

California Gambling Control Commission

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**MINUTES OF THE NOVEMBER 21, 2019
COMMISSION MEETING**

OPEN SESSION

1. Call to Order and Pledge of Allegiance.

Chairman Evans called the meeting to order at 10:00 a.m. and asked everyone to stand for the Pledge of Allegiance.

2. Roll Call of Commissioners was taken with Chairman Jim Evans, Commissioner Paula LaBrie, Commissioner Gareth Lacy, and Commissioner Trang To present.
3. Graton Mitigation Fund Final Report for Distribution to County of Sonoma and City of Rohnert Park – Quarter Ending September 30, 2019 (Pursuant to Government Code section 12012.56 and Compact section 4.5.1):
Federated Indians of Graton Rancheria

Action:

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the distribution payments as listed in the report.

4. Consideration of A) Membership Interest Purchase Agreement; and, B) Renewal State Gambling License (Pursuant to Business and Professions Code sections 19824, 19850, 19851, 19852, 19876, 19892, and 19904; CCR, Title 4, sections 12054, and 12345):
A. North Coast Casino: T&T Gaming, LLC
 Robert Thomson, Jr. (Seller)
 Estate of Thomas Bruner (Buyer)

Comments Received From:

Ann Nash, via telephone

Joy Harn, Designated Agent and Attorney, in person

Ms. Harn was present to respond to any questions from the Commission.

Chairman Evans requested confirmation from Ms. Nash whether she understood and would comply with imposed conditions.

Ms. Nash confirmed her understanding and assent to the conditions.

Action:

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy, and Commissioner To voting yes; the Commission approved the Membership Interest Purchase Agreement with the conditions noted below:

1. **Legend on Certificates Evidencing Membership Interests.** *Approval of the proposed transfer should be conditioned upon the transferee confirming to the Commission within 15 days after the transfer that the certificates evidencing the interest transferred are legended in accordance with the provisions of Business and Professions Code section 19892, subdivision (c).*
2. **Additional Legend on Certificates Evidencing Membership Interest.** *Business and Professions Code section 19892, subdivision (a), voids any purported sale or other disposition of security issued by a licensee that the Commission did not approve in advance. Approval of the transaction contemplated by this application should be conditioned upon, within 15 days after the closing, the transferee confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the interest includes the following legend:*

THIS CERTIFICATE REPRESENTS A MEMBERSHIP INTEREST IN A LIMITED LIABILITY COMPANY THAT HOLDS A LICENSE TO ENGAGE IN GAMBLING-RELATED BUSINESS PURSUANT TO THE LAWS OF THE STATE OF CALIFORNIA. THE MEMBERSHIP INTEREST MAY NOT BE TRANSFERRED, ASSIGNED, SOLD, PLEDGED, HYPOTHECATED, OR DISPOSED OF WITHOUT COMPLYING WITH THE LAWS OF THE STATE OF CALIFORNIA AND REGULATIONS ADOPTED BY THE CALIFORNIA GAMBLING CONTROL COMMISSION OR ITS SUCCESSOR.

3. **Transaction Completed as Approved.** *Within 15 days after the closing, the transferee shall confirm in writing to the Commission, with a copy to the Bureau, that the transaction has been completed as approved by the Commission.*

B. North Coast Casino: T&T Gaming, LLC
Estate of Thomas Bruner, Member
Anne Nash, Executor
Robert Thomson, Jr., Member

Comments Received From:

Joy Harn, Designated Agent and Attorney, in person
Yolanda Morrow, Assistant Director, Bureau of Gambling Control, in person
Adrianna Alcala-Beshara, Deputy Director, Gambling Control Commission, in person

Commissioner LaBrie stated that, if approved, Mr. Thompson's license wouldn't expire until the end of the month, which provides for a small window of time that his license will be still operative.

Deputy Director Alcala-Beshara responded that with the Commission's approval, Mr. Thomson's license will expire by operation of law. The Commission would approve the transfer and with that the conveyance is can occur so the Commission would approve the renewal and all members would be licensed under that approval.

Assistant Director Yolanda Morrow stated that Mr. Thomson still has not submitted a renewal application; therefore, the Bureau's recommendation would remain a denial as the LLC is currently structured.

