

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.  | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY  | HISTORY   |
|---------------------------|---------------|--|--|--|---|
| <b><u>2024-02-N</u></b>   | 2024-0604-02N | <b>TPPPS Compliance Correction</b><br>§100 Change Without Regulatory Effect  | <b>Amend:</b> § 12290.   | B&P § 19984  | Approved by OAL & filed with the Secretary of State:<br><b>July 17, 2024</b><br><br>Regulations became effective<br><b>July 17, 2024</b>                            |
| <b><u>2024-01-N</u></b>   | 2024-0227-02N | <b>Remote Caller Bingo</b><br>§100 Change Without Regulatory Effect  | <b>Repeal:</b> §§ 12500, 12501, 12503, 12504, 12505, 12508, 12510, 12511, 12514, and Appendix C. | B&P §§ 19850.5 and 19850.6; PC 326.3                                   | Approved by OAL & filed with the Secretary of State:<br><b>April 10, 2024</b><br><br>Regulations became effective<br><b>April 10, 2024</b>                          |
| <b><u>2023-04-N</u></b>   | 2023-1130-01N | <b>Sunset of Section 12130</b><br>Repealed Section 12130 (TPPPS Registrations), which included sunset language   | <b>Amend:</b> § 12130  | B&P § 19984  | Approved by OAL & filed with the Secretary of State:<br><b>January 9, 2024</b><br><br>Regulations became effective<br><b>January 9, 2024</b>                        |
| <b><u>2023-03-R</u></b>   | 2024-0412-02S | <b>Update to Annual Fees</b><br>Amends cardroom business licensee annual fees in alignment with State costs for providing regulatory oversight and related services.   | <b>Amend:</b> § §§ 12252, 12252.2, 12368, and 12368.2  | B&P §§ 19811(b), 19823, 19824, 19840, 19841, 19876(a), 19951 and 19955 | Approved by OAL & filed with the Secretary of State:<br><b>May 22, 2024</b><br><br>Regulations became effective<br><b>July 1, 2024</b>                              |
| <b><u>2023-02-N</u></b>   | 2023-0831-03N | <b>Spousal Form Update #2</b><br>Provided non-substantive changes to the Spousal Information Form to correct references of "license" to "licensee".  | <b>Amend:</b> § 12112  | B&P §§ 19811, 19824, and 19841   | Approved by OAL & filed with the Secretary of State:<br><b>October 2, 2023</b><br><br>Regulations became effective<br><b>October 2, 2023</b>                        |
| <b><u>2023-01-R</u></b>   | 2024-0221-02S | <b>Surveillance</b><br>Amends existing Minimum Internal Control Standards regulations concerning surveillance requirements for cardrooms, which are determined by the cardroom business licensee's Tier (I - V). | <b>Amend:</b> § 12396  | B&P §§ 19840, 19841 and 19924  | Approved by OAL & filed with the Secretary of State:<br><b>April 4, 2024.</b><br><br>Regulations become effective<br><b>April 4, 2025 (delayed effective date).</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.   | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY  | HISTORY  |
|---------------------------|--|---|--|--|--|
| <b><u>2022-06-R</u></b>   | 2023-0404-01S  | <b>Subpoenas</b><br>Clarifies the exclusive right to and method of discovery between an applicant and complainant to a Gambling Control Act hearing, and provides that discovery is not permitted upon a member or advisor of the Commission except in limited circumstances. Additionally, authorizes the presiding officer to modify, quash, or enforce a subpoena upon their own motion. | <b>Amend:</b> §§ 12014, 12052, 12060, 12118  | B&P § 19824(h), 19840 and 19841<br>GC § 11450.05(b)                    | Approved by OAL & filed with the Secretary of State:<br><b>May 16, 2023</b><br><br>Regulations became effective<br><b>July 1, 2023</b>   |
| <b><u>2022-04-R</u></b>   | 2023-0523-02S  | <b>Incident Reporting</b><br>Amends the requirements regarding when an Incident Report must be submitted. Additionally, a new Incident Report Form is provided.   | <b>Adopt:</b> § 12282<br><b>Amend:</b> §§ 12395, 12396   | B&P § 19801(g), 19826(b), 19840, 19841, 19857, 19924 and 19984         | Approved by OAL & filed with the Secretary of State:<br><b>July 6, 2023</b><br><br>Regulations became effective<br><b>October 1, 2023</b>  |
| <b><u>2022-03-C</u></b>   | 2022-0927-02E<br>2022-0922-01EE<br>2022-1216-01EE<br>2023-0306-03C | <b>Commission Fees Modernization Project III</b><br>Amends cardroom business licensee annual fees in alignment with State costs for providing regulatory oversight and related services.  | <u>Initial Adoption</u><br><b>Adopt:</b> § 12368.1<br><b>Amend:</b> §§ 12360, 12368<br><br><u>First Readoption</u><br><b>Adopt:</b> §§ 12252.2, 12318, 12368.2<br><b>Amend:</b> §§ 12002, 12112, 12252, 12360, 12368, 12470, 12472<br><b>Repeal:</b> § 12368.1<br><br><u>Second Readoption</u><br><b>Adopt:</b> §§ 12318, 12368.2<br><b>Amend:</b> §§ 12112, 12360, 12368, 12470, 12472<br><b>Repeal:</b> § 12368.1<br><br><u>Certificate of Compliance</u><br><b>Adopt:</b> §§ 12318, 12368.2<br><b>Amend:</b> §§ 12112, 12360, 12368, 12470, 12472 | B&P §§ 19811(b), 19823, 19824, 19840, 19841, 19876(a), 19951 and 19955 | Initial adoption approved by OAL & filed with the Secretary of State:<br><b>August 1, 2022</b><br><br>First readoption approved by OAL & filed with Secretary of State is effective:<br><b>September 30, 2022</b><br><br>Second readoption approved by OAL & filed with Secretary of State on December 23, 2022 is effective:<br><b>December 30, 2022</b><br><br>Certificate of Compliance approved by OAL & filed with Secretary of State on April 14, 2023 is effective: <b>April 14, 2023</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.   | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY  | HISTORY  |
|---------------------------|----------------|---|--|--|--|
| <b><u>2022-02-R</u></b>   | 2023-0510-02S  | <b>Spousal Form Update.</b><br>Clarifies when the Spousal Information form is necessary. Makes other clarifying changes to other forms.   | <b>Amend:</b> §§ 12080, 12112, 12114, 12272, 12274 | B&P §§ 19811, 19824, 19840, 19841, 19852, and 19984      | Approved by OAL & filed with the Secretary of State:<br><b>June 22, 2023</b><br><br>Regulations became effective<br><b>October 1, 2023</b>     |
| <b><u>2022-01-E</u></b>   | Z-2022-0418-02 | <b>Request for Regulations.</b><br>Would have provided clarity on Government Code sections 11340.6 and 11340.7.   | <b>Adopt:</b> § 12008                              | B&P §§ 19801, 19802, 19803, and 19840                    | Notice of Decision Not to Proceed published:<br><b>November 4, 2022</b>  |
| <b><u>2021-09-N</u></b>   | 2021-1224-01N  | <b>Correction for Expiring Emergency Regulations</b><br>Provided OAL and publisher with the instructions necessary to modify one section and three forms for the automatic repeal of Emergency Plan but maintaining changes to the forms that were made in other projects after the adoption of the emergency regulation. | <b>Amend:</b> § 12112                              | B&P §§ 19811, 19824, and 19841                           | Approved by OAL & filed with the Secretary of State:<br><b>January 12, 2022</b><br><br>Regulations became effective<br><b>January 12, 2022</b> |
| <b><u>2021-08-N</u></b>   | 2022-0512-01   | <b>180-Day Extension</b><br>Provides the Commission with the authority to provide extensions to all types of licenses, Commission work permits, registrations, and findings of suitability under specified conditions   | <b>Amend:</b> § 12054                              | B&P §§ 19911, 19823, 19840, 19841, 19876(c), and 19876.5 | Approved by OAL & filed with the Secretary of State:<br><b>June 23, 2022</b><br><br>Regulations became effective<br><b>October 1, 2022</b>     |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.                                     | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY   | HISTORY  |
|---------------------------|--|---|--|---|--|
