiscal year, a completed Cardroom Business License: Gaming
8 Revenue Report, form CGCC-CH5-01 (New 09/22), which is hereby attached in Appendix A to this
9 chapter.

10 Note: Authority cited: Sections, 19824, 19840, and 19841 Business and Professions Code. Reference: Section 19841
11 Business and Professions Code.
12

13 **CHAPTER 7. CONDITIONS OF OPERATION FOR GAMBLING ESTABLISHMENTS**

14 **ARTICLE 1. GENERAL PROVISIONS**
15

16 **§ 12360. Chapter Definitions.**

17 (a) Except as otherwise provided in Section 12002 and in subsection (b) of this section, the definitions
18 in Business and Professions Code section 19805 govern the construction of this chapter.

19 (b) As used in this chapter:

20 (1) "Active Licensee" means a valid cardroom business licensee who has generated revenue for at
21 least the last year as reported pursuant to Section 12313.

22 (2) "Annual fee" is the amount that a cardroom business licensee is required to pay to cover non-
23 application costs pursuant to Section 12368.2.

24 (3) "Application Cost" means all costs, including the deposit, related to the processing of an
25 application.

26 (4) "Cage bank" means a fund consisting of monetary assets including, but not limited to, gambling
27 chips, cash, and cash equivalents, maintained inside a cage for use in gambling operations.

28 (5) "Cashier bank" means an imprest fund consisting of monetary assets including, but not limited to,
29 gambling chips, cash, and cash equivalents, maintained for or by an individual cashier inside a cage.

30 (6) "Confidential document" means any document or record, whether maintained in writing or
31 electronically, concerning any entity, individual, or group of individuals that contains any private
32 financial or personal information directly obtained from or provided by the subject (e.g., credit and check
33 cashing information, exclusion lists, Title 31 reports, etc.), or documents that are otherwise protected
34 under any other provision of law, and includes documents and information the public disclosure of which
35 may jeopardize the safety and security of patrons, employees, and their property, the assets of the
36 cardroom business licensee, or the integrity of gambling operations.

1 (7) “Floor bank” means an imprest fund consisting of monetary assets including, but not limited to,
2 gambling chips, cash, and cash equivalents, maintained outside a cage on or near the gambling floor.

3 (8) “Gambling equipment” means any equipment, devices, or supplies used or intended for use in the
4 play of any controlled game, and includes, but is not limited to, playing cards, tiles, dice, dice cups, card
5 shufflers, and gaming tables.

6 (9) “House rules” means a set of written policies and procedures, established by a cardroom business
7 licensee, which set general parameters under which that cardroom business licensee operates the play of
8 controlled games.

9 (10) “Non-Application Cost” means all costs other than application costs borne by the Commission,
10 Department of Justice, and all other State operations expenditures for the administration and enforcement
11 of the Act.

12 (11) “Non-Operational Licensee” means a cardroom business licensee that maintains a valid
13 cardroom business license or registration, and has not generated revenue in the past year as reported
14 pursuant to Section 12313.

15 (12) “Security department” means the operational entity within a gambling establishment that is
16 responsible, but not necessarily solely responsible, for patrol of the public areas of the establishment, and
17 to assist in:

18 (A) Maintaining order and security;

19 (B) Excluding underage patrons;

20 (C) Responding to incidents involving patrons or others;

21 (D) Detecting, reporting and deterring suspected illegal activity; and

22 (E) Completing incident reports.

23 (13) “Surrendered or Revoked Licensee” means a former cardroom business licensee who stopped
24 operating and ceased to maintain a cardroom business license prior to August 31 of the year the invoices
25 are created.

26 (14) “Surveillance unit” means the operational system or entity within a gambling establishment that
27 is responsible for the video recording, as may be specified in Article 3 of this chapter, of all activities
28 required to be under surveillance, monitored and/or recorded pursuant to the Act and this division for the
29 purposes of detecting, documenting and reporting suspected illegal activities, including suspected
30 gambling by persons under 21 years of age, and assisting the personnel of the security department in the
31 performance of their duties.