Ms. Harn clarified and confirmed that pursuant to page two of the Membership Interest Purchase Agreement, the transfer shall be effective as of the date of Commission approval. Ms. Harn further stated that while there is 15 days to comply with the imposed conditions the conditions have already been satisfied pursuant to the terms of the agreement and the intent is that that transfer be effective upon Commission approval.

Chairman Evans requested confirmation from Ms. Harn on behalf of her client whether she understood and would comply with imposed conditions.

Ms. Harn on behalf of her client confirmed her understanding and assent to the conditions.

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal State Gambling Licenses through May 31, 2021, with the existing conditions noted below, and took no action on the Renewal State Gambling License for Robert Thomson, Jr.:

T&T Gaming, LLC dba North Coast Casino shall not operate until gaining Commission approval. T&T Gaming, LLC shall inform the Bureau within 10 days of receipt of any revenues received with a description of the nature, amount received, and payer.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal State Gambling Licenses through November 30, 2021, with the amended condition noted below:

The Owner-Licensee must submit their amended 2019 financial statements to the Bureau of Gambling Control and the California Gambling Control Commission by April 30, 2020.

B. La Primavera Pool Hall & Café: Lucky Tree Entertainment, Inc.
Khonglai Yang, President, Chief Executive Officer,
Secretary, Treasurer, Director, Shareholder
Phateseluck Yang, Shareholder
Seng Yang, Financial Interest Holder
Nang Xiong, Financial Interest Holder

Comments Received From:

Jarhett Blonien, Designated Agent, Attorney, via telephone

Chairman Evans requested confirmation from Mr. Blonien on behalf of his clients whether they understood and would comply with imposed conditions.

Mr. Blonien on behalf of his clients confirmed his understanding and assent to the conditions.

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal State Gambling Licenses through November 30, 2021, with the condition noted below:

Prior to the opening for business of La Primavera Pool Hall & Cafe, the owner-licensee, shall provide to the Bureau of Gambling Control:

- 1. A current and fully completed Cardroom Supplemental Information for State Gambling License, REV.4/08 (BGC-APP015C), or its most current successor form, which includes the new address of the gambling establishment;*
- 2. A current and valid business license issued by the City of Madera for the gambling establishment;*
- 3. A current reviewed and approved Safety and Security Plan, as required by California Code of Regulations, title 4, sections 12370 and 12372;*
- 4. A current Emergency and Evacuation Plan, as required by California Code of Regulations, title 4, section 12370, that has been approved by the responsible local authority;*

dismissal of all charges by April 2020. Lucky Lady Card Room has been able to establish bank accounts in Nevada and California. Mr. Parrinello then clarified that one account is an IOLTA (Interest on Lawyer Trust Accounts) client-trust account and the other is an attorney bank account that Ms. Von Helms is holding for Mr. Penn.

Commissioner Lacy asked Mr. Parrinello if he had any concerns with the use of the IOLTA client-trust account being inconsistent with state bar requirements.

Mr. Parrinello responded he is not sure if the IOLTA account comports with the state bar; however, has confidence that Mr. Penn's attorney has reviewed the requirements prior to setting up the account.

Commissioner Lacy noted concerns that the independent manager, Tom Bowling, who started working in February 2019, may not be making the improvements needed with regard to the letters of warning issued stemming from problematic game play and the surveillance room audio.

Mr. Parrinello provided several examples of the hard work the independent manager, Mr. Bowling, has done for the gambling establishment.

Chairman Evans shared Commissioner Lacy's concerns and noted disappointment that better controls have not been in place. Chairman Evans then asked the Bureau if they are in contact with Mr. Bowling.

Assistant Director Morrow stated the Bureau is working with Mr. Bowling.

Commissioner Lacy noted Mr. Bowling set up a monthly compliance committee and asked Mr. Parrinello to elaborate on the committee.

Mr. Parrinello responded that a committee, including himself, Elijah Zuniga, and several other managers within the club, has been established to review all compliance issues. The Committee has met on a monthly basis since May 2019.

Commissioners To asked how the issues discussed at the compliance committee meetings are tracked.

Mr. Parrinello responded that meeting minutes are recorded and provided to the committee members at meetings.

Commissioner Lacy asked if the meeting minutes are provided to the Bureau.

Mr. Parrinello responded that the Bureau has not requested copies of the meeting minutes; however, he would provide them to the Bureau if the request is made.

Assistant Director Morrow stated she did not realize minutes were taken at these meetings and then stated the Bureau would find it helpful to have copies of the minutes from their monthly meetings.

