

1 CALIFORNIA CODE OF REGULATIONS  
2 TITLE 4. BUSINESS REGULATIONS.

3  
4 DIVISION 18. CALIFORNIA GAMBLING CONTROL COMMISSION.  
5 (AMENDED REGULATIONS EFFECTIVE OCTOBER 1, 2023)  
6

7 The California Gambling Control Commission hereby adopts the following changes in its  
8 regulations contained in Division 18 of Title 4 of the California Code of Regulations:  
9

10 CHAPTER 3. CONDITIONS OF OPERATION FOR TPPPS BUSINESSES

11 ARTICLE 4. SECURITY AND USE OF PLAYER’S BANKS  
12

13 § 12282. Incident Reports.

14 (a) TPPPS business licensees must submit an “Incident Report Form,” CGCC-CH7-08, as referred to  
15 in paragraph (4) of subsection (a) of Section 12395 within five business days of:

16 (1) Obtaining evidence that a reasonably suspected incident, as identified in paragraph (4) of  
17 subsection (a) of Section 12395, occurred within any space owned, managed, controlled, or rented by the  
18 TPPPS business licensee and involves a TPPPS category licensee, non-licensed employee, or a  
19 subcontractor or independent contractor hired by the TPPPS business licensee. This does not include any  
20 space for which a cardroom business licensee must file an incident report pursuant to paragraph (4) of  
21 subsection (a) of Section 12395, or any subcontractor or independent contractor whose duties are not  
22 directly related to the underlying business purpose or required administrative processes of the TPPPS  
23 business licensee.

24 (2) Any approval with conditions, denial, suspension, or revocation of a license, permit, or  
25 authorization to work in a gambling establishment by any city, county, or city and county regarding any  
26 associated TPPPS endorsee licensee or TPPPS employee type licensee. An incident report does not need  
27 to be filed for a denial unless that denial is for a reason that is substantially similar to either subdivision  
28 (a) or (b) of Business and Professions Code section 19857 or any subdivision of Business and Professions  
29 Code section 19859.

30 (3) Determining that any associated TPPPS endorsee licensee or TPPPS employee type licensee was  
31 arrested.

32 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
33 19826, 19841, 19920 and 19984, Business and Professions Code.  
34

1                   **CHAPTER 7. CONDITIONS OF OPERATION FOR GAMBLING ESTABLISHMENTS**

2                   **ARTICLE 3. MINIMUM INTERNAL CONTROL STANDARDS (MICS) FOR CARDROOM BUSINESS**  
3   **LICENSEES**

4  
5                   **§ 12395. Security.**

6                   (a) The policies and procedures for all Tiers must meet or exceed the following standards for security:

7                   (1) Access to non-public areas of the gambling establishment that have access to the gambling  
8 establishment's currency or unsecured gambling equipment, including but not limited to cages, count  
9 rooms, vaults, and security offices, must be limited to authorized cardroom category licensees, holders of  
10 a local work permit, or employees of a registered manufacturer or distributor only in the performance of  
11 their duties, or other person(s) if escorted and observed at all times by an authorized cardroom category  
12 licensee or holder of a local work permit in person or observed through a continuous live surveillance  
13 system. For purposes of this paragraph, "unsecured gambling equipment" means any gambling equipment  
14 in a non-public area that is not stored in a locked receptacle, drawer, or compartment which is under  
15 continuous recorded video surveillance, and does not include any gambling equipment that is not subject  
16 to tampering or related to game outcomes, such as gaming tables, table felts, chip trays, and lammers.  
17 This paragraph does not limit a proposition player contract's inclusion of a provision consistent with  
18 paragraph (13) of subsection (b) of Section 12270.

19                   (2) Access to any room used for the media storage for the surveillance system, pursuant to Section  
20 12396, must be limited to authorized cardroom category licensees and holders of a local work permit only  
21 in the performance of their duties, or other person(s) either if escorted and observed at all times by an  
22 authorized cardroom category licensee or holder of a local work permit in person, or observed or  
23 reviewed by a cardroom category licensee or holder of a local work permit using a continuous  
24 surveillance system. This paragraph does not limit a proposition player contract's inclusion of a provision  
25 consistent with paragraph (13) of subsection (b) of Section 12270.