32 Note: Authority cited: Sections 19811, 19824, 19840, 19841 and 19924, Business and Professions Code. Reference:
33 Sections 19805, 19841, 19860 and 19924, Business and Professions Code.

34

1 **§ 12368. Cardroom Business License Annual Fee.**

2 (a) The Bureau will provide each cardroom business licensee an invoice, pursuant to Section 12006,
3 by October 1, 2022, and each October 1 thereafter, of their annual fee, as provided in Section 12368.2.

4 (b) The annual fee may be paid in installments. The cardroom business licensee must submit a written
5 request to the Bureau to make installment payments within 30 calendar days from the date the invoice
6 was served.

7 (c) A request for installment payments will be considered approved, unless within 14 calendar days of
8 the request being received, the Bureau provided notification, pursuant to Section 12006, that the request
9 has been disapproved. The Bureau will disapprove the request if the cardroom business licensee has a
10 history of failing to make installment payments as required or has not submitted the request pursuant
11 subsection (b). Installment payments must be made in the year following the request pursuant to
12 subsection (b) as follows: one-third of the annual fee to be submitted no later than January 1, one-third no
13 later than April 1, and the balance no later than June 30. If installment payments were not requested or a
14 request for installment payments was disapproved, the entire amount of the annual fee must be submitted
15 no later than January 1.

16 (d) The cardroom business license certificate will not be issued until the Bureau has received the
17 annual fees or has approved the cardroom business licensee for installment payments.

18 (e) Any application for a renewal cardroom business license will not be approved by the Commission
19 until any delinquent annual fees have been paid in full.

20 (f) No application for a TPPPS contract with a cardroom business licensee may be approved by the
21 Bureau until any delinquent annual fees owed by that cardroom business licensee have been paid in full.

22 (g)(1) Upon the first issuance of a cardroom business license, as either a temporary cardroom
23 business license or an initial cardroom business license, whichever occurs first, the Bureau will issue an
24 invoice, pursuant to Section 12006, in the annual fee amount required of an active licensee with a gross
25 revenue under \$1,500,000, as provided in Section 12368.2, divided by 12, multiplied by the number of
26 whole months remaining in the current calendar year. The cardroom business licensee must submit to the
27 Bureau the annual fee within 30 calendar days of service.

28 (2) For the first full calendar year of licensure, the Bureau will invoice, pursuant to Section 12006, the
29 cardroom business licensee the unadjusted annual fee required of an active licensee with a gross revenue
30 under \$1,500,000, as provided in Section 12368.2 for the following calendar year. If the license is
31 approved after October 1, this invoice will be issued at the same time as the invoice required in paragraph
32 (1).

33 Note: Authority cited: Sections 19811(b), 19823, 19824, 19840, 19841, 19876(a), 19951 and 19955, Business and
34 Professions Code. Reference: Sections 19841, 19876(a), 19951, 19954 and 19955, Business and Professions Code.

35

1 **§ 12368.2. Cardroom Business License Annual Fee Amounts.**
2

Type of Cardroom Business Licensee	Annual Fee
Surrendered or Revoked Licensee	\$0
Non-Operational Licensee	\$5,237
Active Licensee – 3-Year Average Gross Revenue Under \$1.5M	\$10,473
Active Licensee – 3-Year Average Gross Revenue of \$1.5M or More	1.29% of the 3-year Average Gross Revenue

3 Note: Authority cited: Sections 19811(b), 19823, 19824, 19840, 19841, 19876(a), 19951 and 19955, Business and
4 Professions Code. Reference: Sections 19826, 19841, 19876(a), 19951, 19954 and 19955, Business and Professions
5 Code.
6

7 **ARTICLE 10. GAMING TABLES**
8

9 **§ 12470. Request for Additional Temporary Tables for Tournaments or Special Events.**

10 (a) A cardroom business licensee may apply to operate, on a limited and temporary basis, for a
11 tournament or special event (hereinafter, event), more tables than the gambling establishment is
12 authorized to regularly operate. To apply for additional tables, the applicant must submit to the Bureau,
13 no less than 45 business days prior to the event, the following for each event:

14 (1) A completed and signed application form entitled Cardroom Business License: Gaming Tables,
15 CGCC-CH7-07 (Rev. 09/22), which is attached in Appendix A to this chapter.

