

CALIFORNIA GAMBLING CONTROL COMMISSION  
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MINUTES OF NOVEMBER 25, 2008  
 COMMISSION MEETING

OPEN SESSION

1. Call to Order and Pledge of Allegiance.

Chairman Shelton called the meeting to order at 10:03 a.m., and asked everyone to stand for the Pledge of Allegiance.

2. Roll Call of Commissioners.

Roll Call of Commissioners was taken with Chairman Shelton and Commissioners Shimazu and Vuksich present.

3. Request for Temporary Initial State Gambling License (Pursuant To Business and Professions Code Section 19824(f):

Palace Card Club: The Palace Poker Casino, LLC

Katherine Bousson, Member

Catherine Aganon, Member

Pamela Roberts, Member

Acting Deputy Director Littleton indicated that staff's revised recommendation is that the Commission approve the temporary state gambling license for the period of December 1, 2008, through November 30, 2009 for Palace Card Club, Item 3, with the following seven conditions:

1. *The temporary initial license takes effect on the date that the Commission approves the request and expires May 31, 2009. If more time is needed to complete the background process, then the temporary state gambling license can be extended for an appropriate amount of time after consultation with the Bureau.*
2. *Issuance of a temporary initial gambling license does not obligate the Commission to grant a permanent license. Issuance of a temporary license does not create a vested right in the holder to either extension of the temporary license or to a regular license.*
3. *Issuance of a temporary initial gambling license does not in any way change the legal requirement that the applicant bears the burden of establishing that he/she is*

*suitable pursuant to Business and Professions Code section 19856(a) for a regular license.*

4. *Issuance of a temporary initial gambling license does not change the license qualification requirements of the Gambling Control Act, including but not limited to Business and Professions Code sections 19856, 19857, 19858, and 19859.*
5. *The applicant has pending applications for regular state gambling licenses. A regular license may or may not be issued, depending upon the results of the complete background investigation and upon the conduct of the applicant and its employees during the term of the temporary license.*
6. *If, during the term of the temporary initial gambling license, the Executive Director determines that any particular applicant is disqualified for any of the reasons set forth in Business and Professions Code section 19859, the Executive Director shall prepare an order to show cause why that applicant's temporary license should not be cancelled, which shall be considered at the first available noticed Commission meeting. The applicant may address the Commission by way of an oral statement at the noticed Commission meeting and/or may submit documents in support of the temporary licensure not less than five days prior to the meeting. The applicant may request an evidentiary hearing either in writing not less than five days prior to the meeting or at the meeting itself.*
7. *If, during the term of the temporary initial gambling license, the Executive Director determines that any particular applicant may have violated one or more of the conditions under which the temporary license was issued, the Executive Director shall prepare an order to show cause why that applicant's temporary initial gambling license should not be cancelled, which shall be considered at the first available noticed Commission meeting. The applicant may address the Commission by way of an oral statement at the noticed Commission meeting and/or may submit documents in support of the temporary licensure not less than five days prior to the meeting. Either in writing not less than five days prior to the meeting or at the meeting itself, the applicant may request an evidentiary hearing.*

Chairman Shelton moved to approve the temporary state gambling license for the initial staff recommendation for the period of December 1, 2008 through May 31, 2009 with the seven conditions listed above. Commissioner Vuksich seconded the motion, which unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes.

4. Application for Approval for Initial State Gambling License Including All Associated Applicants and Endorsees (Pursuant to Business and Professions Code section 19851):

Rancho's Club Casino: Rancho's Club Casino, Inc.

Ruben Marquez, Shareholder

Blanca Marquez, Shareholder

Acting Deputy Director Littleton indicated that staff recommended that the Commission approve the initial state gambling license for the period of December 1, 2008, through October 31, 2009 for Rancho's Club Casino, Item 4. Upon motion of Commissioner Shimazu, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes, the Commission adopted the staff recommendation.

5. Applications for Renewal of State Gambling License Including All Associated Applicants and Endorsees (Pursuant to Business and Professions Code section 19876):

A. Brooks Oceana: Barbara Brooks, Sole Proprietor

Acting Deputy Director Littleton indicated that staff's revised recommendation is that the Commission approve the renewal state gambling license for Barbara Brooks for the period of November 1, 2008 through November 19, 2008, with removal of the existing condition. Upon motion of Commissioner Shimazu, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes, the Commission adopted the staff recommendation.

B. Club San Rafael: Pete's 881 Club, Inc.  
Estate of Mayme Pellolio                      Robert Pellolio, Vice President

Acting Deputy Director Littleton indicated that staff's revised recommendation is that the Commission extend the renewal license for the period of December 1, 2008, through April 30, 2009, with the following conditions:

1. *Authorize and empower Commission representatives to examine and copy all documentation deemed necessary for completion of an audit of the gambling establishment.*
2. *No person may receive shares of stock in Pete's 881 Club, Inc. until that person has been licensed by the Commission as a shareholder.*

Upon motion of Commissioner Shimazu, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes, the Commission adopted the staff recommendation.

C. Lucky Chances Casino: Lucky Chances, Inc.  
Rommel Medina, Shareholder      Ruell Medina, Shareholder

Acting Deputy Director Littleton indicated that staff recommended that the Commission extend the renewal license for the period of December 1, 2008, through January 31, 2009, for Lucky Chances Casino, Item 5.C., and continuing with the existing conditions already placed on the license.

Rod Blonien, designated agent for Lucky Chances, Inc. requested that the Commission consider a modification of condition two.