| <b><u>2021-07-R/C</u></b> | 2022-0721-10<br>2022-0926-05SR<br>2022-1021-06SR | <b>Commission Fees Modernization Project II</b><br>Amends specified licensing fees and TPPPS business licensee annual fees in alignment with State costs for providing regulatory oversight and related services.   | <p><u>First Partial Approval</u><br/><b>Adopt:</b> § 12090<br/><b>Amend:</b> §§ 12108, 12112, 12114, 12120, 12122, 12260, 12261, 12264, 12272, 12274, 12276, 12278, 12309, 12364, 12470, 12472, 12474, 12492, 12500, 12503</p> <p><u>Second Partial Approval</u><br/><b>Adopt:</b> § 12250</p> <p><u>Third Approval</u><br/><b>Adopt:</b> § 12252.2<br/><b>Amend:</b> § 12002, 12252</p> | B&P §§ 19811, 19823, 19824, 19826, 19827, 19840, 19841, 19850, 19850.5, 19850.6, 19851, 19853, 19854, 19860, 19862, 19864, 19876, 19912, 19915, 19950, 19951, 19952, and 19984.<br>PC §§ 326.3 and 326.5. | <p><u>First Partial Approval</u><br/>Approved by OAL &amp; filed with the Secretary of State:<br/><b>September 1, 2022</b></p> <p>Regulations became effective<br/><b>September 1, 2022</b></p> <p><u>Second Partial Approval</u><br/>Approved by OAL &amp; filed with the Secretary of State:<br/><b>September 30, 2022</b></p> <p>Regulations became effective<br/><b>September 30, 2022</b></p> <p><u>Third Approval</u><br/>Approved by OAL &amp; filed with the Secretary of State:<br/><b>December 7, 2022</b></p> <p>Regulations became effective<br/><b>December 7, 2022</b></p> |
| <b><u>2021-06-E</u></b>   | 2021-1227-04R                                    | <b>AB 120 Hearings Update</b><br>Provides a process through which the Commission can deny, approve, or approve with conditions, limitations, or restrictions, an application at a Commission meeting with any decision stayed to allow the application to request an evidentiary hearing. | <b>Amend:</b> §§ 12002, 12006, 12012, 12015, 12035, 12052, 12054, 12056, 12057, 12060, 12066, 12134  | B&P §§ 19825, 19862, 19869, 19870, and 19871  | <p>Approved by OAL &amp; filed with the Secretary of State:<br/><b>February 8, 2022</b></p> <p>Regulations became effective<br/><b>April 1, 2022</b></p>   |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.                                      | SUBJECT/SUMMARY  | SECTIONS  | AUTHORITY  | HISTORY   |
|---------------------------|---|--|---|--|---|
| <b><u>2021-05-E</u></b>   | 2021-0927-02E<br>2022-0323-01EE<br>2022-0624-02EE | <b>Commission Fees Modernization Project I</b><br>Reduces specified licensing fees in alignment with State costs for providing regulatory oversight and related services.  | <u>Initial Adoption</u><br><b>Amend:</b> §§ 12108, 12112, 12114, 12261, 12264, 12272, 12274, 12276, 12278, 12309, 12470, 12472<br><br><u>First Readoption</u><br><b>Amend:</b> §§ 12108, 12112, 12114, 12261, 12264, 12272, 12274, 12276, 12278, 12309, 12470, 12472<br><br><u>Second Readoption</u><br><b>Amend:</b> §§ 12108, 12112, 12114, 12261, 12264, 12272, 12274, 12276, 12278, 12309, 12470, 12472 | B&P §§ 19811, 19823, 19824, 19841, 19876, 19915, 19951, and 19984  | Initial adoption approved by OAL & filed with the Secretary of State, effective:<br><b>October 7, 2021</b><br><br>First readoption approved by OAL & filed with Secretary of State, effective:<br><b>April 4, 2022</b><br><br>Second readoption approved by OAL & filed with Secretary of State, effective:<br><b>July 5, 2022.</b><br><br>Second readoption set to expire: <b>October 4, 2022.</b> |
| <b><u>2021-04-R</u></b>   | 2021-1201-04                                      | <b>Southern California Gambling Control Act Hearings</b><br>The proposed regulations establish a process with specific criteria for applicants residing in a Southern California county to request that their GCA hearing be held at a location in Southern California determined by the Commission.   | <b>Amend:</b> §§ 12006, 12052, 12057, 12060, 12118  | B&P §§ 19811, 19823, 19824, 19840, and 19841.  | Approved by OAL & filed with the Secretary of State:<br><b>January 11, 2022</b><br><br>Effective Date:<br><b>April 1, 2022</b>  |
| <b><u>2021-03-R</u></b>   | 2021-0301-02E                                     | <b>Update for Non-Commission Forms</b><br>Updates the Commission's references to updated Live Scan form and Franchise Tax Board forms. Other additional non-substantive changes made to some Commission forms.   | <b>Amend:</b> §§ 12112, 12114, 12492, 12500, 12503  | B&P §§ 19811, 19823, 19824, 19840, 19841, 19850, 19850.5, 19850.6, 19851, 19854, 19912, 19951, and 19984 | Approved by OAL & filed with Secretary of State:<br><b>October 18, 2021</b><br><br>Effective Date:<br><b>January 1, 2022</b>  |
| <b><u>2021-02-E</u></b>   | 2021-0301-02E                                     | <b>Financial Reporting Requirements and Temporary Table Decreases</b><br>Provides temporary relief to cardrooms by allowing them to make a temporary table reduction. Additionally, the submittal of the required annual independent auditor's report or accountant's report submittal requirement is delayed to no later than 270 calendar days following the end of the fiscal year covered by the financial statements. | <u>Initial Adoption</u><br><b>Adopt:</b> §§ 12474.1<br><b>Amend:</b> §§ 12313, 12470, 12472, 12474  | B&P §§ 19811, 19823, 19824, 19826, 19840, 19841, 19853, 19864, 19950(b), 19951, 19952, and 19984         | Initial adoption approved by OAL & filed with Secretary of State:<br><b>March 11, 2021</b><br><br>Regulation expired:<br><b>January 8, 2022</b>   |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.  | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY   | HISTORY  |
|---------------------------|---------------|---|--|---|--|
| <b><u>2021-01-N</u></b>   | 2021-0205-01N | <b>Licensing Correction</b><br>Corrects some inaccurate internal regulatory and statutory references  | <b>Amend:</b> § 12057, 12130, 12470  | B&P §§ 19811, 19811, 19823, 19824, 19825, 19826, 19840, 19841, 19841, 19864, 19950(b), 19952, and 19984 | Approved by OAL & filed with Secretary of State:<br><b>March 22, 2021</b><br><br>Effective Date:<br><b>March 22, 2021</b>  |
| <b><u>2020-03-E</u></b>   | 2020-0911-03E | <b>Emergency-Based Remote Counts</b><br>Allows gambling enterprises subject to a state of emergency or other order and that state of emergency or other order is associated with a virus and requires physical distancing, to reduce the number of employees required to be physically present in the count room, as specified. | <u>Initial Adoption</u><br><b>Amend:</b> § 12385<br><br><u>First Readoption</u><br><b>Amend:</b> § 12385   | B&P §§ 19811(b), 19824, 19840, 19841, and 19925   | Initial adoption approved by OAL & filed with Secretary of State:<br><b>September 17, 2020</b><br><br>Readoption approved by OAL & filed with Secretary of State is effective:<br><b>January 1, 2021</b><br><br>Regulation expired:<br><b>August 3, 2021</b>   |
| <b><u>2020-02-E</u></b>   | 2020-0811-03E | <b>Emergency Sanitation Plans</b><br>Requires gambling enterprises, party providers of proposition player services, and Gambling Businesses to develop an emergency sanitation to be implemented during a state of emergency or other order associated with a virus and establishes minimum requirements/standards.             | <u>Initial Adoption</u><br><b>Adopt:</b> §§ 12292, 12371<br><b>Amend:</b> §§ 12200, 12342, 12364, 12370<br><br><u>First Readoption</u><br><b>Adopt:</b> §§ 12292, 12371<br><b>Amend:</b> §§ 12004, 12112, 12114, 12364, 12370<br><br><u>Second Readoption</u><br><b>Adopt:</b> §§ 12292, 12371<br><b>Amend:</b> §§ 12004, 12112, 12114, 12364, 12370 | B&P §§ 19811, 19823, 19824, 19840, 19841, 19852(a)(3), 19860, 19862, 19864, and 19984                   | Initial adoption approved by OAL & filed with Secretary of State:<br><b>August 21, 2020</b><br><br>First readoption approved by OAL & filed with Secretary of State is effective:<br><b>January 1, 2021</b><br><br>Second readoption approved by OAL & filed with Secretary of State is effective:<br><b>June 14, 2021</b><br><br>Regulation expired:<br><b>January 12, 2022</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)



# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.     | SUBJECT/SUMMARY   | SECTIONS  | AUTHORITY   | HISTORY  |
|---------------------------|------------------|---|---|---|--|
| <b><u>2020-01-R</u></b>   | 2020-1013-02S    | <p><b>Licensing</b><br/>Simplifies, clarifies, and makes consistent the Commission's current licensing procedures for work permits, key employees, cardroom owners, and all third-party provider of proposition player services (TPPPS) license types. This includes replacing the TPPPS registration process with a temporary licensure process and consolidating all Commission forms from 61 to 27. Additionally, the gambling business regulations were repealed, all definitions and terms related to licensing were restructured, and standards of representation for designated agents were added.</p> | <p><b>Adopt:</b> §§ 12005, 12040, 12080, 12082, 12102, 12108, 12110, 12116, 12118, 12120, 12122, 12126, 12134, 12136, 12138, 12140, 12474</p> <p><b>Amend:</b> §§ 12002, 12003, 12004, 12006, 12014, 12015, 12017, 12035, 12052, 12056, 12057, 12100, 12106, 12112, 12114, 12122, 12128, 12144, 12200.1, 12200.7, 12200.9, 12200.10A, 12200.10B, 12200.11, 12200.15, 12200.17, 12200.20, 12201, 12220.23, 12250, 12252, 12254, 12256, 12260, 12261, 12263, 12264, 12270, 12272, 12274, 12276, 12278, 12287, 12290, 12301, 12309, 12311, 12312, 12313, 12315, 12316, 12342, 12345, 12347, 12348, 12349, 12353, 12357, 12358, 12359, 12360, 12362, 12364, 12368, 12369, 12370, 12372, 12380, 12381, 12384, 12385, 12386, 12387, 12388, 12391, 12392, 12395, 12396, 12460, 12461, 12462, 12463, 12464, 12465, 12466, 12470, 12472, 12492, 12500, 12503, 12550, 12554, 12556, 12558, 12560, 12564, 12566, 12568</p> <p><b>Repeal:</b> §§ 12008, 12101, 12104, 12105, 12120, 12124, 12126, 12130, 12132, 12140, 12142, 12200, 12200.3, 12200.5, 12200.6, 12200.10C, 12200.14, 12200.18, 12202, 12203, 12203A, 12203.1, 12203.2, 12203.3, 12203.5, 12204, 12205, 12205.1, 12218, 12218.1, 12218.7, 12218.8, 12218.9, 12218.11, 12218.13, 12220, 12220.1, 12220.3, 12220.5, 12220.6, 12220.14, 12220.15, 12220.17, 12220.18, 12220.20, 12220.20A, 12221, 12222, 12223, 12224, 12225, 12225.1, 12233, 12235, 12236, 12237, 12238, 12239, 12335, 12340, 12341, 12346, 12350, 12351, 12352, 12354, 12355, 12562</p> | <p>B&amp;P §§ 12002, 12003, 12004, 12005, 12006, 12008, 12014, 12015, 12017, 12035, 12040, 12052, 12056, 12057, 12080, 12082, 12100, 12101, 12102, 12104, 12105, 12106, 12108, 12110, 12112, 12114, 12116, 12118, 12120, 12122, 12124, 12126, 12128, 12130, 12132, 12134, 12136, 12138, 12140, 12142, 12144, 12200, 12200.1, 12200.3, 12200.5, 12200.6, 12200.7, 12200.9, 12200.10A, 12200.10B, 12200.10C, 12200.11, 12200.14, 12200.15, 12200.17, 12200.18, 12200.20, 12201, 12202, 12203, 12203A, 12203.1, 12203.2, 12203.3, 12203.5, 12204, 12205, 12205.1, 12218, 12218.1, 12218.7, 12218.8, 12218.9, 12218.11, 12218.13, 12220, 12220.1, 12220.3, 12220.5, 12220.6, 12220.14, 12220.15, 12220.17, 12220.18, 12220.20, 12220.20A, 12220.23, 12221, 12222, 12223, 12224, 12225, 12225.1, 12233, 12235, 12236, 12237, 12238, 12239, 12250, 12252, 12254, 12256, 12260, 12261, 12263, 12264, 12270, 12272, 12274, 12276, 12278, 12287, 12290, 12301, 12309, 12311, 12312, 12313, 12315, 12316, 12335, 12340, 12341, 12342, 12345, 12346, 12347, 12348, 12349, 12350, 12351, 12352, 12353, 12354, 12355, 12357, 12358, 12359, 12360, 12362, 12364, 12368, 12369, 12370, 12372, 12380, 12381, 12384, 12385, 12386, 12387, 12388, 12391, 12392, 12395, 12396, 12460, 12461, 12462, 12463, 12464, 12465, 12466, 12470, 12472, 12474, 12492, 12500, 12503, 12550, 12554, 12556, 12558, 12560, 12562, 12564, 12566, and 125684</p> | <p>Approved by OAL &amp; filed with Secretary of State:<br/><b>December 15, 2020</b></p> <p>Effective Date:<br/><b>January 1, 2021</b></p> |
| <b><u>2019-01-R</u></b>   | Z-2019-0910-01 S | <p><b>120-Day Timeline</b><br/>Corrects references to the Commission's timeline to approve, condition, or deny a request for a license</p>  | <p><b>Amend:</b> §§ 12218.7, 12235, 12342, and 12350</p>  | <p>B&amp;P §§ 19811, 19823, 19824, 19840, 19841, 19853(a)(3), 19876(a), and 19984</p>   | <p>Approved by OAL &amp; filed with Secretary of State:<br/><b>October 28, 2019</b></p> <p>Effective Date:<br/><b>January 1, 2020</b></p>  |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.      | SUBJECT/SUMMARY  | SECTIONS  | AUTHORITY  | HISTORY   |
|---------------------------|-------------------|--|---|--|---|
| <b><u>2018-04-R</u></b>   | Z-2019-1206-01 SR | <b>Updates and Amendments</b> to Application Withdrawals and Abandonments, and Hearing Procedures<br><b>Updates the Commission's hearing regulations</b> to provide additional specificity to the interim renewal license process, and other changes | <b>Adopt:</b> § 12057<br><b>Amend:</b> §§ 12002, 12006, 12012, 12014, 12015, 12017, 12035, 12050, 12052, 12054, 12056, 12058, 12060, 12062, 12064, 12066, 12068 | B&P §§ 19811, 19823, 19824, 19825, 19840, 19841, 19853, 19854, 19869, 19870, 19871, 19872, 19893 and 19951 | Approved by OAL & filed with Secretary of State:<br><b>January 22, 2020</b><br><br>Effective Date:<br><b>April 1, 2020</b>        |
| <b><u>2018-03-N</u></b>   | Z-2018-1116-03 N  | <b>§ 100 Change w/o Regulatory Effect: Section 19852 Reference Correction</b><br>Corrects references to Business and Profession Code Section 19852   | <b>Amend:</b> §§ 12200, 12201, 12220, and 12221   | B&P §§ 19840, 19841, 19953, 19984  | Approved by OAL & filed with Secretary of State:<br><b>January 2, 2019</b><br><br>Effective Date:<br><b>January 2, 2019</b>       |
| <b><u>2018-02-N</u></b>   | Z-2018-0815-01 N  | <b>§ 100 Change w/o Regulatory Effect: TPPPS Application Form Correction</b><br>Corrects references to Bureau regulations on Form BGC-434 and form reference in Section 12205.1  | <b>Amend:</b> § 12205.1   | B&P §§ 19840, 19841, 19984   | Approved by OAL & filed with Secretary of State:<br><b>September 26, 2018</b><br><br>Effective Date:<br><b>September 26, 2018</b> |
| <b><u>2018-01-N</u></b>   | Z-2018-0403-04 N  | <b>§ 100 Change w/o Regulatory Effect: Playing Book Reference Correction</b><br>Updates references to Section 12200 and 12200.7.   | <b>Amend:</b> §§ 12204, 12220, 12238, 12560   | B&P §§ 19840, 19841, 19984   | Approved by OAL & filed with Secretary of State:<br><b>May 15, 2018</b><br><br>Effective Date:<br><b>May 15, 2018</b>             |
| <b><u>2017-06-N</u></b>   | Z-2017-1201-06 N  | <b>§ 100 Change w/o Regulatory Effect: Clean Up of References to B&amp;P 19801</b><br>Updates references to B&P 19801 that was changed as part of Senate Bill 730 (Florez, Chapter 438, Statutes of 2007).   | <b>Amend:</b> §§ 12120, 12303, 12362  | B&P § 19801  | Approved by OAL & filed with Secretary of State:<br><b>January 9, 2018</b><br><br>Effective Date:<br><b>January 9, 2018</b>       |
| <b><u>2017-05-N</u></b>   | Z-2017-1201-05 N  | <b>§ 100 Change w/o Regulatory Effect: Clean Up of References to B&amp;P 19801</b><br>Updates references to Article 1 of Chapter 5 that was changed as part of a previous regulation package. (OAL File No. 2014-1219-03 S)                          | <b>Amend:</b> §§ 12386, 12391, 12566  | B&P §§ 19811, 19824, 19840, 19841, 19924   | Approved by OAL & filed with Secretary of State:<br><b>January 16, 2018</b><br><br>Effective Date:<br><b>January 16, 2018</b>     |
| <b><u>2017-04-N</u></b>   | Z-2017-1201-04 N  | <b>§ 100 Change w/o Regulatory Effect: Playing Book Form Correction</b><br>Updates Application for Playing Book Approval form and provisions to include updated Bureau contract information after their move to a new location.                      | <b>Amend:</b> §§ 12261, 12264   | B&P §§ 19840, 19841, and 19984   | Approved by OAL & filed with Secretary of State:<br><b>January 2, 2018</b><br><br>Effective Date:<br><b>January 2, 2018</b>       |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)



# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.     | SUBJECT/SUMMARY   | SECTIONS  | AUTHORITY  | HISTORY   |
|---------------------------|------------------|---|---|--|---|
| <b><u>2017-03-N</u></b>   | Z-2017-1024-05 N | <b>§ 100 Change w/o Regulatory Effect: Update of Forms #2</b><br>Updates forms and provisions to include updated Bureau contract information after their move to a new location. Makes other conforming and non-substantive changes.  | <b>Amend:</b> §§ 12200.3, 12200.5, 12200.14, 12202, 12205.1, 12220.3, 12220.5, 12220.14, 12222, 12225.1, 12301.1, 12342, 12350, 12352, 12357, 12358   | B&P §§ 19811, 19824, 19841, 19850, 19851, 19852, 19864, 19865, 19876, 19890, 19890.5, 19912, and 19984   | Approved by OAL & filed with Secretary of State:<br><b>December 7, 2017</b><br><br>Effective Date:<br><b>December 7, 2017</b>   |
| <b><u>2017-02-N</u></b>   | Z-2017-1024-04 N | <b>§ 100 Change w/o Regulatory Effect: Ineligibility for Licensing [SB 112 (Chapter 363, Statutes of 2017)]</b><br>Updates ineligibility standards for disqualifying felonies under Business and Professions Code section 19859, subdivision (c).   | <b>Amend:</b> §§ 12218.11, 12236  | B&P § 19859  | Approved by OAL & filed with Secretary of State:<br><b>November 30, 2017</b><br><br>Effective Date:<br><b>November 30, 2017</b> |
| <b><u>2017-01-N</u></b>   | Z-2017-0728-06 N | <b>§ 100 Change w/o Regulatory Effect: Update of Forms</b><br>Updates forms and provisions to include updated Bureau contract information after their move to a new location. Makes other conforming and non-substantive changes.   | <b>Amend:</b> §§ 12101, 12200, 12200.6, 12200.9, 12200.13, 12202, 12220.6, 12222, 12309, 12342, 12354, 12359, 12464, 12465, Appendix A to Chapter 7 of Division 18, and 12492   | B&P §§ 19811, 19824, 19841, 19850, 19851, 19852, 19864, 19865, 19876, 19890, 19890.5, 19912, and 19984   | Approved by OAL & filed with Secretary of State:<br><b>September 7, 2017</b><br><br>Effective Date:<br><b>September 7, 2017</b> |
| <b><u>2016-01-R</u></b>   | Z-2017-0908-03 R | <b>Playing Books</b><br>Consolidates TPPPS and Gambling Business playing book regulations, provides an independent approval process, and allows for an electronic playing book system to be used after proper review.   | <b>Adopt:</b> §§ 12250, 12260, 12261, 12262, 12263, 12264, 12285, 12287, 12290<br><b>Repeal:</b> §§ 12200.13, 12200.16, 12200.21, 12220.13, 12220.16, 12220.21<br><b>Amend:</b> §§ 12003, 12200, 12200.7, 12200.9, 12200.10A, 12200.11, 12200.18, 12220, 12220.18, 12560, 12562 | B&P §§ 12003, 12200, 12200.7, 12200.9, 12200.10A, 12200.11, 12200.13, 12200.16, 12200.18, 12200.21, 12220, 12220.13, 12220.16, 12220.18, 12220.21, 12250, 12260, 12261, 12262, 12263, 12264, 12285, 12287, 12290, 12560, and 12562 | Approved by OAL & filed with Secretary of State:<br><b>October 18, 2017</b><br><br>Effective Date:<br><b>January 1, 2018</b>    |
| <b><u>2015-01-R</u></b>   | Z-2015-0211-03 S | <b>Program for Responsible Gambling.</b><br>Amends regulations related to the Programs for Self-Exclusion and Self-Restriction. Allows for removal requests from lifetime restriction to be made to the Bureau. Amends requirement for the posting of referral information, training procedures, and identity verification. | <b>Repeal:</b> §§ 12465<br><b>Amend:</b> §§ 12460 12461, 12462, 12463, 12464, 12466   | B&P §§ 19801, 19811, 19840, 19841(o). 19845, 19920, and 19954<br>FC §§ 4369.2, and 4369.4  | Approved by OAL & filed with Secretary of State:<br><b>March 24, 2016</b><br><br>Effective Date:<br><b>July 1, 2016</b>         |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.   | SUBJECT/SUMMARY  | SECTIONS  | AUTHORITY  | HISTORY   |
|---------------------------|----------------|--|---|--|---|
| <b><u>2014-05-R</u></b>   | Z-2014-0729-05 | <b>Third-Party Providers of Proposition Player Services (TPPPS) Contracts.</b><br>Amendments related to the TPPPS contract and the interaction between the TPPPS and Gambling Business.  | <b><u>Amend:</u></b> §§ 12200, 12200.7, 12200.9, 12200.10A, 12200.10B, 12200.21, 12560<br><b><u>Repeal:</u></b> §§ 12200.10C, 12200.11  | B&P §§ 19840, 19841, and 19984   | Notice of Decision Not to Proceed published:<br><b>November 21, 2014</b>  |
| <b><u>2014-04-R</u></b>   | 2014-1219-03 S | <b>Accounting and Financial Reporting Requirements for Gambling Enterprises, Third-Party Providers of Proposition Player Services (TPPPS), and Gambling Businesses.</b><br>Amends regulations related to requirements for accounting and financial reporting and moves them to a new Chapter 5. The amended regulations apply these requirements, as appropriate, to TPPPS and gambling businesses, as well as gambling enterprises. | <b><u>Adopt:</u></b> §§ 12003, 12311, 12312, 12313, 12315, 12316<br><b><u>Amend:</u></b> § 12002<br><b><u>Repeal:</u></b> §§ 12400, 12401, 12402, 12403, 12404, 12405, 12406, 12410   | B&P §§ 19811, 19824, 19840, 19841, 19853 and 19984   | Approved by OAL & filed with Secretary of State:<br><b>February 2, 2015</b><br><br>Effective Date:<br><b>July 1, 2015</b>     |
| <b><u>2014-03-N</u></b>   | 2014-0409-03 N | <b>§ 100 Change w/o Regulatory Effect: Designation of Commission Employees Subject to the Restrictions of Business and Professions Code section 19981.</b><br>Non-substantive changes to conform the list of employee classifications to the classifications of Commission employees.  | <b><u>Amend:</u></b> § 12591  | B&P § 19981  | Withdrawn from OAL review:<br><b>May 1, 2014</b>  |
| <b><u>2014-02-R</u></b>   | 2014-1013-02 S | <b>Ex Parte Communications; Application Withdrawal and Abandonment; and, Hearing Procedures.</b><br>Implement various aspects of the Gambling Control Act and provide further clarification as to the procedures for expeditious Commission action while preserving an applicant's right to an evidentiary hearing. Establish transparent processes consistent with Commission practice.   | <b><u>Adopt:</u></b> §§ 12006, 12012, 12035, 12052, 12054, 12056, 12058, 12060, 12062, 12064, 12066, 12068<br><b><u>Amend:</u></b> §§ 12002, 12015 (Renumbered 12047), 12017 (Renumbered 12048), 12050<br><b><u>Repeal:</u></b> §§ 12218.5, 12234 | B&P §§ 19811, 19823, 19824, 19825, 19840, 19841, 19853, 19854, 19869, 19870, 19871, 19872, 19893 and 19951 | Approved by OAL & filed with Secretary of State:<br><b>November 25, 2014</b><br><br>Effective Date:<br><b>January 1, 2015</b> |
| <b><u>2014-01-R</u></b>   | 2014-0513-01 S | <b>Remote Caller Bingo; Commission Authorization of Eligible Organizations.</b><br>Eliminates the need for Commission review and approval of annual information updates.   | <b><u>Amend:</u></b> § 12505  | B&P §§ 19850.5 and PC 326.3  | Approved by OAL & filed with Secretary of State:<br><b>June 18, 2014</b><br><br>Effective Date:<br><b>October 1, 2014</b>     |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.          | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY   | HISTORY   |
|---------------------------|-----------------------|--|--|---|---|
| <u><b>2013-05-N</b></u>   | <b>2013-1018-01 N</b> | <b>§ 100 Change w/o Regulatory Effect: Governor's Reorganization Plan No. 2 of 2012 (GRP No. 2) Clean-up</b><br>Non-substantive changes to conform to GRP No. 2 clean-up legislation; SB 76 (Committee on Budget and Fiscal Review, Chapter 32, Statutes of 2013) and SB 820 (Committee on Governmental Organization, Chapter 353, Statutes of 2013).  | <b>Amend:</b> §§ 12200.20, 12220.20, 12480, 12482, 12500, 12505, 12308<br><b>Repeal:</b> § 12488 | B&P §§ 19851, 19984<br>PC §§ 326.3, 326.4, 326.5    | Approved by OAL & filed with the Secretary of State:<br><b>December 4, 2013</b><br><br>Effective Date:<br><b>December 4, 2013</b>     |
| <u><b>2013-04-R</b></u>   | <b>2014-0505-01 S</b> | <b>MICS Phase IV: Cage Operation and Functions; Security of Floor Banks, Gambling Equipment, and Confidential Documents</b><br>Requires cardrooms to adopt specified minimum policies and procedures that relate to the operation and functions of cages. Requires cardrooms to adopt specified minimum policies and procedures that relate to the security of floor banks, gambling equipment, and confidential documents, when kept in any public area of a gambling establishment, including on the gaming floor. | <b>Amend:</b> §§ 12360, 12386<br><b>Adopt:</b> § 12387   | B&P §§ 19811, 19824, 19840, 19841, 19924            | Approved by OAL & filed with Secretary of State:<br><b>June 11, 2014</b><br><br>Effective Date:<br><b>October 1, 2014</b>             |