Commissioner Lacy asked Mr. Parrinello if he would provide copies of the monthly compliance committee meeting minutes to the Bureau.

Mr. Parrinello responded that it would not be a problem to submit the meeting minutes to the Bureau.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved to issue an Interim Renewal License through November 30, 2021.

7. Consideration of A) Initial Portable Key Employee License; and, B) Renewal Portable Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054, 12350, and 12351):

This item was heard first on the agenda as Mr. Fash and Mr. Ezzell had another matter to attend to.

A. Robert Fash, Jr.

Comments Received From:

Robert Fash, Jr, in person

Donald Ezzell, Designated Agent and Attorney, in person

Mr. Ezzell appeared to respond to any questions from the Commission.

Commissioner Lacy requested confirmation from Mr. Fash whether he understood and would comply with imposed conditions.

Mr. Fash confirmed his understanding and assent to the condition.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through November 30, 2019, with the condition noted below:

Beginning January 1, 2020, and every 180 days thereafter, Robert Fash, Jr. must provide the Bureau of Gambling Control with status updates regarding his charge-off account until resolved.

B. Robert Fash, Jr.

Comments Received From:

Robert Fash, Jr, in person

Donald Ezzell, Designated Agent, in person

Chairman Evans requested confirmation from Mr. Fash whether he understood and would comply with imposed conditions.

Mr. Fash confirmed his understanding and assent to the condition.

Action:

Upon motion of Chairman Evans, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal Key Employee License through November 30, 2021, with the condition noted below:

Beginning January 1, 2020, and every 180 days thereafter, Robert Fash, Jr. must provide the Bureau of Gambling Control with status updates regarding his charge-off account until resolved.

8. Consideration of Initial Portable Personal Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054 and 12350):

A. Aaron Cummings

Comments Received From:

Aaron Cummings, in person

Todd Vlaanderen, Chief Counsel, Gambling Control Commission, in person

Adrianna Alcala-Beshara, Deputy Director, Gambling Control Commission, in person

Commissioner LaBrie asked Mr. Cummings to explain the alternative condition that he proposed to the Commission.

Aaron Cummings responded that since there is a gray area on the legality of online gambling, his proposed alternative condition would ensure he does not engage in any online gambling.

Commissioner LaBrie stated the issue with Mr. Cummings proposed condition was with the knowing and intent language and noted the way the condition is worded can potentially be used as an excuse. Commissioner LaBrie then asked if Mr. Cummings would assent to condition that staff proposed.

Mr. Cummings stated he assents to the condition that Commission staff has proposed.

Chairman Evans noted that the Attorney General has not made a decision on what is legal with regard to online gambling.

Chief Counsel Todd Vlaanderen recommended the condition be amended to state Mr. Cummings not engage in any online gambling.

Chairman Evans requested confirmation from Mr. Cummings whether he understood and would assent with the amended proposed condition.

Mr. Cummings confirmed his understanding and assent to the amended condition language.

Deputy Director Alcalá-Beshara provided a recommendation to add "in California" to the end of the proposed condition to which was later assented by the applicant.

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through June 30, 2020, with the condition noted below:

Aaron Cummings shall not engage in any online gambling in California.

B. Aaron Dennis

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through May 31, 2020.

By concurrence of the Commissioners, Items 8C, through 8F, were heard together as a group.

C. Anthony Fletcher

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through August 31, 2020.

D. Michael Giglio

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and

Commissioner To voting yes; the Commission approved the Initial Key Employee License through April 30, 2021.

E. Tonny Khiev

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through February 28, 2021.

F. Vincent Olmos

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through March 31, 2021.

9. Consideration of Approval of New Interim Renewal Portable Personal Key Employee License (Pursuant to CCR, Title 4, section 12035(b)(2)):

Jan Beverley

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Interim Renewal Key Employee License through November 30, 2021.

10. Consideration to Withdraw Application for Portable Personal Key Employee License (Pursuant to Business and Professions Code section 19869; Title 4, sections 12015 and 12054):

Norma Lacuata

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the request to withdraw without prejudice.

11. Consideration of Initial Work Permit (Pursuant to Business and Professions Code section 19912; CCR, Title 4, sections 12054 and 12104):

Parkwest Casino Sonoma: Manuel De La Pena

Action:

Upon motion of Commissioner To, seconded by Chairman Evans, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Work Permit through October 31, 2020.