Acting Deputy Director Littleton presented to the Commission staff's recommendation for modification of condition two: *All future shareholders, corporate officers, key employees, and work permit holders shall be informed of the prohibition as identified in condition one by the General Manager within three business days of their start date. The General Manager shall maintain all records documenting this notification to new employees etc. for four years following each notification. The General Manager of Lucky Chances shall maintain for four*

*years all records documenting the initial action taken following the September 23, 2008 Commission meeting to inform shareholders, corporate officers, key employees, and work permit holders of condition one.*

Upon motion of Chairman Shelton, seconded by Commissioner Shimazu and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission extended the renewal license for the period of December 1, 2008, through January 31, 2009, for Lucky Chances Casino with the following conditions:

- 1. Rene Medina shall be prohibited from entering, being present in, or in any way patronizing Lucky Chances Casino.*
- 2. All future shareholders, corporate officers, key employees, and work permit holders shall be informed of the prohibition as identified in condition one by the General Manager within three business days of their start date. The General Manager shall maintain all records documenting this notification to new employees etc. for four years following each notification. The General Manager of Lucky Chances shall maintain for four years all records documenting the initial action taken following the September 23, 2008 Commission meeting to inform shareholders, corporate officers, key employees, and work permit holders of condition one.*
- 3. Ruell Medina and Rommell Medina, licensed as shareholders in Lucky Chances, Inc., shall each be individually responsible for ensuring that all conditions placed on the Lucky Chances license are fully complied with, including but not limited to duties placed upon the General Manager.*
- 4. If Rene Medina is observed at any time by any employee entering, or being present in Lucky Chances Casino or any associated business, the General Manager or manager in charge shall within 30 minutes telephone (1) the California Gambling Control Commission (Commission), and (2) the Department of Justice, Bureau of Gambling Control (Bureau). The call to the Commission shall be made to the Executive Director at (916) 263-0700. The call to the Bureau shall be made to the Bureau Chief at (916) 263-3408.*
- 5. Any business related communication between Rene Medina and any shareholder or employee of Lucky Chances shall be disclosed to the Executive Director and to the Bureau Chief within one business day of the communication. This disclosure requirement applies to both oral and written communications. This disclosure must be made in writing. The General Manager shall maintain records documenting each disclosure for four years following the disclosure.*

Incorporated into the minutes as Attachment A are written comments submitted by Reverend James B. Butler concerning Lucky Chances, Inc.

- D. Pastime Club: Vernon Lemings, Sole Proprietor  
Mona Lemings, Community Property Interest Holder

Acting Deputy Director Littleton indicated that staff's revised recommendation is that the Commission extend the renewal license for the period of December 1, 2008 through February 28, 2009 for Pastime Club, Item 5.D. Upon motion of Chairman Shelton, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman

Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

E. St. Charles Place: James Roos, Sole Proprietor

Acting Deputy Director Littleton indicated that staff recommended that the Commission approve the renewal state gambling license for the period of December 1, 2008, through November 30, 2010 for St. Charles Place, Item 5.E. Upon motion of Commissioner Shimazu, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

6. Applications for Initial Key Employee Personal Portable License (Pursuant to Business and Professions Code section 19854(d)):

- A. Leon Bernardi
- B. Vince Cavallo
- C. Eric Chavez
- D. Daniel Gee
- E. ~~Chong Lee~~
- F. David Simpson
- G. Jon Strecker
- H. Dennis Tobin

Acting Deputy Director Littleton requested to table Item 6.E., Chong Lee and then indicated that staff recommended that the Commission approve the initial applications for a personal key employee licenses for the period of November 25, 2008, through November 30, 2010 for the individuals listed in Item 6.A. through 6.D. and 6.F. through 6.H. Upon motion of Commissioner Vuksich, seconded by Commissioner Shimazu and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

7. Applications to Convert Gambling Business Player Registration to a License (Pursuant to Business and Professions Code section 19853 and California Code of Regulations, Title 4, section 12233):

Network Management Group, Inc:

Jenie Nov

Michael Riff

Jean Turner

Avel Ven Blake Uy

Acting Deputy Director Littleton indicated that staff recommended that the Commission approve the applications to convert gambling business player registration to a license for the individuals listed in Item 7. Upon motion of Chairman Shelton, seconded by Commissioner Shimazu and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

8. Applications to Convert Third Party Proposition Supervisor Registration to a License (Pursuant to Business and Professions Code section 19984 and California Code of Regulations, Title 4, section 12218):

Pacific Gaming Services, LLC:  
Derek Mascia

Acting Deputy Director Littleton indicated that staff recommended that the Commission approve the application to convert third party proposition supervisor registration to a license for Derek Mascia, Item 8. Upon motion of Commissioner Vuksich, seconded by Commissioner Shimazu and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

9. Applications to Convert Third Party Proposition Player Registration to a License (Pursuant to Business and Professions Code section 19984 and California Code of Regulations, Title 4, section 12218):

Network Management Group, Inc.:

Sophorn Chea	Saria Daniels	Tiffany Dinh
Alejandro Jose Encinas	Ignacio Hernandez	Jorge Hernandez
Andy Ho	Huy Hong	Esmeralda Ingco
Ana Kalmar	James Lucas	Sean McMahan
Jenie Nov	Robert Nownes	Akujobi Onyewuenyi
Rheyjorie Jan Orines	Sopheary Oung	Manuel Perez
Davinice Paul Ramirez	Christopher Robert	Michael Riff
Lon Saechao	Sandra Sam	Sandeep Sandhu
Ryan Allan Sarmiento	Chauncey Testa	Noel Tibayan
Paul Toientino	Peter Truong	Ovsanna Tsarukian
Jean Turner	Avel Ven Blake Uy	Nobuo Wellington
Malik Wheeler	Kimberly Wong	Potchia Xiong
Shao Xu	Sang Yim	Joseph Zeltzer
Cheng Zhuo		

Acting Deputy Director Littleton indicated that staff recommended that the Commission approve the applications to convert third party proposition player's registration to a license for the individuals listed in Item 9. Upon motion of Chairman Shelton, seconded by Commissioner Shimazu and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

10. Cancellation of Regular Third-Party Player Registration (Pursuant to California Code of Regulations, Title 4, section 12205):  
Network Management Group, Inc.:  
Latita Tezeno

Acting Deputy Director Littleton indicated that staff recommended that the Commission cancel Latita Tezeno's third party proposition player registration. Upon motion of Commissioner Vuksich, seconded by Commissioner Shimazu and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

11. Applications for Renewal of Tribal-State Compact Gaming Resource Supplier Finding of Suitability (Authority Pursuant to the Tribal-State Gaming Compact, section 6.4.5):  
Innovations in Native-American Gaming, Inc.: Mark H. Jones, President

Acting Deputy Director Littleton indicated that staff recommended that the Commission extend the renewal finding of suitability for the period of December 1, 2008, through February 28, 2009, for Innovations in Native-American Gaming, Inc., and continuing with the following existing condition:

*INAG will refrain from promoting "Mystery Card Roulette" as a "Compact compliant" game in any magazine, advertisement, or other marketing venture.*

Robert Tabor, designated agent, presented comments to the Commission concerning the existing condition and requesting a modification to the condition.