16 (2) The non-refundable application fee required in Section 12090 plus a Bureau review deposit
17 pursuant to Title 11, Cal Code Regs., Section 2037, made payable to the Bureau of Gambling Control.

18 ...

19 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841(a), 19841(b), 19841(c), 19841(p), 19864,
20 19950(b) and 19952, Business and Professions Code. Reference: Section 19951, Business and Professions Code.
21

22 **§ 12472. Request for Additional Permanent Tables.**

23 ...

24 (d) The Bureau will complete its review of the application and submit its findings to the Commission
25 within 25 calendar-days of receipt of the application. Commission staff will then set the request on the
26 Commission agenda within 90 calendar-days of receiving the Bureau's findings and advise the applicant
27 of the agenda date.

28 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19864, 19950(b) and 19951, Business and
29 Professions Code. Reference: Section 19951, Business and Professions Code.
30

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32
33 ///

Appendix A

Chapter 2

Application for Owner Category License

CGCC-CH2-05 (Rev. 09/22)

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BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE/DEPOSIT TO:

BUREAU OF GAMBLING CONTROL

P.O. Box 168024

Sacramento, CA 95816-8024

(916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply as an Owner Category Licensee as defined by the Gambling Control Act (Act) and/or implementing administrative regulations, as applicable.

All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive a license is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.

By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, California Code of Regulations, Section 12015.

It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's license. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

Applicant's Full Name

Associated Owner Category Licensee

Date of Photograph

**Affix a passport quality
photography taken
within the last 30
calendar days here.**

**PLEASE PRINT NAME
ON BACK OF
PHOTOGRAPH**

SECTION 1: APPLICATION		
A) TYPE OF APPLICATION (CHECK APPROPRIATE BOX)		
<input type="checkbox"/> CARDROOM BUSINESS LICENSE The sole proprietor, LLC, corporation, partnership, trust, or business entity that operates a gambling establishment	<input type="checkbox"/> TPPPS BUSINESS LICENSE The sole proprietor, LLC, corporation, partnership, trust, or other business entity that proposes to provide third-party proposition services as an independent contractor in a gambling establishment.	
<input type="checkbox"/> CARDROOM ENDORSEE LICENSE An endorsed licensee is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source.	<input type="checkbox"/> TPPPS ENDORSEE LICENSE An endorsed licensee is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source.	
B) SELECT IF THIS IS AN APPLICATION FOR AN INITIAL OR RENEWAL LICENSE (CHECK APPROPRIATE BOX)		
<input type="checkbox"/> <u>INITIAL APPLICATION</u> <u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u> <ul style="list-style-type: none"> Application Fee required in Title 4, CCR, Section 12090 A background investigation deposit required in Title 11, CCR, Section 2037 	<input type="checkbox"/> <u>INITIAL APPLICATION WITH REQUEST FOR TEMPORARY LICENSE</u> <u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u> <ul style="list-style-type: none"> Application Fee required in Title 4, CCR, Section 12090 Additional Application Fee for a Temporary Owner Category License required in Title 4, CCR, Section 12090 A background investigation deposit required in Title 11, CCR, Section 2037 	<input type="checkbox"/> <u>RENEWAL APPLICATION</u> <u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u> <ul style="list-style-type: none"> Application Fee required in Title 4, CCR, Section 12090 A delinquency fee in the amount specified in Section 12090, if applicable A background investigation deposit required in Title 11, CCR, Section 2037
<input type="checkbox"/> <u>ALL INITIAL OR RENEWAL OWNER CATEGORY LICENSE APPLICANTS:</u> Check this box ONLY IF you need to be issued a badge upon approval of your application. NOTE: INITIAL APPLICANTS AND INITIAL APPLICANTS WITH REQUEST FOR TEMPORARY LICENSE DO NOT COMPLETE SECTION 2.		