26                   (3) ...

27                   (4) Cardroom business licensees must submit an "Incident Report Form," CGCC-CH7-08 (New  
28 08/22), which is attached in Appendix A to this Chapter, within five business days of the following  
29 incidents:

30                   (A) When the cardroom business licensee obtains evidence that a reasonably suspected violation of  
31 any of the following occurred:

32                   1. The Act, this division, Division 3 of Title 11 of the California Code of Regulations, any statute set  
33 forth in sections 330 through 337z of the Penal Code, or any misdemeanor violation of an ordinance of  
34 the applicable city, county, or city and county that pertains to gambling;

35                   2. Section 1916-3(b) of the Civil Code (loan-sharking);

1           3. Section 11012 of the Health and Safety Code (distributing a controlled substance, as defined in  
2 Section 11007 of the Health and Safety Code); or

3           4. Penal Code sections 148.10 (resisting a peace officer resulting in death or serious bodily injury to  
4 peace officer), 186.10 (money laundering), 211 (robbery), 220 (assault with intent to commit mayhem,  
5 rape, sodomy, oral copulation or other specified offense), 236.1 (human trafficking), 243.4 (sexual  
6 battery), 245 (assault with deadly weapon or force likely to produce great bodily injury), 261 (rape), 266h  
7 (pimping and pimping a minor), 266i (pandering and pandering of a minor), 286 (criminal sodomy), 287  
8 (criminal oral copulation), 288 (lewd and lascivious acts on a child), 289 (forcible acts of sexual  
9 penetration), 347 (poisoning food or drink), 422 (criminal threats), 470 (forgery: signatures or seals),  
10 470b (display or possession of forged driver's license or identification card), 476 (forgery: fictitious or  
11 altered bills, notes or checks), 518 (extortion), 641.3 (commercial bribery), 647(b) (prostitution), or  
12 653.23 (supervising or otherwise aiding a prostitute).

13           (B) Any incident involving:

14           1. A theft valued at an amount consistent with the dollar amount provided in subdivision (a) of Penal  
15 Code section 487, or any dollar amount if the theft is reasonably suspected to have been committed by a  
16 cardroom category licensee, local work permittee, or TPPPS category licensee;

17           2. The death of a person;

18           3. The on-site presence of law enforcement in response to a physical injury of a person;

19           4. The theft of \$500 or more in chips, or any dollar amount if the theft is reasonably suspected to have  
20 been committed by a cardroom category licensee, local work permittee, or TPPPS category licensee;

21           5. The discovery of \$500 or more in counterfeit currency and/or chips in a 24-hour period, or any  
22 dollar amount if the counterfeiting is reasonably suspected to have been committed by a cardroom  
23 category licensee, local work permittee, or TPPPS category licensee;

24           6. The discovery of \$500 or more in counterfeit currency and/or chips cumulatively linked to a  
25 specific individual or group of individuals over a two-week period; or

26           7. The immediate evacuation of the gambling establishment for a reason such as a false bomb threat  
27 (Penal Code Section 148.1).

28           (C) Any incident involving:

29           1. The forfeiture, pursuant to subparagraph (C) of paragraph (4) of subsection (a) of Section 12463 or  
30 paragraph (5) of subsection (a) of Section 12464, of any unredeemed jackpots or prizes;

31           2. The arrest of any associated cardroom endorsee licensee, cardroom employee type licensee, or  
32 holder of a local work permit; or

33           3. The approval with conditions, denial, suspension, or revocation of a license, permit, or  
34 authorization to work in a gambling establishment by any city, county, or city and county regarding any

1 associated cardroom endorsee licensee, cardroom employee type licensee, or holder of a local work  
2 permit. An incident report does not need to be filed for a denial unless that denial is for a reason that is  
3 substantially similar to either subdivision (a) or (b) of Business and Professions Code section 19857 or  
4 any subdivision of Business and Professions Code section 19859.