12. Consideration of Conversion of Third-Party Providers of Proposition Player Services Registrations to License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218):

A. Fortune Players Group, Inc.:
Supervisor: Siu Ng

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversion of registration to Initial License through November 30, 2021.

By concurrence of the Commissioners, Items 12Bi, 12Bii, and 12Biv, were heard together as a group.

B. Knighted Ventures, LLC:
Supervisors: i) Davince Paul Ramirez ii) Christine Sarmiento
Players: iv) Victor Fregoso

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversions of registrations to Initial Licenses through November 30, 2021.

iii) David Cook

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm Mr. Cook's attendance were made by Commission staff; however Mr. Cook did not confirm attendance. Mr. Cook did not announce himself at the meeting.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversion of registration to Initial License through November 30, 2021.

v) Jason Liu

Comments Received From:

Adrianna Alcalá-Beshara, Deputy Director, Gambling Control Commission
Yolanda Morrow, Assistant Director, Bureau of Gambling Control, in person

Deputy Director Alcalá-Beshara informed the Commission that Mr. Liu informed the Commission staff that he may be unavailable by phone; however, he assents to the recommended proposed condition should the commission wish to impose it.

Chairman Evans called forth Mr. Liu to ask if he was present. Mr. Liu was not present in person or by telephone.

Assistant Director Morrow stated that Mr. Liu did provide completion of his traffic school; however, the Bureau still needs to verify if it is a valid proof of completion.

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversion from registration to Initial License through November 30, 2021, with the condition noted below:

Beginning January 1, 2020, and every 90 days thereafter, Jason Liu must provide the Bureau of Gambling Control a status update regarding proof of ongoing efforts regarding the completion of his traffic school and resolution of fine(s) until resolved and proof is provided to the Bureau.

By concurrence of the Commissioners, Items 12C, through 12D, were heard together as a group.

C. Majesty Partners, LLC:

Supervisor: Christine Sarmiento

D. Metis TPS, LLC:

Player: Abedes Ibarra, Jr.

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversions from registrations to Initial Licenses through November 30, 2021.

E. Pacific United Service, Inc.:

Player: Julyan Le

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversion from registration to Initial License through November 30, 2021.

F. PT Gaming, LLC:

Player: Alexa Britt

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversion from registration to Initial License through November 30, 2021.

G. The Independent:

Player: Brejanae Lyle

Action:

Upon motion of Commissioner To, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the conversion from registration to Initial License through November 30, 2021.

13. Consideration to Withdraw Application(s) to Convert Third-Party Proposition Registration to a License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12015 and 12054):

Infinity Gaming, LLC:

Infinity Gaming, LLC
Charlito Lukban, Member
Elmira Lukban, Member

Comments Received From:

Charlito Lukban, via telephone

Yolanda Morrow, Assistant Director, Bureau of Gambling Control, in person

Adrianna Alcalá-Beshara, Deputy Director, Gambling Control Commission, in person

Commissioner LaBrie asked the Bureau how much more time is needed to complete their background investigation.

Assistant Director Morrow stated that the Bureau did not need much more time to complete the background investigation. However, there is a technical issue with the option to deny the request to withdraw and allow the Bureau to complete their background investigation. There is a regulation that states that if the applicant requires an additional deposit, the funds must be paid before the Bureau can submit a report to the Commission. Assistant Director Morrow further stated that Mr. Lukban has not paid those funds as the checks bounced and

Mr. Lukban has set up a payment plan for \$500.00 a month; however, she cannot confirm if payments have been made. Assistant Director Morrow stated that she is not sure the Bureau can submit a report to the Commission even though it is almost done due to lack of payment. Assistant Director Morrow further noted that Mr. Lukban has submitted an application for a Work Permit and Key Employee License to continue to work in the industry.

Mr. Lukban stated he has made a \$1000 payment to the Bureau and his plan is to honor the funds requested; however, he does not see Infinity Gaming providing proposition player services within the near future and further investigation would be an unnecessary use of time and money for all parties involved.

Commissioner LaBrie asked the Bureau if they would still be able to collect the money owed if the Commission approved a withdrawal.

Assistant Director Morrow responded the cashiering department sets the payment agreements and is unsure what type of recourse there is for the failure of payments.

Chairman Evans asked if the fees have been paid for his pending Work Permit and Key Employee applications.

Assistant Director Morrow stated that the Work Permit and Key Employee have been paid.