SECTION 2: RENEWAL INFORMATION		
Complete this section only for a renewal application. If you answer "YES" to any of the questions below, please provide a detailed explanation for each item marked "YES" on a separate sheet of paper and attach to the application.		
<u>ALL APPLICANTS</u>		
1. Have you been a party to any civil litigation since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
2. Have you been named in any administrative action affecting any license certification since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
3. Have you been convicted of any crime (misdemeanor or felony) since last filing a license or Commission work permit application? Note: It is your responsibility to verify the circumstances and status of all crimes and you should err on the side of disclosure as failing to disclose a conviction can weigh against your application being approved.	<input type="checkbox"/> YES	<input type="checkbox"/> No
4. Have you acquired or increased your financial interest in a business that conducts lawful gambling outside the State since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
5. Have you transferred any ownership interest to any individual or into a Trust since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
6. Do you have a financial interest in the cannabis industry? If yes, answer question 7.	<input type="checkbox"/> YES	<input type="checkbox"/> No
7. If the answer to Question 6 was yes, do you currently have or do you intend to acquire a license or permit in the cannabis industry?	<input type="checkbox"/> YES	<input type="checkbox"/> No
<u>CARDROOM BUSINESS LICENSEE OR TPPPS BUSINESS LICENSEE</u>		
8. Have there been any changes affecting ownership or controlling interest in this business since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
9. Have there been any changes affecting ownership or controlling interest in any entity that is endorsed upon the license since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
10. Has there been any newly acquired or increase to any financial interest in a business that conducts lawful gambling outside the State since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
<u>CARDROOM BUSINESS LICENSEE</u>		
11. Has there been any change to the terms (financial or otherwise) of the business' lease or a change of landlord since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
<u>TRUST</u>		
12. Has there been any amendment to any trust documents or any changes to a beneficiary, trustee, or trust asset since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No

SECTION 3: CARDROOM BUSINESS LICENSE OPERATIONS

Complete this section only for a cardroom business licensee.

<input type="checkbox"/>	GAMING HOURS 24 HOURS/365 DAYS OR:	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	OPENING TIME							
	CLOSING TIME							
<input type="checkbox"/>	BUSINESS OFFICE HOURS SAME AS GAMING HOURS OR:	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
	OPENING TIME							
	CLOSING TIME							

NUMBER OF PERMANENT TABLES OPERATING OR TO BE OPERATED IN THE GAMBLING ESTABLISHMENT:

NAME OF PROPOSED GAMES

INDICATE ENDORSED OWNERS

Identify all individual officers (President, Secretary, Treasurer, and Chief Financial Officer), directors, shareholders, partners, members, etc. of the entity. For Trusts, identify the Trustor and any Trustees. For officers and directors of corporations with no ownership interest, enter 0% in the ownership column. If a section does not apply, write "N/A" (not applicable). If additional space is needed, please use separate sheets of paper.

Entity /Individual's Name	Title	Ownership /Membership Interest Percentage	Compensation Arrangement (salary, hourly wage, incentives, bonuses, etc.)
		%	
		%	
		%	
		%	
		%	

SECTION 4: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS **MUST** BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND RECEIVED BY THE STATE.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

COMPLETED REQUEST FOR LIVE SCAN SERVICE (BCIA 8016), INCLUDING THE ATI NUMBER

AUTHORIZATION TO RELEASE INFORMATION, CGCC-CH2-13 – **PROVIDE ORIGINAL**

NOTE: INITIAL APPLICANTS MUST ALSO ATTACH A COMPLETED SUPPLEMENTAL BACKGROUND INFORMATION FORM, AS INDICATED BELOW:

Cardroom business licensee or TPPPS business licensee: Business Entity: Supplemental Information, CGCC-CH2-06 in addition to any other form required below

Individual Applicants: Individual Owner/Principal: Supplemental Information, CGCC-CH2-07

Entity Applicants: Business Entity: Supplemental Information, CGCC-CH2-06

*Trust Applicants: Trust: Supplemental Information, CGCC-CH2-09

*Current beneficiaries do not need to submit an application if the beneficiary is less than 21 years of age. Contingent beneficiaries do not need to submit an application if benefits are contingent upon a specific future event or circumstance.

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at _____.

