

# CALIFORNIA GAMBLING CONTROL COMMISSION

## *PROPOSED 2005 RULEMAKING CALENDAR*

### SCHEDULE A: PROPOSED REGULATIONS IMPLEMENTING STATUTES ENACTED DURING THE YEAR 2004

<b>Subject:</b>  Cost Recovery (within disciplinary proceedings)		<b>CCR Title &amp; Sections Affected:</b>  Proposed 4 CCR 12550		<b>Statutes Being Implemented:</b>  Business & Professions Code section 19930	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or John Spittler (916) 263-1528		<b>Projected Dates:</b>		
			<b>Notice:</b> February	<b>Hearing:</b> May	<b>Adoption:</b> June
			<b>To OAL:</b> July		

# CALIFORNIA GAMBLING CONTROL COMMISSION

## *PROPOSED 2005 RULEMAKING CALENDAR*

### SCHEDULE B: PROPOSED REGULATIONS IMPLEMENTING STATUTES ENACTED PRIOR TO THE YEAR 2004

<b>Subject:</b> Problem Gambling		<b>CCR Title &amp; Sections Affected:</b> 4 CCR, sections to be determined	<b>Statutes Being Implemented:</b> Business & Professions Code sections 19811, 19823, 19840, 19841(o), and 19920			
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328	<b>Projected Dates:</b>				
		<b>Notice:</b> February	<b>Hearing:</b> March	<b>Adoption:</b> July	<b>To OAL:</b> August	

<b>Subject:</b> Proposition Players and Gambling Businesses – amendments to current regulations, including Contract Review		<b>CCR Title &amp; Sections Affected:</b> 4 CCR 12200-12224	<b>Statutes Being Implemented:</b> Business & Professions Code sections 19984 and 19853(a)(3)			
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Herb Bolz (916) 263-0490	<b>Projected Dates:</b>				
		<b>Notice:</b> March	<b>Hearing:</b> July	<b>Adoption:</b> November	<b>To OAL:</b> December	

<b>Subject:</b> Disciplinary Guidelines, Notice of Violation & Penalties, Precedential Decisions		<b>CCR Title &amp; Sections Affected:</b> Proposed 4 CCR 12550, 12552, 12554	<b>Statutes Being Implemented:</b> Business & Professions Code section 19811, 19823, 19824, 19840, 19841, 19850, 19854, 19875, 19912, 19913, 19914, 19920, 19922, 19924, 19930, 19942, 19931, 19971			
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or John Spittler (916) 263-1528	<b>Projected Dates:</b>				
		<b>Notice:</b> February	<b>Hearing:</b> May	<b>Adoption:</b> June	<b>To OAL:</b> July	

<b>Subject:</b> Minimum Internal Control Standards (MICS), including Adequate Financing (Chip Account)		<b>CCR Title &amp; Sections Affected:</b> 4 CCR, sections to be determined		<b>Statutes Being Implemented:</b> Business & Professions Code section 19841	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or Herb Bolz (916) 263-0490		<b>Projected Dates:</b>		
		<b>Notice:</b> July	<b>Hearing:</b> September	<b>Adoption:</b> December	<b>To OAL:</b> December

<b>Subject:</b> Gambling Equipment Manufacturers and Distributors – amendments to existing regulations, Gambling Device Testing & Transportation		<b>CCR Title &amp; Sections Affected:</b> 4 CCR 12300-12310		<b>Statutes Being Implemented:</b> Business & Professions Code sections 12923, 19824, 19840, 19841(r)	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Herb Bolz (916) 263-0490		<b>Projected Dates:</b>		
		<b>Notice:</b> May	<b>Hearing:</b> August	<b>Adoption:</b> October	<b>To OAL:</b> November

<b>Subject:</b> License Applications, Grounds for Denial, Surrender of license and abandonment of application, Gaming Activity Authorization, Requests for temporary or permanent additional tables		<b>CCR Title &amp; Sections Affected:</b> Proposed 4 CCR 12341-12359; Existing 4 CCR 12250, 12270, 12271, 12272		<b>Statutes Being Implemented:</b> Business & Professions Code sections 19841, 19952	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or John Spittler (916) 263-1528		<b>Projected Dates:</b>		
		<b>Notice:</b> March	<b>Hearing:</b> May	<b>Adoption:</b> August	<b>To OAL:</b> September

<b>Subject:</b> Proposition Players and Gambling Businesses – amendments to current regulations, including Application Procedures		<b>CCR Title &amp; Sections Affected:</b> 4 CCR 12200-12232		<b>Statutes Being Implemented:</b> Business & Professions Code sections 19984 and 19853(a)(3)	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Herb Bolz (916) 263-0490	<b>Projected Dates:</b>			
		<b>Notice:</b> July	<b>Hearing:</b> October	<b>Adoption:</b> November	<b>To OAL:</b> 2006

<b>Subject:</b> Emergency Preparedness and Evacuation Plan		<b>CCR Title &amp; Sections Affected:</b> 4 CCR 12370		<b>Statutes Being Implemented:</b> Business & Professions Code sections 19801, 19805, 19823, 19841, 19856, 19920, 19922, 19930	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or Herb Bolz (916) 263-0490	<b>Projected Dates:</b>			
		<b>Notice:</b> June	<b>Hearing:</b> August	<b>Adoption:</b> August	<b>To OAL:</b> September

<b>Subject:</b> Accounting Regulations		<b>CCR Title &amp; Sections Affected:</b> 4 CCR 12400-12406		<b>Statutes Being Implemented:</b> Business & Professions Code section 19841	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Herb Bolz (916) 263-0490	<b>Projected Dates:</b>			
		<b>Notice:</b> June	<b>Hearing:</b> August	<b>Adoption:</b> November	<b>To OAL:</b> December

<b>Subject:</b> Exclusion or Ejection of Individuals from Gambling Establishments		<b>CCR Title &amp; Sections Affected:</b> 4 CCR, sections to be determined		<b>Statutes Being Implemented:</b> Business & Professions Code sections 19844, 19845, 19940	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or Herb Bolz (916) 263-0490	<b>Projected Dates:</b>			
		<b>Notice:</b> August	<b>Hearing:</b> October	<b>Adoption:</b> 2006	<b>To OAL:</b> 2006

<b>Subject:</b> Definitions; Change of Address		<b>CCR Title &amp; Sections Affected:</b> Proposed 4 CCR 12002, 12004		<b>Statutes Being Implemented:</b> Business & Professions Code sections 19840, 19841, 19864, 19952	
<b>Responsible Agency Unit:</b> Legal Division	<b>Contact Person &amp; Phone Number:</b> Heather Cline Hoganson (916) 274-6328 or Herb Bolz (916) 263-0490		<b>Projected Dates:</b>		
		<b>Notice:</b> February	<b>Hearing:</b> May	<b>Adoption:</b> June	<b>To OAL:</b> June

**Report on the status of all uncompleted rulemaking described on previous calendars:**

- Minimum Internal Control Standards (MICS) – A public workshop was held in September 2004, and major redrafting was determined necessary.
- Requests for temporary additional tables – this regulation was addressed in the MICS workshop.
- Chip Account – this regulation was addressed in the MICS workshop.
- Disciplinary Procedures – this regulation package was redrafted extensively in consultation with the Division of Gambling Control.

Considerable time was spent in 2004 in enacting the Proposition Player and Gambling Business regulations.

**Topics of additional rulemaking actions which may be initiated during 2005, if sufficient time remains:**

- Advertising
- Approval of sale/transfer or interest
- Campaign Finance Disclosures by Licensees
- Key Employee definition