Deborah McLaughlin, Bureau of Gambling Control, presented comments to the Commission in response to Mr. Tabor's request indicating that the Bureau would not support a modification to the existing condition.

Upon motion of Commissioner Shimazu, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes the Commission adopted the staff recommendation.

12. Final Adoption of Statewide Involuntary Exclusion List Regulation for Filing with the Office of Administrative Law (adding Title 4, California code of Regulations, Section 12362.)

Jim Allen, Regulatory Actions Coordinator, indicated that staff recommended that the Commission: (1) Adopt, and Approve for filing with the Office of Administrative Law (OAL), the final text of the Statewide Involuntary Exclusion List regulation, and (2) Authorize staff to make any needed nonsubstantive changes. Upon motion of Commissioner Shimazu, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes, the Commission adopted the staff recommendation. A copy of the proposed regulation as adopted by the Commission is incorporated into the minutes as Attachment B.

**CONSENT CALENDAR ITEMS:****13. Applications for Initial Work Permit (Pursuant to Business and Professions Code section 19912):**

- A. Club San Rafael: Daniel Roncancio
- B. Empire Sportsmen's Association: Sreynou Chhlang Brandi Rudiger
- C. Lake Bowl Cardroom: David Houghten
- D. Merced Poker Room: Jua Saeteurn Cheng Xiong
- E. Napa Valley Casino: Michelle Jin Allison Tong
- F. The 101 Casino: Jordan Conner Shaun Yaple
- G. The River Cardroom: Guadalupe Chavez

**14. Applications for Renewal Work Permit (Authority Pursuant to Business and Professions Code section 19870):**

- A. Central Coast Casino (Grover Beach): Brian Watson
- B. Club San Rafael: Liana Sulaiman
- C. Empire Sportsmen's Association: Chhan Ran
- D. Lake Bowl Cardroom: Raquel Addison Joseph Porter
- E. Napa Valley Casino: Marcelino Ortanez Renato Racela Jo Spong
- F. The 101 Casino: Bill Mey Anthony Perry Larry Rawson

**15. Applications for Initial Tribal-State Compact Key Employee Finding of Suitability (Authority Pursuant to the Tribal-State Gaming Compact, section 6.4.4):**

- A. Agua Caliente Casino – Agua Caliente Band of Cahuilla Indians:  
Signe LeBlanc Jerry Velasquez
- B. Augustine Casino - Augustine Band of Cahuilla Mission Indians:  
Thomas Watson
- C. Barona Valley Ranch Resort - Barona Band of Mission Indians:  
Hoa Bui Janine Skelton
- D. Black Bart Casino - Sherwood Valley Rancheria of Pomo Indians:  
Louise Holsten Charles Taylor
- E. Black Oak Casino – Tuolumne Band of Me-Wuk Indians of the Tuolumne Rancheria:  
Janice Anderson Steven Nolan
- F. Blue Lake Casino - Blue Lake Rancheria:  
Amanda English William James
- G. Cache Creek Casino Resort – Rumsey Indian Rancheria of Wintun Indians:  
Ramon Martinez
- H. Cahuilla Creek Casino – Cahuilla Band of Mission Indians of the Cahuilla  
Reservation: Shaun Lobitos
- I. Casino Pauma – Pauma Band of Luiseno Mission Indians of the Pauma and Yuima  
Reservation: Binh Tran
- J. Chukchansi Gold Resort and Casino – Picayune Rancheria of the Chukchansi  
Indians:  
Randy Arnest James Lisenbery Ignacio Nevarez  
Alexander Tafoya



- K. Chumash Casino – Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation:  
 Rodney Bailey                      Wesley Cox
- L. Colusa Casino and Bingo – Cachil Dehe Band of Wintun Indians of the Colusa Indian Community of the Colusa Rancheria: Clinton Turner
- M. Coyote Valley Shodakai Casino - Coyote Valley Band of Pomo Indians:  
 Lindsay King
- N. Diamond Mountain Casino – Susanville Indian Reservation: Cathie Yerian
- O. Eagle Mountain Casino – Tule River Indian Tribe of the Tule River Reservation: Yu Cha
- P. Fantasy Springs Casino – Cabazon Band of Mission Indians: Monica Flores
- Q. Harrah’s Rincon – Rincon Band of Luiseno Indians:  
 Catherine Cisneros    Jeffrey Hornung                      Thomas O’Donnell  
 Gregory Oest                      Eldon Shiffman
- R. Jackson Rancheria Hotel & Casino – Jackson Rancheria Band of Miwuk Indians:  
 Robert Ashton                      Samantha Konecny                      David Kuehn  
 Michael Laird                      Juanito Lu-Way                      Ashley Teixeira  
 Jimmy Tran
- S. La Posta Casino – La Posta Band of Diegueno Mission Indians of the La Posta Indian Reservation: Ora Weldy
- T. Mono Wind Casino – Big Sandy Rancheria Band of Western Mono Indians:  
 Christopher Rodriguez
- U. Morongo Casino Resort & Spa – Morongo Band of Cahuilla Indians of the Morongo Reservation:  
 Johnie Hill                      Debra Lee
- V. Pala Casino – Pala Band of Mission Indians:  
 Mayura Alegre                      John Dennison                      Carissa Fimbres  
 Marie Pitts                      Maryblaze Watchorn                      Dominique Williams
- W. Pechanga Resort and Casino – Pechanga Band of Luiseno Mission Indians of the Pechanga Reservation:  
 Deborah Daly                      Luis Domingo                      Beverly Guccione  
 James Hudgins                      Mark Mazzocco                      Steven Penhall  
 Jacqueline Phothinsene
- X. Pit River Casino – Pit River Tribe: Richard Howard
- Y. Red Earth Casino – Torres-Martinez Band of Cahuilla Mission Indians:  
 Monica Aguilar-Rodriguez
- Z. River Rock Casino – Dry Creek Rancheria Band of Pomo Indians:  
 Daniel Coyne                      Joseph Duncan                      Sonny Luna  
 Blair Moreno                      Albert Roberts III
- AA. San Manuel Indian Bingo and Casino – San Manuel Band of Serrano Mission Indians of the San Manuel Reservation:  
 Viroj Chaisomboonpan                      Toy Ferrante                      Kristi Galindo  
 Keith Gallegos                      Selma Sulcevaska                      Alisa Winston
- BB. Soboba Casino - Soboba Band of Luiseno Indians:  
 David Edwards                      Rodney Greil                      Inki Kim  
 Jeremie Nolan                      Kenneth Toler                      Charles Warren  
 Paul Weise