5 (D) For subparagraphs (A) and (B), the cardroom business licensee need only submit an “Incident  
6 Report Form,” CGCC-CH7-08, if the suspected violation or incident occurred within the gambling  
7 establishment or in any adjacent space owned, managed, controlled, rented, or utilized by the cardroom  
8 business licensee for or in connection with the gambling operation. This includes, but is not limited to,  
9 parking lots, restrooms, restaurants, and hotels. The cardroom business licensee is not required to submit  
10 an incident report for an incident that occurs in a part of the gambling establishment or adjacent space  
11 owned, managed, or rented by the cardroom business licensee if that space is solely utilized by the TPPPS  
12 business licensee under the terms of the TPPPS contract.

13 (5) ...

14 ...

15 Note: Authority cited: Sections 19801(g), 19826(b), 19840, 19841, 19857 and 19924, Business and Professions  
16 Code. Reference: Sections 19841, 19857, 19922 and 19924, Business and Professions Code.

17

18 **§ 12396. Surveillance.**

19 (a) The policies and procedures for all Tiers must meet or exceed the following standards for  
20 surveillance:

21 ...

22 (7)(A) Unless otherwise requested by the Bureau, all recordings must be retained for a minimum of  
23 seven complete days of operation, except that recordings that are determined by the Bureau or a law  
24 enforcement agency to be of evidentiary value must be retained for a period specified in writing by the  
25 determining agency. Recordings of any criminal offense subject to reporting pursuant to paragraph (4) of  
26 subsection (a) of Section 12395 must be retained indefinitely, or until the Bureau authorizes their  
27 disposal.

28 ...

29 Note: Authority cited: Sections 19840, 19841 and 19924, Business and Professions Code. Reference: Sections  
30 19827, 19841, 19922 and 19924, Business and Professions Code.

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# APPENDIX A

**Incident Report Form**

CGCC-CH7-08 (New 08/22)  
Page 1 of 3

|                 |
|-----------------|
| BUREAU USE ONLY |
| BGC ID# _____   |



**MAIL COMPLETED FORM TO:**  
BUREAU OF GAMBLING CONTROL  
ATTN: Criminal Intelligence Unit  
P.O. Box 168024  
Sacramento, CA 95816-8024  
(916) 830-1700

OR

**EMAIL COMPLETED FORM TO:**  
BGCCIU@doj.ca.gov  
Subject: Incident Report Submittal

I Request All Communication Be Via Email

**PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM**

Complete this form to notify the Bureau of Gambling Control (Bureau) of an incident (as required in Title 4, CCR, Sections 12282 and 12395).

Please complete sections 1, 2, 3, 4, and 8. Additionally:

- When reporting an incident as required in Title 4, CCR, Sections 12282(a)(1) or 12395(a)(4), please also complete sections 5, 6 and 7 with the best information available.
- When reporting the status of an employee’s local approval as required in Title 4, CCR, Sections 12282(a)(2) or 12395(a)(4)(C)3., please also complete the first two lines of section 5 and section 6.
- When reporting an arrest as required in Title 4, CCR, Sections 12282(a)(3) or 12395(a)(4)(C)2., please also complete the first two lines of section 5 and section 6.

All information must be typed or printed legibly in blue or black ink. If additional space is needed, please note response on a separate sheet of paper and attach to the form. For any unavailable information that is required to be completed, must indicate “unknown”.

| SECTION 1: OWNER CATEGORY LICENSEE INFORMATION     |   |
|--|---|
| TYPE OF LICENSE                                    |   |
| <input type="checkbox"/> CARDROOM BUSINESS LICENSE | <input type="checkbox"/> TPPPS BUSINESS LICENSE |
| OWNER CATEGORY LICENSEE NAME                       |   |
| BUSINESS ADDRESS (STREET, CITY, STATE, ZIP CODE)   |   |

| SECTION 2: REPORTER INFORMATION |                           |                      |
|---------------------------------|---------------------------|----------------------|
| FULL NAME: LAST                 | FIRST                     | MIDDLE               |
| PRIMARY TELEPHONE NUMBER        | BUSINESS TELEPHONE NUMBER | EMAIL ADDRESS        |
| JOB TITLE                       | LICENSE NUMBER            | DATE COMPLETING FORM |

