

### APPLICATION FOR REGISTRATION AS A FINANCIAL SOURCE

The applicant described below hereby applies to the California Gambling Control Commission (Commission) for registration as a Financial Source under Regulation CGCC-2 of the Commission.

<b>Part I - Applicant Information</b>	
Applicant Name:	Date of Application
Applicant's Form of Incorporation or Organization	
Applicant's Place of Incorporation or Organization	
Applicant's Principal Place of Business Address (street, city, state, ZIP)	
Authorized Contact Person	
Title	Telephone Number (    )
Name of the Tribe to which financing being provided	

<b>Part II - Eligibility Requirements</b>
<p>◆ Attached as <u>Exhibit A</u> to this application is the application for licensing submitted by the Applicant to the Tribal Gaming Agency of the Tribe named in Part I pursuant to Section 6.4.6 of the Tribal-State Gaming Compact between such Tribe and the State of California</p>
<p>◆ Attached as <u>Exhibit B</u> to this application</p> <ul style="list-style-type: none"> <li>◆ Evidence that the applicant (including all wholly-owned subsidiaries), acting for its own account or the accounts of other entities specified in clause (b) below, owns in the aggregate at least \$100,000,000 of securities of issuers that are not affiliated with the applicant; and</li> <li>◆ Evidence that the applicant is on of the following types of entities (as indicated by applicant):                     <ul style="list-style-type: none"> <li><input type="checkbox"/> A federal-regulated or state-regulated bank or savings association or other federal- or state-regulated lending institution;</li> <li><input type="checkbox"/> A company that is organized as an insurance company, the primary and predominant business activity of which is the writing of insurance of the reinsuring of risks underwritten by insurance companies, and that is subject to supervision by the Insurance Commissioner of California, or a similar official or agency of another state;</li> <li><input type="checkbox"/> An investment company registered under the federal Investment Company Act of 1940 (15 U.S.C. Section 80a-1 et seq.);</li> <li><input type="checkbox"/> A retirement plan established and maintained by the United States of America, an agency or instrumentality thereof, or by a state, its political subdivisions, or any agency or instrumentality of a state or its political subdivisions, for the benefit of its employees;</li> <li><input type="checkbox"/> An employee benefit plan within the meaning of Title I of the federal Employee Retirement Income Security Act of 1974 (29 U.S.C. Section 1001 et seq.);</li> <li><input type="checkbox"/> A securities dealer registered pursuant to the federal Security Exchange Act of 1934 (15 U.S.C. Section 78a et seq.); or</li> <li><input type="checkbox"/> An entity, all of the equity owners of which individually meet the criteria of this clause (b).</li> </ul> </li> </ul>

<b>Part III - Representation and Agreement Regarding Eligibility</b>
<p>The undersigned hereby represents on behalf of the applicant that the applicant is eligible to register as a Financial Source under Regulation CGCC-2. In addition, the undersigned hereby agrees on behalf of the applicant to notify the Tribal Gaming Agency of the Tribe named in Part I of this application and the California Gambling Control Commission promptly in writing of any termination of the applicant's eligibility for registration under Regulation CGCC-2.</p>

The undersigned hereby declares that s/he is an authorized officer or designee of the applicant with full authority to execute and submit on behalf of the applicant to be registered as a Financial Source within the meaning of Regulation CGCC-2.

\_\_\_\_\_  
 Signature \_\_\_\_\_ Title

\_\_\_\_\_  
 Name of individual (please type or print) \_\_\_\_\_ Name of Company (please type or print)