| <u><b>2013-03-N</b></u>   | <b>2013-0716-02 N</b> | <b>§ 100 Change w/o Regulatory Effect: State Department of Alcohol and Drug Program &amp; Gambling Addiction Program Fee.</b><br>Non-substantive changes to conform to regulations adopted by the Department of Alcohol and Drug Programs.   | <b>Amend:</b> §§ 12357, 12463, 12464   | B&P § 19954   | Approved by OAL & filed with the Secretary of State:<br><b>July 31, 2013</b><br><br>Effective Date:<br><b>July 31, 2013</b>           |
| <u><b>2013-02-R</b></u>   | <b>2013-0815-01 S</b> | <b>Issuance of Subpoenas in Matters Before the Commission.</b><br>This new section provides formal guidelines for issuance of subpoenas and subpoenas duces tecum, including related requests and subsequent motions.  | <b>Adopt:</b> § 12014  | B&P § 19824(h), 19840 and 19841<br>GC § 11450.05(b) | Approved by OAL & filed with the Secretary of State:<br><b>September 27, 2013</b><br><br>Effective Date:<br><b>September 27, 2013</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup> | OAL FILE NO.            | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY   | HISTORY   |
|---------------------------|-------------------------|--|--|---|---|
| <b><u>2013-01-N</u></b>   | <b>2013-0419-03 N</b>   | <b>§ 100 Change w/o Regulatory Effect: Implementation of the Governor's Reorganization Plan No. 2 of 2012 (GRP No. 2)</b><br>Non-substantive changes to conform to GRP No. 2.  | <b>Amend:</b> § 12101, 12120, 12122, 12126, 12130, 12132, 12140, 12142, 12200, 12200.3, 12200.5, 12200.6, 12200.10B, 12200.14, 12200.20, 12202, 12203, 12203A, 12203.2, 12203.3, 12205.1, 12218, 12218.7, 12218.8, 12218.9, 12220, 12220.3, 12220.5, 12220.6, 12220.14, 12220.20, 12222, 12223, 12225.1, 12233, 12235, 12238, 12239, 12301, 12301.1, 12302, 12303, 12304, 12305, 12309, 12310, 12342, 12345, 12349, 12350, 12351, 12352, 12354, 12357, 12358, 12359, 12370, 12372, 12401, 12402, 12403, 12404, 12464, 12480, 12492, 12496, 12500, 12503, 12505, 12508, 12591 | B&P §§ 19826, 19864   | Approved by OAL & filed with Secretary of State:<br><b>June 3, 2013</b><br><br>Effective Date:<br><b>July 1, 2013</b><br>(Because the implementation date of GRP No. 2 was July 1, 2013, the regulation changes were made effective the same date.) |
| <b><u>2012-04-R</u></b>   | <b>2013-0429-015 SR</b> | <b>Notice of Relocation of Gambling Establishment</b><br>Establishes a process by which a gambling enterprise shall notify the Bureau of a planned physical relocation, and amends existing regulations regarding changes of address or other contact information.   | <b>Adopt:</b> § 12364<br><b>Amend:</b> § 12004   | B&P 19824, 19826, 19850, 19860, 19862, 19868, 19582   | Approved by OAL & filed with Secretary of State:<br><b>May 23, 2013</b><br><br>Effective Date:<br><b>July 1, 2013</b>   |
| <b><u>2012-03-N</u></b>   | <b>2012-0618-01 N</b>   | <b>§ 100 Change w/o Regulatory Effect: Key Employee Employment; Tax Delinquencies</b><br>Implemented SB 374 (Strickland, Chapter 263, Statutes of 2011) to remove restrictions on Key Employees having more than one employer (allowed portability). Implemented AB 1424 (Perea, Chapter 455, Statutes of 2011) which requires applicants be notified that their status will be checked through FTB and BoE. | <b>Amend:</b> §§ 12101, 12202, 12205.1, 12218, 12218.7, 12218.8, 12222, 12225.1, 12233, 12235, 12238, 12309, 12335, 12342, 12350, 12352, 12354   | B&P 19811, 19823, 19824, 19840, 19841, 19853, 19854, 19864, 19876, 19912, 19951, 19883, 19984 | Approved by OAL & filed with Secretary of State:<br><b>July 26, 2012</b><br><br>Effective Date:<br><b>July 26, 2012</b>   |
| <b><u>2012-02-N</u></b>   | <b>2012-0214-03 N</b>   | <b>§ 100 Change w/o Regulatory Effect: Update Bureau's Address in all Forms</b><br>Conforms Commission regulations with a 2011 Bureau rulemaking that amended the background investigation deposit amounts.  | <b>Amend:</b> §§ 12200, 12200.9, 12200.10A, 12200.11, 12200.13, 12220, 12220.13, 12342, 12464  | B&P 19811, 19824, 19840, 19841, 19853, 19864, 19920, 19984                                    | Approved by OAL & filed with Secretary of State:<br><b>March 21, 2012</b><br><br>Effective Date:<br><b>March 21, 2012</b>   |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>                | OAL FILE NO.                            | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY  | HISTORY   |
|--|---|--|--|--|---|
| <b><u>2012-01-N</u></b>                  | <b>2012-0124-01 N</b>                   | <b>§ 100 Change w/o Regulatory Effect: Precedential Decisions; Clean-Up</b><br>Makes changes consistent with a 2017 OAL determination that addresses the question of whether or not a stipulated decision arrived at through a settlement may be designated as a precedent decision.                     | <b><u>Amend:</u></b> § 12572   | B&P 19840  | Approved by OAL & filed with Secretary of State:<br><b>February 16, 2012</b><br><br>Effective Date:<br><b>February 16, 2012</b>   |
| <b><u>2011-04-R</u></b>                  | Z-2011-1101-01<br><b>2012-0215-05-S</b> | <b>Renewal of Gambling License; Late Application Fee; and Nonpayment of Annual Fee; Temporary Closure of Gambling Establishment</b><br>Amends previous regulations detailing late renewal submissions and the surrender and/or abandonment of licenses. Also imposes a fee for late renewal submissions. | <b><u>Amend:</u></b> §§ 12008, 12335, 12342, 12345, 12357, 12359   | B&P 19811, 19823, 19824, 19840, 19841, 19853, 19854, 19864, 19876, 19915, 19950, 19951, 19955, 19984 | Approved by OAL & filed with Secretary of State:<br><b>March 29, 2012</b><br><br>Effective Date:<br><b>April 28, 2012</b>         |
| <b><u>2011-03-N</u></b><br>CGCC-GCA-03-N | <b>2011-0818-02 N</b>                   | <b>§ 100 Change w/o Regulatory Effect: Revised Background Deposits; CGCC Forms Revisions; Miscellaneous Technical &amp; Editorial Revisions</b><br>Makes regulations consistent with SB 374 (Strickland, Chapter 263, Statutes of 2011) and AB 1424 (Perea, Chapter 455, Statutes of 2011).              | <b><u>Amend:</u></b> §§ 12100, 12101, 12200.3, 12200.5, 12200.6, 12200.9, 12200.10B, 12200.14, 12202, 12205.1, 12218, 12218.7, 12218.8, 12220.3, 12220.5, 12220.6, 12220.14, 12222, 12225.1, 12233, 12235, 12238, 12300, 12301.1, 12309, 12350, 12354, 12358, 12359, 12362, 12400, 12404, 12463, 12464 | B&P 19811, 19823, 19824, 19840, 19841, 19853, 19864, 19876, 19883, 19912, 19920, 19950, 19951, 19984 | Approved by OAL & filed with Secretary of State:<br><b>September 30, 2011</b><br><br>Effective Date:<br><b>September 30, 2011</b> |
| <b><u>2011-02-R</u></b>                  | Z-2011-0726-02<br><b>2012-0731-02 S</b> | <b>MICS Phase III: Gambling Floor Operations and House Rules</b><br>Requires cardrooms to adopt specified minimum policies and procedures that relate to the operation of the gambling floor, and to adopt specified minimum policies and procedures regarding house rules.                              | <b><u>Adopt:</u></b> § 12391, 12392<br><b><u>Amend:</u></b> § 12360  | B&P 19811, 19824, 19840, 19841, 19924  | Approved by OAL & filed with Secretary of State:<br><b>September 12, 2012</b><br><br>Effective Date:<br><b>October 12, 2012</b>   |
| <b><u>2011-01-R</u></b>                  | Z-2011-0705-02<br><b>2011-1107-03-S</b> | <b>Interim Gambling Licenses</b><br>Allows gambling operations to continue while the Commission considers the regular gambling license application of a successor in interest to the owner-licensee due to death, insolvency, foreclosure, incapacity, etc.  | <b><u>Adopt:</u></b> § 12349   | B&P 19811, 19823, 19824, 19825, 19826, 19840, 19841, 19853, 19870                                    | Approved by OAL & filed with Secretary of State:<br><b>December 21, 2011</b><br><br>Effective Date:<br><b>January 20, 2012</b>    |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)



# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>                                | OAL FILE NO.  | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY   | HISTORY   |
|--|---|---|--|---|---|
| <b><u>2010-01-R</u></b>                                  | Z-2010-0525-08<br><b>2010-1021-01-S</b>                       | <b>Reactivation of Expired Gambling Licenses; Surrender; Abandonment</b><br>Imposes consequences for the late submission of renewals and procedures not only for the abandonment or surrender of a license, but mechanisms to reinstate an abandoned or surrendered license.  | <b>Adopt:</b> §§ 12347, 12348<br><b>Amend:</b> §§ 12002, 12345   | B&P 19800, 19811, 19823, 19824, 19840, 19841, 19853, 19854, 19951, 19963  | Approved by OAL & filed with Secretary of State:<br><b>December 7, 2010</b><br><br>Effective Date:<br><b>January 6, 2011</b>  |
| <b><u>2009-06-R</u></b><br><i>2009-08-R</i>              | Z-2009-1124-02<br><b>2010-0301-01-S</b>                       | <b>Portable Personal Key Employee Licenses</b><br>Clarifies the portable Key Employee license regulations by separating the requirements for Key Employees from gambling establishments which were previously commingled and implements methods to advise the Commission when an employee changes employers. Also establishes replacement procedures for lost, stolen or damaged Key Employee badges. | <b>Adopt:</b> §§ 12350, 12351, 12352, 12353, 12354, 12355<br><b>Amend:</b> §§ 12008, 12335, 12340, 12342, 12343 renumbered as and merged with amended 12342, 12344; renumbered as and merged with amended 12345, 12348<br><b>Repeal:</b> § 12347 | B&P 19811, 19823, 19824, 19826, 19827, 19840, 19841, 19850, 19851, 19852, 19853, 19854, 19859, 19870, 19872, 19876, 19883, 19890, 19951, 19982, 19984 | Approved by OAL & filed with Secretary of State:<br><b>April 13, 2010</b><br><br>Effective Date:<br><b>May 13, 2010</b>       |
| <b><u>2009-05-C</u></b><br><i>2009-03-C</i><br>2009-03-E | Z-2009-0630-05<br><b>2010-0129-01-C</b><br><br>2009-0508-03 E | <b>Assistance to Bingo Players with Disabilities</b><br>Establishes requirements for operators of a bingo game to offer assistance to players with disabilities.  | <b>Adopt:</b> § 12482  | B&P 19850.5, 19850.6; PC 326.3, 326.5   | Approved by OAL & filed with Secretary of State:<br><b>May 18, 2009</b><br><br>Effective Date:<br><b>May 18, 2009</b>         |
| <b><u>2009-04-R</u></b><br><i>2009-07-R</i>              | Z-2009-0623-01<br><b>2009-1023-02-S</b>                       | <b>Third-Party Providers of Proposition Player Services: Registration; Licensing</b><br>Implements an on-going renewal process for licenses and extends the one-year contract period to two years, to coincide with the license renewals.   | <b>Adopt:</b> §§ 12218.8, 12218.9, 12238, 12239<br><b>Amend:</b> §§ 12200.9, 12200.10A, 12200.11, 12200.13, 12203.2, 12205.1, 12218, 12218.7, 12220.13, 12220.18, 12220.23, 12225.1, 12233, 12235  | B&P 19840, 19841, 19984, 19853  | Approved by OAL & filed with Secretary of State:<br><b>December 8, 2009</b><br><br>Effective Date:<br><b>December 8, 2009</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>   | OAL FILE NO.  | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY   | HISTORY  |
|---|---|--|--|---|--|
| <b><u>2009-03-R</u></b><br>2009-06-R  | Z-2009-0616-05<br><b>2010-0223-01 S</b>   | <b>MICS Phase II:<br/>Emergency Preparedness, Security and Surveillance</b><br>Requires that all fire safety and evacuation plans must be consistent with those of the State Fire Marshall at all times, and that fire and evacuation plans must be provided to the Commission and Bureau. Plans must be reviewed and practiced annually by cardroom employees.<br><br>Establishes the minimum internal control standards that must be addressed in licensees' policies and procedures for security and surveillance.                                  | <b>Adopt:</b> §§ 12372, 12395, 12396<br><b>Amend:</b> § 12370                  | B&P 19801, 19811, 19824, 19826, 19840, 19841, 19856, 19857, 19924 | Approved by OAL & filed with Secretary of State:<br><b>April 6, 2010</b><br><br>Effective Date:<br><b>May 6, 2010</b>        |
| <b><u>2009-02-C</u></b><br>2009-04-C (merged)<br>2008-E-4<br><br>2009-02-E<br><br>2009-04-E | Z-2010-0608-02<br><b>2010-0922-02 C</b><br><br>2008-1218-06 E<br>2009-0619-02 EE<br><br>2009-0414-02 E<br>2009-1008-01 EE (W/D)<br>2009-0508-02 E | <b>Remote Caller Bingo: Interim Licenses and Work Permits; Recognition of Authorized Organizations; Requirements</b><br>Amends and permanently establishes previously adopted emergency regulations that implemented the Remote Caller Bingo (RCB) Program (PC § 326.3). These regulations establish interim licensing process for RCB license types and recognition of non-profit organizations that qualify to sponsor or co-sponsor RCB games. The regulations also address requirements for RCB equipment approval, standards of play, and audits. | <b>Adopt:</b> §§ 12480, 12492, 12494, 12496, 12498, 12499, 12501, 12502, 12504 | B&P 19850.5, 19850.6, 19859; PC 326.3, 326.4, 326.5               | Approved by OAL & filed with Secretary of State:<br><b>April 24, 2009</b><br><br>Effective Date:<br><b>April 24, 2009</b>    |
| <b><u>2009-01-R</u></b><br>2009-05-R  | Z-2009-0526-05<br><b>2009-1023-03-S</b>   | <b>Cash, Credit, and ATMs</b><br>Amends the regulations for minor, technical changes to address issues raised by the gaming industry and the Bureau.   | <b>Amend:</b> § 12388  | B&P 19811, 19823, 19840, 19841, 19841, 19841, 19901, 19905, 19920 | Approved by OAL & filed with Secretary of State:<br><b>December 9, 2009</b><br><br>Effective Date:<br><b>January 8, 2010</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>            | OAL FILE NO.                     | SUBJECT/SUMMARY  | SECTIONS  | AUTHORITY   | HISTORY   |
|--------------------------------------|----------------------------------|--|---|---|---|
| <b><u>2008-06-E</u></b><br>2008-E-4* | 2008-1218-06 E                   | <b>Interim Approval of Card-Minding Devices</b><br>Establishes a process for granting interim approval of card-minding devices used in bingo games.  | <b>Adopt:</b> § 12482<br>(Renumbered as § 12486 in 2009-05-C)               | B&P 19840, 19850.5, 19850.6; PC 326.3, 326.4, 326.5 | Approved by OAL & filed with Secretary of State:<br><b>December 29, 2008</b><br><br>Effective Date:<br><b>January 1, 2009</b><br>*Emergency Regulation expired <b>December 31, 2011</b> , and repealed by operation of law effective <b>January 1, 2012</b> . |
| <b><u>2008-05-R</u></b><br>2008-R-05 | Z-2008-1216-05<br>2009-0402-01-S | <b>Designation of Commission Employees Subject to Business and Professions Code Section 19981(a)</b><br>Designates those Commission employees precluded from providing representation of another, for compensation, before the Commission following separation of employment for three years. Intends to preserve the Commission's credibility, independence, and to discourage even the appearance of any unfair advantage and/or undue influence.  | <b>Adopt:</b> § 12591   | B&P 19840, 19841, 19981                             | Approved by OAL & filed with Secretary of State:<br><b>May 12, 2009</b><br><br>Effective Date:<br><b>June 11, 2009</b>  |
| <b><u>2008-03-R</u></b>              | Z-2008-0916-07<br>2009-0716-05 S | <b>MICS Phase I: General Terms, Conditions &amp; Definitions; Policies &amp; Procedures; Drop &amp; Drop Collection; Count &amp; Count Room Functions; Cage Functions</b><br>Creates a baseline for cardroom operation, by requiring that licensees establish and implement written policies and procedures that meet or exceed the prescribed Minimum Internal Control Standards (MICS), as they relate to the established size category of the individual licensee.<br>Assists cardrooms in safeguarding their assets, protecting patrons and their property, and maintaining the integrity of games and gaming. Establishes a baseline standard to help to ensure consistency and uniformity. | <b>Adopt:</b> §§ 12380, 12381, 12384, 12385, 12386<br><b>Amend:</b> § 12360 | B&P 19811, 19824, 19827, 19840, 19841, 19924        | Approved by OAL & filed with Secretary of State:<br><b>August 25, 2009</b><br><br>Effective Date:<br><b>September 24, 2009</b>  |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>           | OAL FILE NO.                                     | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY  | HISTORY   |
|-------------------------------------|--|---|--|--|---|
| <b><u>2008-02-N</u></b><br>2008-N-2 | <b>2008-0626-03-N</b><br>2008-0527-01 N<br>(W/D) | <b>§ 100 Change w/o Regulatory Effect:<br/>Replace References to the Division of<br/>Gambling Control (Division) &amp; Director<br/>with References to the Bureau of<br/>Gambling Control (BGC) &amp; Chief</b><br>Non-substantive changes.   | <b>Amend:</b> §§ 12002, 12100, 12101, 12120, 12122,<br>12128, 12130, 12140, 12200, 12200.3, 12200.7,<br>12200.9, 12200.10A, 12200.10B, 12200.10C,<br>12200.11, 12200.13, 12200.14, 12200.16, 12200.17,<br>12200.18, 12200.20, 12200.21, 12201, 12202, 12203,<br>12203A, 12203.1, 12203.2, 12203.3, 12203.5, 12204,<br>12205, 12205.1, 12218, 12218.1, 12218.5, 12218.7,<br>12218.11, 12220, 12220.3, 12220.13, 12220.14,<br>12220.16, 12220.18, 12220.20, 12220.20A, 12220.21,<br>12220.23, 12221, 12222, 12223, 12224, 12225,<br>12225.1, 12233, 12234, 12235, 12236, 12300, 12301,<br>12301.1, 12302, 12303, 12304, 12305, 12306, 12308,<br>12309, 12310, 12335, 12341, 12342, 12343, 12344,<br>12345, 12347, 12358, 12359, 12360, 12370, 12400,<br>12401, 12402, 12403, 12404, 12405, 12460, 12463,<br>12464, 12466, 12550, 12552, 12554, 12556, 12558,<br>12560, 12562, 12564, 12566, 12568, 12590 | B&P 19801, 19811, 19823, 19824, 19825, 19827,<br>19840, 19841, 19851, 19853, 19854, 19864,<br>19912, 19920, 19924, 19930, 19950, 19951,<br>19952, 19984; Gov Code 15376, 87300; PC 337 | Approved by OAL & filed with<br>Secretary of State:<br><b>August 8, 2008</b><br><br>Effective Date:<br><b>August 8, 2008</b>    |
| <b><u>2008-01-R</u></b><br>2008-R-1 | <b>Z-2008-0527-03</b><br><b>2008-0904-03 S</b>   | <b>Two-Year License Fees;<br/>Two-Year TPPPS and Gambling<br/>Business Licenses</b><br>Establishes two-year license application<br>fees for Gambling Establishments, Key<br>Employees, providers of Third-Party<br>Proposition Player Services (TPPPS) and<br>Gambling Businesses to conform to the<br>change from one-year (annual) licenses to<br>two-year (biennial) licenses pursuant to SB<br>730 (Florez, Chapter 438, Statutes of<br>2007).<br><br>Establishes two-year license terms for<br>TPPPS and Gambling Businesses to be<br>consistent with the SB 730 two-year<br>license terms.<br><br>Establishes a consolidated fee schedule<br>for initial and renewal applications for all<br>licenses, registrations and permits issued<br>by the Commission. | <b>Adopt:</b> § 12008<br><b>Amend:</b> §§ 12122, 12200.14, 12200.20, 12202,<br>12203A, 12203.2, 12205.1, 12218.13, 12220.14,<br>12220.20, 12220.20A, 12222, 12237, 12301, 12342,<br>12343, 12344, 12345  | B&P 19801, 19811, 19823, 19824, 19840, 19841,<br>19851, 19853, 19854, 19876, 19912, 19915,<br>19951, 19984   | Approved by OAL & filed with<br>Secretary of State:<br><b>October 3, 2008</b><br><br>Effective Date:<br><b>November 2, 2008</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>           | OAL FILE NO.                            | SUBJECT/SUMMARY   | SECTIONS   | AUTHORITY  | HISTORY   |
|-------------------------------------|---|---|--|--|---|
| <b><u>2007-07-R</u></b><br>2007-R-6 | Z-2007-1231-04<br><b>2009-0123-01-S</b> | <b>Extension of Credit, Check Cashing, and Automated Teller Machines; Unclaimed or Abandoned Property</b><br>Implements controls of check cashing, extensions of credit, and use of ATMs. Provides for public safety by allowing methods of participation other than carrying large amounts of cash and the recording of transactions for investigative purposes. | <b>Adopt:</b> §§ 12388, 12410  | B&P 19811, 19823, 19840, 19841, 19901, 19905, 19920                                    | Approved by OAL & filed with Secretary of State:<br><b>March 10, 2009</b><br><br>Effective Date:<br><b>July 8, 2009</b>         |
| <b><u>2007-06-N</u></b><br>2007-N-9 | <b>2007-1220-04-N</b>                   | <b>§ 100, Changes without Regulatory Effect: References to Bureau of Criminal Identification and Information (BCII)</b><br>Corrects some references to the Bureau by changing them to the Bureau of Criminal Identification and Information.  | <b>Amend:</b> §§ 12002, 12122, 12202, 12203.2, 12222                 | B&P 19811, 19823, 19824, 19840, 19841, 19853, 19854, 19912, 19951, 19984               | Approved by OAL & filed with Secretary of State:<br><b>December 26, 2007</b><br><br>Effective Date:<br><b>December 26, 2007</b> |
| <b><u>2007-05-R</u></b><br>2007-R-7 | Z-2007-1218-01<br><b>2008-1230-01 S</b> | <b>Statewide Involuntary Exclusion List</b><br>Methods to exclude or eject persons from gambling establishments who pose a threat to the public, gambling enterprise, employees, or the industry.   | <b>Adopt:</b> § 12362  | B&P 19840, 19844   | Approved by OAL & filed with Secretary of State:<br><b>February 13, 2009</b><br><br>Effective Date:<br><b>March 15, 2009</b>    |
| <b><u>2007-04-R</u></b><br>2007-R-5 | Z-2007-1116-02<br><b>2008-0903-02-S</b> | <b>Licensing Issues: Withdrawal, Abandonments, Denials</b><br>Details the process to stop the application process and background investigation of withdrawn or abandoned applications, as well as the process of the denial and appeal of a denial so that applicants understand and can use their due process rights.  | <b>Adopt:</b> §§ 12047, 12048, 12050, 12348<br><b>Amend:</b> § 12002 | B&P 19800, 19811, 19823, 19824, 19840, 19841, 19853, 19854, 19870, 19872, 19951, 19963 | Approved by OAL & filed with Secretary of State:<br><b>October 16, 2008</b><br><br>Effective Date:<br><b>November 15, 2008</b>  |
| <b><u>2007-03-R</u></b><br>2007-R-4 | Z-07-0720-02<br><b>2007-1012-03-S</b>   | <b>Interim Key Employee Status While Application Pending</b><br>Addresses the statutory disparity between corporate and non-corporate cardrooms and allows for employees to be hired or promoted while providing the public with a basic level of protection.   | <b>Adopt:</b> § 12347  | B&P 19811, 19824, 19840, 19841   | Approved by OAL & filed with Secretary of State:<br><b>November 21, 2007</b><br><br>Effective Date:<br><b>January 1, 2008</b>   |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)