- CC. Spotlight 29 Casino - Twenty-Nine Palms Band of Mission Indians:  
Laurence Stevenson
- DD. Sycuan Casino - Sycuan Band of Diegueno Mission Indians:  
Juan Baca Randy Clymire Ketkeo Inthavong
- EE. Tachi Palace Hotel & Casino – Santa Rosa Indian Community of the Santa Rosa Rancheria:  
David Cain Jay Dampitan Maria Flores  
San Saelee Kao Saeteurn
- FF. Thunder Valley Casino - United Auburn Indian Community of the Auburn Rancheria:  
Jerome Ansley Ryan Briw Darla Fernandez  
Lianxay Louangsarath Chip Saechao
- GG. Twin Pine Casino – Middletown Rancheria Band of Pomo Indians:  
Vernon Churchill Tara Diederich Deborah Waldon
- HH. Valley View Casino – San Pasqual Band of Digueno Mission Indians:  
Chandala Chanthavon Angelica Diaz Nicté-Ha Marcelin-Avila  
Patricia Plouffe
- II. Viejas Casino & Turf Club - Viejas Band of Kumeyaay Indians:  
Richard Marino Robert South
- JJ. Win-River Casino – Redding Rancheria:  
Jessica Freeman Indrick Wilson

16. Applications for Renewal Tribal-State Compact Key Employee Finding of Suitability (Authority Pursuant to the Tribal-State Gaming Compact, section 6.4.4):

- A. Agua Caliente Casino – Agua Caliente Band of Cahuilla Indians:  
Todd Bailey Carmela Bilazon Ruby Capobianco  
Kenneth Carnahan Adolfo Carpena George Corey  
Damir Gegic Ronald Giunta Carl Hafertepe  
Raul Longoria Aaron Thomas Terrence Tracy
- B. Augustine Casino - Augustine Band of Cahuilla Mission Indians:  
Eric Blacketer
- C. Barona Valley Ranch Resort - Barona Band of Mission Indians:  
Rafael Alvarez Roger Ball Gabriel Kitchen  
Shannon Lakeman Adam Sanchez Lee Skelley  
Janice Welch Cheri Wisler
- D. Black Oak Casino – Tuolumne Band of Me-Wuk Indians of the Tuolumne Rancheria:  
Jason Baker Michael Manning
- E. Cher-Ae-Heights Casino – Cher-Ae-Heights Indian Community of the Trinidad Rancheria:  
Joseph Rausch
- F. Chukchansi Gold Resort and Casino – Picayune Rancheria of the Chukchansi Indians:  
Michael Garcia
- G. Chumash Casino – Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation: Johnny Jimenez
- H. Eagle Mountain Casino – Tule River Indian Tribe of the Tule River Reservation:  
Na Cha Donna Medrano
- I. Elk Valley Casino – Elk Valley Rancheria: Johnny Bach

- J. Gold Country Casino – Berry Creek Rancheria of Maidu Indians of California:  
Alfred Marquez
- K. Harrah's Rincon – Rincon Band of Luiseno Indians: Cuong Truong
- L. Morongo Casino Resort & Spa – Morongo Band of Cahuilla Indians of the  
Morongo Reservation:  
Jerry Kirvida                      Jeffery Martin                      Linda Richardson  
Katherine Stamm
- M. Pala Casino – Pala Band of Mission Indians:  
Sabrina Dinger                      Peter LaCuesta
- N. River Rock Casino – Dry Creek Rancheria Band of Pomo Indians:  
Michael Galluzo                      Sara Masters                      Jessica Roofener
- O. Soboba Casino - Soboba Band of Luiseno Indians: Paul Nelson
- P. Spotlight 29 Casino - Twenty-Nine Palms Band of Mission Indians:  
Catherine Lumanog                      Anthony Trujillo
- Q. Thunder Valley Casino - United Auburn Indian Community of the Auburn  
Rancheria:  
John Barber                      Cheri Byrd                      Marysabei Chavez  
Greg Cordingley                      Ann Easley                      Jason Edwards  
Richard Fagan                      Scott Garawitz                      John Gervais  
Norma Gomez                      Mike Kang                      Jacob Lo  
Abel Martinez                      Osmundo Ong                      Eduardo Pais  
Andrea Reynolds                      Mouang Saefong                      Meuy Saelee  
Saanchao Saelee                      Joseph Smathers                      Hue Vang  
Sonexay Vobouxasinh                      Karen Wilson                      Chai Xiong
- R. Viejas Casino & Turf Club - Viejas Band of Kumeyaay Indians:  
Routthy Khoun

Acting Deputy Director Littleton indicated that staff recommended that the Commission approve Consent Calendar Items 13 through 16. Upon motion of Chairman Shelton, seconded by Commissioner Vuksich and unanimously carried in a vote by roll call with Chairman Shelton and Commissioners Shimazu and Vuksich voting yes, the Commission adopted the staff recommendation.

#### **PUBLIC COMMENT**

There were no comments from the public during this portion of the meeting.

#### **ADJOURNMENT**

Upon motion to adjourn the meeting by Commissioner Vuksich, seconded by Commissioner Shimazu and unanimously carried in a roll call vote, with Chairman Shelton and Commissioners Shimazu, and Vuksich voting yes, the meeting adjourned at 10:39 a.m.

# CALIFORNIA COALITION AGAINST GAMBLING EXPANSION

REV. JAMES B. BUTLER, EXECUTIVE DIRECTOR

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November 18, 2008

Gambling Control Commission  
2399 Gateway Oaks Drive, Suite 200  
Sacramento, CA 95833

Re: Lucky Chances

Dear Chairman Shelton and Commissioners Schmidt, Shimazu and Vuksich:

I write regarding the upcoming renewal of Lucky Chances' state gambling license, the federal court having recently sentenced Rene Medina—the former owner, father of the current owners, and holder of their \$48 million note—to a 15 month prison term for three felonies committed in the operation of the cardroom. At your September 23 meeting, the Commission imposed five conditions on the license in order to limit the powers of Mr. Medina to significantly influence the cardroom. These conditions addressed his non-financial ties to the cardroom. The Commission did not address Mr. Medina's financial ties, but rather extended the license 60 days so these remaining issues could be addressed now.