City and State

PRINTED NAME

SIGNATURE

DATE (MM/DD/YYYY)

This form must be signed by the appropriate person identified below:

- *If applicant is a corporation, LLC, or joint venture then by an authorized officer.*
- *If applicant is a general partnership or limited partnership then by an authorized partner.*
- *If applicant is a sole proprietor then by the owner.*
- *If applicant is a trust then by an authorized trustor or trustee.*
- *If applicant is a natural person then by the applicant.*

Appendix A

Chapter 5

Cardroom Business License: Gaming Revenue Report

CGCC-CH5-01 (New 09/22)

Page 1 of 2

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE TO:
 BUREAU OF GAMBLING CONTROL
 P.O. Box 168024
 Sacramento, CA 95816-8024
 (916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is for reporting gaming revenue to the Bureau of Gambling Control.

All responses must be truthful and complete. All responses and supplemental documentation are subject to verification and will be used to determine suitability under the Gambling Control Act and California Gambling Control Commission regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

SECTION 1: CARDROOM BUSINESS LICENSEE INFORMATION	
ENTITY NAME	LICENSE NUMBER

SECTION 2: GAMBLING ACTIVITIES/REVENUE	
List the games offered and the gross revenue attributed to each game for the cardroom's prior fiscal year.	
FISCAL YEAR REPORTING: _____ - _____ (mm/yy) (mm/yy)	
A. POKER STYLE GAMES	REVENUE
1)	\$
2)	\$
3)	\$
4)	\$
B. CALIFORNIA GAMES	REVENUE
1)	\$
2)	\$
3)	\$
4)	\$
C. OTHER GAMES	REVENUE
1)	\$
2)	\$
3)	\$
4)	\$

Appendix A

Chapter 7

Cardroom Business License: Gaming Tables

CGCC-CH7-07 (Rev. 09/22)
Page 1 of 2

BUREAU USE ONLY
BGC ID# _____



MAIL COMPLETED FORM AND FEE/DEPOSIT TO:
 BUREAU OF GAMBLING CONTROL
 P.O. Box 168024
 Sacramento, CA 95816-8024
 (916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the licensee/applicant.

SECTION 1: CARDROOM BUSINESS LICENSEE INFORMATION	
ENTITY NAME	LICENSE NUMBER

SECTION 2: REQUEST		
Select one and submit any additional required items.		
<input type="checkbox"/> Additional Permanent Tables <u>Must include the following:</u> <ul style="list-style-type: none"> Application fee pursuant to Title 4, CCR, Section 12090 Review Deposit pursuant to Title 11, CCR, Section 2037 	<input type="checkbox"/> Additional Temporary Tables <u>Must include the following:</u> <ul style="list-style-type: none"> Application fee pursuant to Title 4, CCR, Section 12090 Review Deposit pursuant to Title 11, CCR, Section 2037 	<input type="checkbox"/> Reduce Permanent Tables

SECTION 3: TABLE INFORMATION	
Current number of Authorized Permanent Tables:	
Requested Change or Temporary Tables:	
Total Number of Tables Proposed:	

SECTION 4: REQUEST FOR TEMPORARY TABLES
To be completed only if the request is for temporary tables.
PROPOSED DATE(S) AND TIME(S) OF THE EVENT (IF THE NUMBER OF TABLES VARY ON MULTIPLE DATES, ATTACH A LIST BY DATE)
NAME OF THE EVENT(S)
LOCATION OF THE EVENT(S) WITHIN THE GAMBLING ESTABLISHMENT
APPROVED GAMES OR GAMING ACTIVITIES TO BE OFFERED DURING THE EVENT (INDICATE IF ANY ARE STILL PENDING BUREAU APPROVAL)

SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at _____.

City and State

PRINTED NAME

SIGNATURE

CAPACITY

DATE (MM/DD/YYYY)

This form must be signed by the appropriate person identified below:

- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
- *If licensee is a general partnership or limited partnership then by an authorized partner.*
- *If licensee is a sole proprietor then by the owner.*
- *If licensee is a trust then by an authorized trustor or trustee.*
- *If licensee is a natural person then by the licensee.*