| SECTION 3: ALTERNATIVE PREFERRED CONTACT  |                           |               |
|---|---------------------------|---------------|
| Please note: The Bureau may still contact the reporter provided in Section 2, if necessary. |                           |               |
| FULL NAME: LAST   | FIRST                     | MIDDLE        |
| PRIMARY TELEPHONE NUMBER  | BUSINESS TELEPHONE NUMBER | EMAIL ADDRESS |
| JOB TITLE   | LICENSE NUMBER            |               |

| SECTION 4: INCIDENT INFORMATION  |                  |                                  |
|----------------------------------|------------------|----------------------------------|
| DATE OF INCIDENT                 | TIME OF INCIDENT | TYPE OF INCIDENT (E.G., "THEFT") |
| DETAILED DESCRIPTION OF INCIDENT |                  |                                  |

| SECTION 5: SUSPECT INFORMATION   |                |                           |                              |
|--|----------------|---------------------------|------------------------------|
| FULL NAME: LAST  |                | FIRST                     | MIDDLE                       |
| PRIMARY TELEPHONE NUMBER   |                | BUSINESS TELEPHONE NUMBER | EMAIL ADDRESS                |
| HEIGHT   | WEIGHT         | HAIR COLOR                | EYE COLOR                    |
| DRIVER'S LICENSE/IDENTIFICATION CARD NUMBER  |                | STATE                     | EXPIRATION DATE (MM/DD/YYYY) |
| DATE OF BIRTH  | RACE/ETHNICITY | GENDER                    |                              |
| DISTINGUISHING MARKS (SUCH AS VISIBLE SCARS OR TATTOOS – DESCRIBE MARK & LOCATION) |                |                           |                              |
| MAKE AND MODEL OF VEHICLE  |                | LICENSE PLATE NUMBER      |                              |

| SECTION 6: EMPLOYEE INFORMATION   |  |   |   |
|---|--|---|---|
| If the suspect identified in section 5 is an employee or independent contractor for the cardroom business licensee or TPPPS business licensee, complete this section. |  |   |   |
| <input type="checkbox"/> EMPLOYEE   |  | <input type="checkbox"/> INDEPENDENT CONTRACTOR |   |
| WORK PERMIT NUMBER, CARDROOM EMPLOYEE LICENSE NUMBER, TPPPS EMPLOYEE LICENSE NUMBER, OR FINDING OF SUITABILITY NUMBER, AND ISSUING AGENCY                             |  |   |   |
| PRIOR TO THE SUBMITTAL OF THIS INCIDENT REPORT, WAS THE EMPLOYEE RELATIONSHIP TERMINATED, IN WHOLE OR IN PART, AS A RESULT OF THIS INCIDENT?                          |  |   | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |

### SECTION 7: LAW ENFORCEMENT AGENCY INFORMATION

Please provide all available information

WAS A LAW ENFORCEMENT AGENCY NOTIFIED?

Yes

No

IF YES, PLEASE PROVIDE A CASE NUMBER

DATE REPORTED

AGENCY NAME

EVIDENCE PROVIDED:

### SECTION 8: ADDITIONAL RELATED DOCUMENTATION

PLEASE LIST ANY ADDITIONAL DOCUMENTATION THAT MAY EXIST IN CONNECTION WITH THE REASONABLY SUSPECTED VIOLATION OR INCIDENT. INCLUDING ADDITIONAL INFORMATION WHEN SUBMITTING THE INCIDENT REPORT IS VOLUNTARY. HOWEVER, FAILURE TO PROVIDE ANY SUPPORTING DOCUMENTATION MAY DELAY THE INVESTIGATION OF THE INCIDENT.

MARK THE BOX NEXT TO EACH ITEM TO INDICATE THAT IT EXISTS.

INTERNAL SECURITY REPORT

SECURITY FOOTAGE

OTHER (PLEASE SPECIFY):

### SECTION 9: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at \_\_\_\_\_.

City and State

PRINTED NAME

SIGNATURE

DATE (MM/DD/YYYY)

*This form must be signed by the person identified in Section 2*