We do not believe that the conditions imposed on September 23 sufficiently address Mr. Medina's significant non-financial ties to the cardroom, and we do not believe the last minute revisions to the conditions that had been recommended by staff, based on a secret letter from Lucky Chances' attorney to the Commission, afforded full public participation. We therefore request that the Commission not only consider imposing new conditions to address Mr. Medina's financial ties but also consider imposing additional conditions to address his non-financial ties. To review the situation, Rene Medina was the sole owner of Lucky Chances from July 1999 to June 2007. In March 2006, he was indicted by a federal grand jury for 10 counts of felony tax evasion related to his 1999, 2000, and 2001 tax returns. The fraud was based on tax returns filed by Lucky Chances and thus inextricably tied to the cardroom. Mr. Medina was alleged to have deducted personal expenses and fictitious business expenses.

## CALIFORNIA COALITION AGAINST GAMBLING EXPANSION

On June 28, 2007, while the indictment was pending and just before Mr. Medina pled guilty to these crimes, the Commission approved Mr. Medina's request to transfer the cardroom to two of his sons, and issued a license to the two sons. *However, the Commission imposed a condition on the license requiring Mr. Medina to remain as a licensee.* The Commission was concerned about Mr. Medina's continuing powers to influence the operation stemming from two separate sources, one, the fact that Mr. Medina took a note back for the *full* \$48 million purchase price, and two, the familial relationship of Mr. Medina and his sons. In essence, the Commission imposed a condition that prevented Mr. Medina from putting his sons' names on record but continuing to control the operation behind the scenes, free from oversight by the Commission.

On October 19, 2007, Mr. Medina pled guilty in federal court to three counts of felony tax evasion, admitting that he filed fraudulent tax returns in all three years. He admitted that he had redecorated his home and caused Lucky Chances to treat \$245,000 in personal expenses as deductible business expenses. He also admitted to causing the payments of \$887,740 to a third party proposition player to be deducted as a business expense even though the services provided were not worth that amount. In other words, Mr. Medina admitted to using Lucky Chances as the instrument of his crime, and having done so for at least a three year period. The taxes owed on the two detailed improprieties was \$382,000, but Mr. Medina also agreed to pay an additional \$591,000 in taxes for improper deductions that were not detailed in his Plea Agreement.

On October 30, 2008, Mr. Medina was sentenced to 15 months in federal prison for his crimes. The sentence is not scheduled to begin until January 2009.

With this felony conviction, Mr. Medina is now disqualified to hold a gambling license under the Gambling Control Act section 19859. The law reflects concerns that a felon might have a propensity towards crime or criminal associations, and that a felon is no longer trustworthy. As the Commission has observed, cardrooms involve the movement of large amounts of cash under conditions which contain opportunities for criminal acts such as skimming profits, underreporting income, taking kickbacks, and loansharking. A cardroom operator needs to be of good character, with honesty and integrity (section 19857). In a word, licensees must be trustworthy. Felons do not satisfy that requirement.

Once Rene Medina pled guilty to three separate felonies, the condition placed on Lucky Chances' license in June 2007 could no longer be fulfilled. The condition required Mr. Medina to remain a licensee because of his potential continuing control, but because of his felony convictions, he could no longer be licensed. This has created a difficult dilemma. As the Commission has acknowledged, Mr. Medina is so tied to the club that he needs to be licensed. However, because of his conviction, he cannot be licensed.

## CALIFORNIA COALITION AGAINST GAMBLING EXPANSION

We see only two solutions to this dilemma. The Commission could maintain the condition it wisely imposed in June 2007, and since the condition cannot be satisfied, force the disposition of the cardroom in a bona fide conveyance to a third party, not one of Mr. Medina's family. That would best fulfill the Commission's charge to protect the public.

Second, and a distant second, if the Commission wants to try to accommodate the Medinas and remove last year's condition, it will need to fashion new conditions that will create a wall between Lucky Chances (and its owners and employees) and Mr. Medina, so he does not have the type of ties that require him to be licensed. The Commission would need to ensure the public not only that Mr. Medina does not have power to significantly influence the business behind the scenes, but also that he does not have any "material involvement" with the cardroom, a requirement not discussed in September. In this regard, Section 19823(a)(2) of the Gambling Control Act provides that the responsibilities of the Commission include assuring the public "that there is no material involvement, directly or indirectly, with a licensed gambling operation, or the ownership or management thereof, by unqualified or disqualified persons..." To preclude both Mr. Medina's material involvement with the cardroom and his power to significantly influence its operations, the wall must be high and must be thick. Mr. Medina's ties to the cardroom must be severed, and since Mr. Medina is a felon and felons cannot be trusted, the condition must be enforceable and not rely on trust.

The problem is that it is impossible to prevent contact between Mr. Medina and his sons given their relationship. Further, Mr. Medina will continue to have significant influence over his sons by virtue of their familial relationship and due to the fact they owe him so much money and will inherit so much wealth from him. These facts make it very difficult to devise conditions strict enough to insure that Mr. Medina will have no material involvement with or significant influence over the cardroom.

The conditions adopted at the Commission meeting of September 23 completely fail to create the strict separation between Mr. Medina and the cardroom that is required. Commission staff had proposed a very strict condition that would have prohibited Mr. Medina from entering the facility. It would have read, "Rene Medina shall be prohibited from entering, being present in, or in any way patronizing Lucky Chances Casino including all associated non-gambling business operations, including restaurants." This would have greatly limited Mr. Medina's ability to manage operations. In the end, however, the Commission adopted a much weaker ban. Mr. Medina is not prohibited from entering the building. Rather, he is prohibited only from being on the gambling floor and any area related to the gambling operation. Mr. Medina can enter the building and be in any other areas. He could even have an office on the first floor.

## CALIFORNIA COALITION AGAINST GAMBLING EXPANSION

This condition does not begin to be sufficient to prevent Mr. Medina from having material involvement or from exercising significant influence over the operation. Rather, it creates many opportunities for improper contacts and a situation that is impossible to police. The focus on the ban is misplaced. It is more to prevent Mr. Medina from gambling than to prevent him from controlling the operation. The focus needs to be put back on preventing Mr. Medina's control of the operation. If Mr. Medina is allowed into the building, even just in non-gaming areas, it is little different than if he were upstairs in an office. He will be able to maintain a presence at the site. He will be able to view the foot traffic and talk to players. He may be able to view the games, and even if not, will be able to easily obtain information about them, including by watching video feed of the gambling. Similarly, he would easily be able to give orders directly to employees or to messengers, either verbally or non-verbally. This allows way too much opportunity for Mr. Medina to exercise control. The wall between him and the operation would be very low. Further, this would be next to impossible to police. The condition is based on a high level of trust, and that trust is entirely misplaced given Mr. Medina's recent felonies.