Chairman Evans questioned the interpretation that if an applicant doesn't pay their fees, then the applicant doesn't get investigated is in the public's interest.

Assistant Director Morrow stated when the Commission denies the request to withdraw and returns the investigation the Bureau to complete; they will do so on non-billable time because the Bureau doesn't have funds from the applicant. However, Mr. Lukban paid in the beginning and it was during the course of the investigation.

Chairman Evans noted that it shows good faith effort that Mr. Lukban has established a payment plan.

Commissioner To asked what the pending balance owed to the Bureau consists of.

Assistant Director Morrow responded that if the \$1000 has been paid, the remaining balance is currently \$12,000.

Assistant Director Morrow stated that the Bureau regulations state they cannot provide a report to the Commission if the applicant did not provide additional funds requested. If the Commission wants to deny the request to withdraw and have the Bureau complete the background investigation, the Bureau can figure out what to do with regard to the regulation and Mr. Lukban's payment plan, and technically this is a case of bounced checks and not unpaid funds.

Deputy Director Alcala-Beshara noted language regarding Business and Professions Code (B&P) section 19878(b).

Commissioner LaBrie asked Assistant Director Morrow if Mr. Lukban's applications for Work Permit and Key Employee License are still pending with the Bureau.

Assistant Director Morrow responded that both applications are still pending with the Bureau and further noted that the application fees and deposits were provided by the cardroom.

Action:

Upon motion of Chairman Evans, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission denied the request to withdraw to allow the Bureau of Gambling Control to complete their background investigation.

14. Consideration of Tribal-State Compact Renewal Finding of Suitability as a Gaming Resource Supplier (Pursuant to the Tribal-State Gaming Compact):

- A. Aristocrat Technologies, Inc.: Aristocrat Leisure Limited (ALL), Parent Company
Stephen Morro, Director
Aristocrat International Pty. Ltd. (AIPL), Holding Company
Aristocrat Technologies, Inc.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal Finding of Suitability through November 30, 2021.

- B. Gaming Partners International USA, Inc.: Gaming Partners International Corporation (GPIC), Parent Company
Gaming Partners International USA, Inc.

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal Finding of Suitability through November 30, 2021.

15. Consideration of Tribal-State Compact Initial Finding of Suitability as a Gaming Resource Supplier (Pursuant to the Tribal-State Gaming Compact):

- A. Aristocrat Technologies, Inc.: Aristocrat Technologies, Inc. (ATI)
Julie Cameron-Doe, Secretary, Chief Financial Officer, Director of ATI; Global Chief Financial Officer of ALL; Director of AIPL

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through November 30, 2021.

- B. Gaming Partners International USA, Inc.: Gaming Partners International USA, Inc.
(GPI-USA)
Yasushi Shigeta, President, Chief Executive
Officer, Sole Director, Secretary of GPI-USA &
GPIC

Action:

Upon motion of Chairman Evans, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and through November 30, 2021.

16. Consideration of Additional Permanent Authorized Tables (Pursuant to Business and Professions Code section 19841(p); and 19824(c); CCR, Title 4, section 12359):

Limelight Card Room: Barbara Mikacich, Sole Proprietor
Peter Mikacich, Sole Proprietor

Comments Received From:

John Mikacich, Designated Agent and General Manager, in person
Todd Vlaanderen, Chief Counsel, Gambling Control Commission, in person
Adrianna Alcalá-Beshara, Deputy Director, Gambling Control Commission, in person

Commissioner To made a motion and subsequently withdrew his motion.

Chairman Evans stated that due to a lawsuit, a decision was put forward by a judge including some legal reasoning that will require the Commission and Bureau to analyze further with regard to additional table requests brought forth.

Chief Counsel Vlaanderen stated that his concern is the status of the appeal of the lawsuit.

Designated Agent Mikacich stated that all the other licensed cardrooms in the area have 17 tables and their cardroom would like to have a similar amount of tables especially for the Holiday season.

Chairman Evans stated that the Commission would like to grant the tables today; however, the Commission needs to assess the determinations of the pending lawsuit prior to approval. Chairman Evans further stated that the recommendation to take no action should not be viewed as derogatory towards the Limelight Card Room.

Deputy Director Alcalá-Beshara noted that the 90-day timeline to place the matter on agenda began October 15, 2019.

No action was taken on this item.