# CALIFORNIA GAMBLING CONTROL COMMISSION INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>           | OAL FILE NO.   | SUBJECT/SUMMARY   | SECTIONS  | AUTHORITY   | HISTORY   |
|-------------------------------------|--|---|---|---|---|
| <b><u>2007-02-R</u></b><br>2007-R-3 | Z-2007-0626-01<br><b>2008-0512-01-SR</b><br>2008-0201-03-S | <b>Two-Year Licensing of Gambling Establishments and Key Employees</b><br>Establishes a two-year license term (previously one-year) for gambling licenses and key employee licenses, to implement provisions of SB 730 (Florez, Chapter 438, Statutes of 2007), and aligns the annual payment of table fees with the annual submission of financial statements. | <b>Adopt:</b> §§ 12335, 12340, 12357<br><b>Amend:</b> §§ 12342, 12343, 12344, 12345, 12358, 12359 | B&P 19811, 19823, 19824, 19840, 19841, 19854, 19864, 19876, 19950, 19951, 19955 | Approved by OAL & filed with Secretary of State:<br><b>June 24, 2008</b><br><br>Effective Date:<br><b>June 24, 2008</b>         |
| <b><u>2007-01-R</u></b><br>2007-R-2 | Z-2007-0227-04<br><b>2007-0731-05 S</b>                    | <b>Third-Party Providers of Proposition Player Services and Gambling Businesses: License Conversion Date Extension</b><br>Changes the licensing conversion completion dates from July 1, 2007 to July 1, 2008 to allow more time for background checks to be completed for the persons converting from registrations to licenses.                               | <b>Amend:</b> §§ 12205.1, 12225.1   | B&P 19840, 19841, 19953, 19984  | Approved by OAL & filed with Secretary of State:<br><b>September 4, 2007</b><br><br>Effective Date:<br><b>September 4, 2007</b> |
| <b><u>2006-07-N</u></b><br>2007-01  | <b>2006-1222-04-N</b>                                      | <b>§ 100 Change w/o Regulatory Effect: Amount of Annual License Fees</b><br>Implements AB 1620 (Chapter 721, Statutes of 2006) which changed the fee amounts for temporary tables.  | <b>Amend:</b> § 12358   | B&P 19811, 19823, 19824, 19840, 19841, 19864, 19950, 19952                      | Approved by OAL & filed with Secretary of State:<br><b>January 30, 2007</b><br><br>Effective Date:<br><b>January 30, 2007</b>   |
| <b><u>2006-06-N</u></b><br>2006-8   | <b>2006-1215-07 N</b>                                      | <b>§ 100 Change w/o Regulatory Effect: Revise Forms; Delete P.O. Box No.</b><br>Delete the P.O. Box number for the Commission   | <b>Amend:</b> §§ 12101, 12301.1, 12309  | B&P 19811, 19823, 19824, 19840, 19841, 19864, 19912                             | Approved by OAL & filed with Secretary of State:<br><b>January 30, 2007</b><br><br>Effective Date:<br><b>January 30, 2007</b>   |
| <b><u>2006-05-R</u></b><br>2006-R-5 | Z-2006-0823-01<br><b>2006-1226-02-S</b>                    | <b>Initial Fee for State Gambling License</b><br>Replaces general job descriptions with specific titles and adds positions that were not in use in 2001.  | <b>Adopt:</b> § 12341   | B&P 19811, 19824, 19840, 19841, 19951   | Approved by OAL & filed with Secretary of State:<br><b>February 8, 2007</b><br><br>Effective Date:<br><b>February 8, 2007</b>   |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>            | OAL FILE NO.                     | SUBJECT/SUMMARY  | SECTIONS  | AUTHORITY   | HISTORY  |
|--------------------------------------|----------------------------------|--|---|---|--|
| <b><u>2006-04-N</u></b><br>2006-04   | 2006-0711-03 N                   | <b>§ 100 Change w/o Regulatory Effect: Table Forms; Change of Address</b><br>Delete the P.O. Box number for the Commission   | <b><u>Amend:</u></b> §§ 12358, 12359  | B&P 19811, 19823, 19824, 19840, 19841, 19864, 19950, 19951, 19952         | Approved by OAL & filed with Secretary of State:<br><b>July 19, 2006</b><br><br>Effective Date:<br><b>July 19, 2006</b>        |
| <b><u>2006-03-R</u></b><br>2006-R-3  | Z-2006-0322-01<br>2006-1215-08 S | <b>Program for Responsible Gambling</b><br>Establishes requirements for gambling establishments to post resources for gambling problems and mandate training for employees. Also establishes a self-restriction and self-exclusion program.                                | <b><u>Adopt:</u></b> §§ 12460, 12461, 12462, 12463, 12464, 12466                                      | B&P 19811, 19840, 19841, 19920  | Approved by OAL & filed with Secretary of State:<br><b>January 30, 2007</b><br><br>Effective Date:<br><b>March, 5, 2007</b>    |
| <b><u>2006-02-R</u></b><br>2006-R-01 | Z-2006-0216-02<br>2006-1226-03-S | <b>Discipline, Precedential Decisions</b><br>Provides for disciplinary action under B&P 19824 and the exercise of police powers under B&P 19801. Establishes the process, basis, and consequences of an accusation submitted by the Bureau for violations of gambling law. | <b><u>Adopt:</u></b> §§ 12550, 12552, 12554, 12556, 12558, 12560, 12562, 12564, 12566, 12568, 12572   | B&P 19824, 19825, 19840, 19841, 19930, 19984                              | Approved by OAL & filed with Secretary of State:<br><b>February 8, 2007</b><br><br>Effective Date:<br><b>March 10, 2007</b>    |
| <b><u>2006-01-R</u></b><br>2006-R-02 | Z-2006-0124-04<br>2006-0417-01 S | <b>Additional Temporary Tables</b><br>Codifies practices and provides judicial remedies through the establishment of methods and fees to obtain additional temporary tables.   | <b><u>Adopt:</u></b> § 12358  | B&P 19811, 19823, 19824, 19840, 19841, 19864, 19950, 19952                | Approved by OAL & filed with Secretary of State:<br><b>May 18, 2006</b><br><br>Effective Date:<br><b>June 17, 2006</b>         |
| <b><u>2005-03-R</u></b><br>2005-R-3  | Z-2005-0809-10<br>2005-1109-02 S | <b>Additional Permanent Tables</b><br>Codifies practices and provides judicial remedies through the establishment of methods and fees to obtain additional permanent tables.   | <b><u>Adopt:</u></b> § 12359  | B&P 19811, 19823, 19824, 19840, 19841, 19864, 19950, 19951                | Approved by OAL & filed with Secretary of State:<br><b>December 21, 2005</b><br><br>Effective Date:<br><b>January 1, 2006</b>  |
| <b><u>2005-02-R</u></b><br>2005-R-02 | Z-2005-0711-05<br>2005-1215-04-S | <b>General Provisions - Definitions, Change of Address</b><br>To reduce duplication of common regulatory terms, including definitions and addresses.   | <b><u>Adopt:</u></b> §§ 12202, 12204 Appendix A<br><b><u>Amend:</u></b> §§ 12100, 12200, 12220, 12300 | B&P 19811, 19823, 19824, 19840, 19841, 19853, 19912, 19951, 19984; PC 337 | Approved by OAL & filed with Secretary of State:<br><b>January 25, 2006</b><br><br>Effective Date:<br><b>February 24, 2006</b> |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>  | OAL FILE NO.   | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY   | HISTORY  |
|--|--|--|--|---|--|
| <b>2005-01-N</b><br><i>2005-N-1</i>  | 2005-0208-01 N   | <b>§ 100 Change w/o Regulatory Effect: Renumbering of License Forms, Renewals from Ch. 3 to Ch. 6</b><br>Renumbers Chapter 3 to Chapter 6.   | <b>Amend:</b> §§ 12250, 12270, 12271, 12272  | B&P 19811, 19824, 19840, 19841                                    | Approved by OAL & filed with Secretary of State:<br><b>March 22, 2005</b><br><br>Effective Date:<br><b>March 22, 2005</b>  |
| <b>2004-03-C</b><br><i>2004-R-3</i><br>2003-E-8<br>2004-E-2<br>2004-E-5*<br>2004-E-8 | Z-2004-0601-08<br><b>2004-1216-02 C</b><br><br>2003-1024-05 E<br>2004-0225-02 EE<br>2004-0614-04 EE<br>2004-1008-01 EE                         | <b>Initial and Renewal License Applications; Required Forms</b><br>Clarifies license applications by specifying each person on a state gambling license must submit a separate application, and the forms required to submit initial and renewal license applications.   | <b>Adopt:</b> §§ 12270, 12271, 12272   | B&P 19811, 19824, 19840, 19841                                    | Approved by OAL & filed with Secretary of State:<br><b>October 18, 2004</b><br><br>Effective Date:<br><b>October 21, 2004</b><br><br><i>*Note: 2004-E-5 assigned both to 2004-0920-01EE (Withdrawn) and 2004-0614-04EE</i> |
| <b>2004-02-C</b><br><i>2004-R-2</i><br>2003-E-9<br>2004-E-3<br>2004-GCA-E-6          | Z-2004-0526-03<br><b>2004-1103-04 C</b><br><br>2003-1028-04 E<br>2004-0227-02 EE<br>2004-0624-07 EE  | <b>Third-Party Providers of Proposition Player Services and Gambling Business Registration</b><br>Adoption of regulation mandated by B&P 19884. Provides registration and licensing structure for TPPPS and Gambling Businesses. Provides for restrictions on activities, money, and playing books. Includes restrictions for TPPPS contracts and payments to the gambling enterprise. | <b>Adopt:</b> §§ 12200, 12200.1, 12200.3, 12200.5, 12200.6, 12200.7, 12200.9, 12200.10A, 12200.10B, 12200.10C, 12200.11, 12200.13, 12200.14, 12200.15, 12200.16, 12200.17, 12200.18, 12200.20, 12200.21, 12201, 12202, 12203, 12203A, 12203.1, 12203.2, 12203.3, 12203.5, 12204, 12205, 12205.1, 12218, 12218.1, 12218.5, 12218.7, 12218.11, 12218.13, 12220, 12220.1, 12220.3, 12220.5, 12220.6, 12220.13, 12220.14, 12220.15, 12220.16, 12220.17, 12220.18, 12220.20, 12220.20A, 12220.21, 12220.23, 12221, 12222, 12223, 12224, 12225, 12225.1, 12233, 12234, 12235, 12236, 12237<br><b>Repeal:</b> Emergency Regulations §§ 12200.25, 12220.25 | B&P 19801, 19811, 19823, 19824, 19840, 19841, 19853, 19951, 19984 | Approved by OAL & filed with Secretary of State:<br><b>July 6, 2004</b><br><br>Effective Date:<br><b>July 6, 2004</b>  |
| <b>2004-01-C</b><br><i>R-1</i><br>2003-E-3<br>2003-E-7<br>2004-E-1<br>2004-E-5*      | Z-2004-0330-03<br><b>2004-0928-04 C</b><br>2003-0606-06 E<br>2003-1009-01 EE<br>2004-0130-01 EE<br>2004-0521-04 EE<br>2004-0920-01 EE<br>(W/D) | <b>Emergency Preparedness and Evacuation Plan for Cardrooms</b><br>Provide safety and evacuation requirements for patrons as well as employees, including dealing with situations unique to cardrooms.   | <b>Adopt:</b> §§ 12360, 12370  | B&P 19811, 19824, 19840, 19841, 19924                             | Approved by OAL & filed with Secretary of State:<br><b>June 1, 2004</b><br><br>Effective Date:<br><b>June 1, 2004</b><br><br><i>*Note: 2004-E-5 assigned both to 2004-0920-01EE (Withdrawn) and 2004-0614-04EE</i>         |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