Mr. Medina's counsel argued that Mr. Medina is a social person and should have a right to be in the cardroom unless there is evidence that he is running the operation. He then represented that Mr. Medina is not running the business. We have numerous responses. First, it is nonsense that the Commission should allow Mr. Medina in the building until he is proven to have acted improperly. Mr. Medina has just been convicted of three felonies. The whole point of the Gambling Control Act is to keep such people out of the cardroom business. The Gambling Control Act does not say wait until felons have been proven to run the business. Further, the Bureau cannot place spies in the facility to watch every minute. The burden cannot be on the Commission to prove impropriety before taking action. The Commission needs to be proactive, not reactive, and to take precautions to prevent criminal activities.

Second, we note that statements by Mr. Medina's counsel have not always proved accurate. At the June 2007 meeting, counsel told Commissioner Cruz that Mr. Medina would prefer to keep his license. Then less than a month later, counsel wrote to the Commission on Mr. Medina's behalf asking to surrender the license.

Third, contradicting the representations of Mr. Medina's counsel, a few weeks ago, I was contacted by a person who claimed to be an ex-employee of Lucky Chances and who said that employees still consider Mr. Medina to be the boss. I believed the caller.

## CALIFORNIA COALITION AGAINST GAMBLING EXPANSION

Mr. Medina's counsel confused the issue. He offered to have a monitor on site to ensure that Mr. Medina does not go onto the gambling floor. However, the issue is whether Mr. Medina has the power to exercise significant influence over the operation, not whether he is on the gambling floor.

We are further concerned that even if staff's recommended condition is now adopted, there is another issue which must be addressed. Mr. Medina's access to monitor video cameras at the business from outside the building needs to be prohibited. In this regard, we have been informed that technology today can allow anyone with a password to monitor video feeds from remote locations. Cameras can be monitored over the internet from anywhere in the world. Mr. Medina could even monitor cameras from prison. Nor would anyone necessarily know that he did this if he used another employee's password. This should not be allowed as such access would allow him to manage the operation. A condition should be placed on the license to prohibit any monitoring of video feed from outside the building by anyone. That is the only way to ensure that Mr. Medina does not have the password and does not in fact monitor the establishment.

We think it important that the Commission reconsider the conditions that address Mr. Medina's non-financial ties to the cardroom not only because of their substantive deficiencies, but also due to procedural defects in the September meeting which had the result of precluding full public comment. At the start of the agenda item, staff made their recommendations, including the strict condition to ban Mr. Medina from the building. Only after comments were heard from the applicant, from Mr. Medina's lawyer, and from me, and after the Chair had asked for a motion was it revealed that the Commission had received a letter from Lucky Chances about the conditions prior to the meeting, and in reaction to the letter, staff had alternate recommendations in place of the ones made earlier. This was very confusing because nothing had been said during the staff report about the letter, and nothing was said during the applicant's comments. The revised and weaker recommendations were based not on comments received during the hearing but on comments received previously and kept secret. This was a very misleading procedure and precluded meaningful public comment. Thus, the conditions adopted in September have both substantive and procedural defects.

Turning then to conditions concerning Mr. Medina's financial ties to the cardroom, we are concerned that if Mr. Medina holds a note for the full purchase price (or even for a substantial amount of the price), he has the exact type of "material involvement" which the Gambling Control Act explicitly prohibits. What could constitute more "material involvement" than this note? As Commissioner Vuksich has acknowledged, this is a problem. We have suggested that Lucky Chances be required to sever any financial relationship with Mr. Medina and we reaffirm that suggestion.



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In addition, we are concerned that Mr. Medina could exercise influence over the operation by funneling money through his sons. He could make gifts to his sons or further loans and they in turn could channel this money to the club. We think that the licensees should be required to report to the Commission at least twice a year any gifts or loans from and any transactions with their father, and the Commission should retain the right to monitor these transactions to ensure that Mr. Medina does not exercise significant influence over the club or have material involvement through financial means.

We also suggest that the license be renewed for no longer than six months so this can be closely monitored by the Commission and the public.

Last, we want to address an issue raised by Mr. Medina's counsel. He has indicated that Mr. Medina will want a hearing because he is being excluded from the club. However, this is a completely different situation than where an individual is not allowed to gamble at the club. As noted above, the focus here is not to prevent Mr. Medina from gambling but to prevent him from having power to significantly influence the operation. This needs to be put in context. *The Commission is acting on Lucky Chances' application to renew a license that was subject to a condition imposed last year mandating that Mr. Medina remain on the license because of his continuing involvement with the cardroom.* Because Mr. Medina is now a convicted felon with three felonies, that condition cannot be satisfied, and the Commission is considering accommodating that need. The condition can be removed only if satisfactory conditions can be developed to ensure that Mr. Medina does not have material involvement with the cardroom or power to significantly influence the operation. If Mr. Medina does not agree to this, the Commission can maintain the prior condition and the Medinas will be forced to divest themselves of the cardroom.

In this regard, at the June 2008 Commission meeting, staff denied that the Commissioners placed a condition on Lucky Chances license. As we have shown, the facts are otherwise. At the June 2007 meeting, Commissioner and Acting Chair John Cruz asked Lucky Chances if Rene Medina would mind staying on the license after transfer of the business to his sons, and when told "no," Commissioner Cruz made a motion that included such a condition. He said: "OK. I'd like to make a motion and that is to approve staff's condition [to approve a license for Mr. Medina's sons] *with the condition that Rene Medina remain as a licensee.*" There was a long discussion and then a vote on the motion, and the motion carried. (No other intervening motion was made.) After the vote, staff asked a question to clarify that "Rene Medina will continue on. Only Rene, not his spouse on the license." Commissioner Cruz answered, "That was the motion, so let's leave it at that." The transcript is clear. The Commissioners required that Mr. Medina remain on the license because of his deep ties to the business and his sons, and as

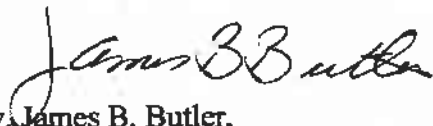
## CALIFORNIA COALITION AGAINST GAMBLING EXPANSION

long as the record reflects denial of that, the factual basis of the decision will be unsupported and the permitting process legally deficient.

As the Commission considers what to do now, this action from last year is the starting point. The Commission should not remove the condition imposed last year unless Lucky Chances agrees to appropriate conditions to ensure the public that Rene Medina has no material involvement with the cardroom and no power to exercise significant influence over its operation. Any other action would violate the public trust and set a terrible precedent for the future.

Thank you for your consideration of these comments.

Sincerely,

A handwritten signature in cursive script that reads "James B. Butler". The signature is written in dark ink and is positioned above the typed name.

Rev. James B. Butler,  
Executive Director

**CALIFORNIA GAMBLING CONTROL COMMISSION  
LEGAL DIVISION MEMORANDUM****Public Staff Report**

Date: October 28, 2008

From: Heather Cline Hoganson, Staff Counsel Specialist *HC*  
Evelyn M. Matteucci, Chief Counsel *EMM*

Subject: Adoption of Regulations Regarding Statewide Involuntary Exclusion List

**Recommendation:** **ADOPT** the proposed regulations dated September 22, 2008 and direct staff to make any nonsubstantive changes to the regulations and supporting text as required by the Office of Administrative Law in during its review process.

**Summary:**

This is a proposed rulemaking package regarding the Commission's statutory obligation to provide for involuntary exclusions and ejections of those persons who pose a threat to the public or to the gambling industry. If adopted by the Commission, the package will be transmitted to the Office of Administrative Law for approval and enactment as an enforceable regulation.

**Background:**

Staff first proposed the subject regulations in 2002, and researched the various gambling jurisdictions for similar programs. Legal staff has worked with representatives from the industry and from the Bureau of Gambling Control on refining the language of the text and processes involved.

Assembly Bill 733 caused a pause in the rulemaking process, while it was determined which agency would have the authority or responsibility for this program. AB 733 was vetoed by the Governor on September 30, 2008 (message attached).

Formal notice under the Administrative Procedure Act was originally given on January 11, 2008. Comments were received and changes proposed regarding the scope of the program, civil immunity for gambling establishments, and the responsibility of gambling establishments. After the veto of AB 733, an additional comment period on the modified text of the regulations was held from October 10 through 27, 2008. No further comments were received.

A clean copy of the regulation text and form is attached.

BILL NUMBER: AB 733  
VETOED DATE: 09/30/2008

To the Members of the California State Assembly:

I am returning Assembly Bill 733 without my signature.

This bill would unnecessarily shift the responsibility for developing a list of persons to be excluded or ejected from cardrooms from the Gambling Control Commission (Commission) to the Department of Justice. The Commission is near completion of the regulations needed to develop the list. As such, shifting responsibility for compiling the list to the Department of Justice would be inefficient and unnecessary. Further, shifting this responsibility would circumvent the Commission's authority under the Gambling Control Act to make final determinations on licensees.

For these reasons, I am returning this bill without my signature.

Sincerely,

Arnold Schwarzenegger

California Code of Regulations, Title 4. Business Regulations  
Division 18. California Gambling Control Commission  
Chapter 7. Conditions of Operation for Gambling Establishments  
Article 1. General Provisions

12362. Statewide Involuntary Exclusion List

- (a) A licensee may remove a person from the gambling establishment pursuant to common law, Business and Professions Code section 19801, subdivision (i), or Business and Professions Code section 19845. A gambling establishment may also have an internal removal list to bar certain individuals from entering the specific gambling establishment only.
- (b) A licensee or government official (such as law enforcement, or agents of the California Horse Racing Board, Bureau, or Commission) ("requestor") may submit, on form CGCC - 12362 (New 10-08) "Request for Statewide Involuntary Exclusion of an Individual," which is attached in Appendix A to this chapter, a request to exclude an individual from all California gambling establishments based upon the reasons listed in Business and Professions Code section 19844 or 19845, subdivision(a)(7). Such request shall have the protections afforded under Business and Professions Code section 19846, subdivision (a).
- (c) Removal of an individual from a specific gambling establishment, as described in subsection (a) above, or statewide exclusion, pursuant to the request described in subsection (b) above, shall not be based upon the race, color, religious creed, gender, sexual orientation, marital status, national origin or ancestry, or disability of the individual, with the exception that a problem or pathological gambler may be excluded pursuant to Article 5 of these regulations (commencing with section 12460) or involuntarily excluded pursuant to this section.
- (d) Upon receipt of a request by a licensee or governmental official for statewide involuntary exclusion of an individual, the Executive Director shall review the reason for exclusion. If there appears to be good cause to place an individual on the statewide involuntary exclusion list, the Executive Director shall cause a notice of exclusion to issue to the individual. Such notice shall state the grounds for exclusion and may be served by personal service, by certified mail at the last known address of the individual, or by publication daily for 1 week in a newspaper of general circulation in the vicinity of the requestor. The exclusion shall be effective upon perfection of notice and shall remain in effect until the individual is removed from the list by Commission decision.
- (e) An individual may contest the Commission's notice of exclusion by requesting a hearing from the Commission. Such hearing may be pursuant to Business and Professions Code section 19871 or pursuant to Government Code section 11500 et seq., as determined by the Executive Director. Such hearing shall occur within 60 days of the request for hearing, unless the time of the hearing is changed by agreement of the Commission and the individual requesting the hearing.
- (f) If the individual fails to appear at the time and place set for hearing, and the individual does not contact the Commission within 24 hours to give good cause why the hearing should be reset, a default decision shall issue affirming the exclusion.