# CALIFORNIA GAMBLING CONTROL COMMISSION

## INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>  | OAL FILE NO.   | SUBJECT/SUMMARY   | SECTIONS  | AUTHORITY  | HISTORY  |
|--|--|---|---|--|--|
| <b>2003-05-N</b><br>CGCC-2003-N-2  | 2003-1222-01 N   | <b>§ 100 Change w/o Regulatory Effect: Third Party Providers of Proposition Player Services and Gambling Business Registration</b><br>Non-substantive changes. Revisions to the authority and reference citations.  | <b>Amend:</b> §§ 12202, 12212, 12213, 12220, 12221, 12222, 12223, 12224, 12225, 12226, 12227, 12228, 12229, 12230, 12231, 12232   | B&P 19840, 19841, 19853, 19951, 19984                              | Approved by OAL & filed with Secretary of State:<br><b>February 5, 2004</b><br><br>Effective Date:<br><b>February 5, 2004</b>  |
| <b>2003-04-C</b><br>R-GCA-3-2003<br>2003-4-E<br>2003-8-E<br>2003-10-E<br>2004-E-4                          | Z-2003-1209-03<br><b>2004-0809-01-C</b><br><br>2003-0808-01 E<br>2003-0814-01 E<br>2003-1205-02 EE<br>2004-0330-04 E   | <b>License Renewal &amp; Work Permit Fees</b><br>Mandates individual applications for gambling businesses. Also establishes renewal application time frame requirements and fees to provide sufficient funds for essential administrative and investigative purposes. | <b>Amend:</b> §§ 12101, 12122, 12250  | B&P 19811, 19823, 19824, 19840, 19841, 19876                       | Approved by OAL & filed with Secretary of State:<br><b>December 15, 2003</b><br><br>Effective Date:<br><b>December 15, 2003</b>  |
| <b>2003-03-C</b><br>R-CGCC-2003-1a*<br><br>2002-E-3<br>2002-E-4<br>2003-E-1<br>2003-E-2<br>CGCC-03-GCA-E-5 | Z-2003-0708-06<br><b>2004-0106-03 C</b><br><br>02-0926-03 E<br>02-1127-07 E<br>2003-0117-07 EE<br>2003-0513-01 EE<br>2003-0827-01 EE<br>2003-0926-02-E (W/D) | <b>Gambling Equipment Manufacturers and Distributors</b><br>Regulations mandated by B&P 19841 for the licensing of persons engaged in manufacturing or distributing gambling equipment.   | <b>Adopt:</b> §§ 12300, 12301, 12301.1, 12302, 12303, 12304, 12305, 12306, 12307, 12308, 12309, 12310<br><b>Amend:</b> §§ 12300, 12301, 12302, 12303, 12304, 12305, 12309<br><b>Repeal:</b> §§ 12303, 12307 | B&P 19801, 19823, 19824, 19827, 19840, 19841, 19864, 19951; PC 337 | Approved by OAL & filed with Secretary of State:<br><b>September 8, 2003</b><br><br>Effective Date:<br><b>September 8, 2003</b><br><br><i>Cosmetic changes made after submission - reason for "1a"</i> |
| <b>2003-02-R</b><br>CGCC-R-GCA-2-2003  | Z-2003-0624-04<br><b>2004-0706-03-S</b>  | <b>Accounting and Financial Reporting</b><br>Regulations mandated by B&P 19841 detailing the requirements for accounting and financial reporting.   | <b>Adopt:</b> §§ 12400, 12401, 12402, 12403, 12404, 12405, 12406  | B&P 19811, 19824, 19840, 19841                                     | Approved by OAL & filed with Secretary of State:<br><b>August 17, 2004</b><br><br>Effective Date:<br><b>September 16, 2004</b>   |
| <b>2003-01-N</b><br>2003-N-1   | 2003-0519-01 N   | <b>§ 100 Change w/o Regulatory Effect: Work Permits - Cardrooms</b><br>Makes changes to references and terms to be consistent with the adoption of the Gambling Control Act.  | <b>Amend:</b> §§ 12100, 12101, 12104, 12105, 12120, 12122, 12124, 12126, 12128, 12130, 12132, 12140, 12142  | B&P 19811, 19823, 19824, 19840, 19841 and 19912; Gov Code 15376    | Approved by OAL & filed with Secretary of State:<br><b>June 26, 2003</b><br><br>Effective Date:<br><b>June 26, 2003</b>  |

<sup>1</sup> CGCC-GCA No. Key:

R = Regular Rulemaking

N = Non-substantive changes

E = Emergency Regulation

C = Certificate of Compliance (for emergency regulation)

CALIFORNIA GAMBLING CONTROL COMMISSION  
INDEX OF RULEMAKING FILES

Updated: July 17, 2024



| CGCC-GCA NO. <sup>1</sup>   | OAL FILE NO.  | SUBJECT/SUMMARY  | SECTIONS   | AUTHORITY   | HISTORY   |
|---|---|--|--|---|---|
| <b>2002-01-C</b><br><i>2002-R-1</i><br>2001-E-1<br>2001-E-2<br>2002-E-1<br>2002-E-2 | Z-02-0625-08<br><b>2002-1029-03 C</b><br><br>2001-1109-08 E<br>2001-1121-06 E<br>2002-0312-05 EE<br>2002-0625-04 EE | <b>Temporary Work Permit; Change in Place of Employment; Grounds for Denial of Work Permit</b><br>Adoption of policies and procedures for issuing temporary work permits, transfer work permits, and denial of work permit applications. | <b>Adopt:</b> §§ 12100, 12101, 12104, 12105, 12120, 12122, 12124, 12126, 12128, 12130, 12132, 12140, 12142 | B&P 19811, 19823, 19824, 19840, 19841 and 19912; Gov Code 15376 | Approved by OAL & filed with Secretary of State:<br><b>December 12, 2002</b><br><br>Effective Date:<br><b>December 12, 2002</b> |

<sup>1</sup> CGCC-GCA No. Key:  
R = Regular Rulemaking  
N = Non-substantive changes  
E = Emergency Regulation  
C = Certificate of Compliance (for emergency regulation)