- 1 (g) At the hearing, the individual may appear in person and/or be represented by counsel at the  
2 individual's own expense and present relevant testimony or documentary evidence. If a  
3 governmental agency requested that the individual be placed on the statewide involuntary  
4 exclusion list, the governmental agency may appear. If a licensee requested that the individual  
5 be placed on the statewide involuntary exclusion list, then the licensee or designated agent  
6 may appear.
- 7 (h) The standard of proof shall be preponderance of the evidence that the individual poses a threat  
8 either to the public, gambling enterprise employees, or the gambling industry, or should be  
9 excluded pursuant to Business and Professions Code section 19844 or 19845,  
10 subdivision(a)(7). The burden of proof shall be on the Commission staff. Evidence of exclusion  
11 or discipline by another gaming jurisdiction based upon the factors described in Business and  
12 Professions Code section 19844 or 19845, subdivision(a)(7) may be introduced.
- 13 (i) The final decision in the matter shall be in writing, shall state any term-length for the exclusion if  
14 other than lifetime, shall be sent by certified mail or personal service to the individual and the  
15 governmental agency or gambling establishment which requested the individual be placed on  
16 the statewide involuntary exclusion list, and shall be effective immediately.
- 17 (j) If the individual requested a hearing after the Commission's notice of exclusion and was given  
18 a final decision in the matter that affirmed the exclusion, that individual shall not petition the  
19 Commission to be removed from the statewide involuntary exclusion list for a minimum of one  
20 year after the date of the final decision.
- 21 (k) Petitions to be removed from the statewide involuntary exclusion list shall be in writing, directed  
22 to the Executive Director, and sent to the Commission at 2399 Gateway Oaks Drive, Suite 220,  
23 Sacramento, CA 95833. Petitioners should clearly state the circumstances of the ejection or  
24 exclusion, any new evidence which is material and necessary, including evidence that  
25 circumstances have changed since placement on the statewide involuntary exclusion list, and  
26 why they do not pose a threat to the public, gambling enterprise employees, the gambling  
27 industry, or should otherwise not be excluded pursuant to Business and Professions Code  
28 section 19844 or 19845, subdivision(a)(7). This statement shall be signed under penalty of  
29 perjury under the laws of the State of California. The Executive Director may summarily deny  
30 the petition without prejudice due to lack of compliance with this subsection. If not summarily  
31 denied, the Executive Director shall provide notice and opportunity to comment to the  
32 requestor. After review of the requestor's comments, the Executive Director, may notify the  
33 Bureau to remove the individual from the statewide involuntary exclusion list, or may set the  
34 matter for hearing pursuant to Business and Professions Code section 19871 or pursuant to  
35 Government Code section 11500 et seq., as determined by the Executive Director.
- 36 (l) The Executive Director may order an individual removed from the list after verified information  
37 is received that the individual is deceased and shall so notify the Bureau.
- 38 (m) If the Commission determines that an individual should be removed from the statewide  
39 involuntary exclusion list, the Commission's decision shall include an order removing the  
40 individual's name from the list, and shall so notify the Bureau. The Bureau shall amend the  
41 exclusion database and send notification to all gambling establishments and to the requestor.
- 42 (n) Judicial review of the Commission's decision shall be in accordance with Code of Civil  
43 Procedure, section 1094.5.

- 3 (o) The statewide involuntary exclusion list shall be maintained by the Bureau, sent or made  
4 available to all gambling establishments, and may be shared with law enforcement personnel of  
5 any jurisdiction.
- 6 (p) Licensees shall implement policies and procedures designed to thwart excluded persons, as  
7 noticed by the Bureau, from entering the gambling establishment, ejection or removal  
8 procedures of any patrons once recognized as being a known excluded person, and notification  
9 to the Bureau of any incidents of attempted entry, entry, or removals of known excluded  
10 persons. This regulation does not require a licensee's policies and procedures to include  
11 patrons providing proof of identification before entering the gambling establishment. This  
12 regulation does not require the gambling establishment to use physical force in ejecting or  
13 removing an excluded person.
- 14 (q) The Commission may discipline a licensee that knowingly fails to take prompt, reasonable  
15 action to eject or exclude an individual listed on the statewide involuntary exclusion list, or fails  
16 to notify the Bureau of any entries or attempts to enter by an excluded person, pursuant to  
17 Chapter 10 of these regulations. Discipline may include imposition of a \$500 fine for failure to  
18 notify or a \$1000 fine for failure to eject.
- 19 (r) This regulation does not create any right or cause of action against a gambling establishment,  
20 government official (such as law enforcement, or agents of the California Horse Racing Board,  
21 Bureau, or Commission) by an excluded person or abrogate the existing common law or  
statutory privileges and immunities of a licensee or requestor, or limit or expand the provisions  
of Business and Professions Code section 19846.

22 Authority: Sections 19840 and 19844, Business and Professions Code  
23 Reference: Sections 19801(l) and (l), 19844, 19845, 19846, and 19940, Business and Professions Code



## REQUEST FOR STATEWIDE INVOLUNTARY EXCLUSION OF AN INDIVIDUAL

Type or print (in ink) as much information as possible on this form. If additional space is needed, please note response on a separate sheet of paper and attach to the form. **NOTE: THIS IS NOT A VOLUNTARY SELF-RESTRICTION OR SELF-EXCLUSION FORM.**  
 Mail this completed form to: California Gambling Control Commission, 2399 Gateway Oaks Drive, Suite 220, Sacramento CA 95833.

### SECTION 1: PERSONAL INFORMATION

Full Legal Name:

First	Middle (if applicable)	Last

Other Names (Former Names (such as Maiden names), Nicknames, or Aliases / A.K.A.'s):

Home Address:

Street	City	State	Zip Code

Mailing Address (if different than Home Address):

Street	City	State	Zip Code

Home Telephone Number:

( )

Business Number:

( )

Games most often played:

### SECTION 2: PHOTO AND VISUAL DESCRIPTION

Gender: Male  Female  Date of Birth:  /  /  Race/Ethnicity:

Height:  Weight:  Hair Color/Type:  Eye Color:

Date of Photograph:  /  /  CA Drivers License or other ID:

**AFFIX A RECENT  
 PASSPORT QUALITY  
 PHOTOGRAPH  
 HERE SHOWING  
 HEAD AND SHOULDERS OF  
 PERSON TO BE EXCLUDED**

Distinguishing marks (such as visible scars or tattoos - describe mark & location):

Type of vehicle normally driven:  License Plate:



**SECTION 3: AFFIRMATION BY KEY EMPLOYEE OR OWNER OR BY GOVERNMENT EMPLOYEE**

NOTE: This form is not for voluntary self-restriction or self-exclusions. This form is not to be completed by patrons.

The facts and reasons why the individual should be excluded are as follows:

The information provided above is true and accurate to the best of my knowledge and the individual described above should be excluded because he or she poses a threat to the public, gambling enterprise employees, or the gambling industry.

Signature \_\_\_\_\_

Date: \_\_\_\_\_

/ /

Name, Title, and Gambling Establishment or Facility Name or Governmental Entity

\_\_\_\_\_