17. Consideration of Initial Tribal-State Compact Key Employee Finding of Suitability (Pursuant to the Tribal-State Gaming Compact):

By concurrence of the Commissioners, Items 17A, through 17C, were heard together as a group.

A. Agua Caliente Casino Palm Springs - Agua Caliente Band of Cahuilla Indians:
Sovannarith Lay

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through May 1, 2021.

B. Cache Creek Casino Resort - Yocha Dehe Wintun Nation:
Thomas Liquia

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through May 1, 2021.

C. Soboba Casino Resort - Soboba Band of Luiseno Indians:
Dennis Crotti

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through November 12, 2021.

CONSENT CALENDAR ITEMS:

18. Consideration of Renewal Portable Personal Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054 and 12351):

A. Steven Ashmore
B. James Castalano

C. Shannen Lee
D. Amy Neang

- | | |
|---------------------|-------------------|
| E. San Saetern | J. Steven Taing |
| F. Lisana Sanderson | K. Tom Tran |
| G. Justyna Seiff | L. Khang Truong |
| H. Michael Stan | M. Patrick Wilmes |
| I. Andrew Strahl | N. Ryan Woodson |

19. Consideration of Initial Work Permit (Pursuant to Business and Professions Code section 19912; CCR, Title 4, sections 12054 and 12104):

- | | |
|-------------------------------------|----------------|
| A. Club San Rafael: | Bun Kat Kuoy |
| B. Empire Sportsmen's Association : | Tammy Whiteley |
| C. Outlaws Card Parlour: | Zhen Zhang |

20. Consideration of Renewal Work Permit (Pursuant to Business and Professions Code section 19912; CCR, Title 4, sections 12054 and 12104):

- | | | | |
|----------------------------|---------------------------------|-----------------|------------------|
| A. Club San Rafael: | Noelle Barton | Caitlin Douglas | Lucas Shulkin |
| B. Napa Valley Casino: | Michael Nguyen
Chunxiu Zhang | Tai Nguyen | Maiove-Mari Wong |
| C. Parkwest Casino Sonoma: | Sherry Santos | Songdej Somsaad | Rijan Shrestha |

21. Consideration of Conversion of Third-Party Providers of Proposition Player Services Registrations to License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218):

- | | | | |
|----------------------------------|---------------------|----------------------|-------------------|
| A. Acme Player Services, LLC: | | | |
| Players: | Renessa Magana | Erwin Marquez | Rachel Owens |
| | Brandon Sen | | |
| B. Arise, LLC: | | | |
| Players: | Alexander Anderson | Peter Reither | |
| C. Blackstone Gaming, LLC: | | | |
| Players: | Alan Carpenter | Edgardo Coma | David Contreras |
| | Kemoeshae Cook | Victoria Crisostomo | Armando Gonzalez |
| | Dezi Henderson | Uriel Lomeli | |
| | Christelle Lord Ngo | Ntamag Epse Dikongue | Ngando |
| | Eddy Mendez | Nannette Mojica | Margarita Ortiz |
| | Julie Perez | Keith Runyan | Elias Woldesenbet |
| D. Gaming Fund Group, Inc.: | | | |
| Player: | Quang Trieu | | |
| E. Global Player Services, Inc.: | | | |
| Player: | Eric Torres | | |
| F. Knighted Ventures, LLC: | | | |
| Players: | Alyssa Alvarez | Edit Benyi | Andrew Bowman |
| | Daniel Buezo | Casey Chow | Cayley Elswick |
| | Sarai Garcia | Jomar Allen Geronimo | |
| | Yoselyn Gramajo | Po Tsun Kuo | Vincent Lagasca |
| | Edgar Lazaro | Jesus Martinez Reyes | |
| | Junita Middleton | Bianca Padilla | Trayvon Paul |

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| | Baldomero Pelayo | Steve Phan | Jonathan Ramirez |
| | Kevin Saetern | Olivia Segura | Kaleb Stewart |
| | Mario Torres | Mohammad Ullah | Dexter Vicente |
| | Liwei Wang | Brett Whitten | Jonathan Wong |
| | Na Lee Yang | Chan Yo euth | |
| G. L.E. Gaming, Inc.: | | | |
| Players: | Jahndrea Chhang | Malcolm Hearon Jr. | Thia Jackson |
| | Leandra Northon | Jordan Sheppard | |
| H. Metis TPS, LLC: | | | |
| Players: | Jacky Cheung | Dalin Chhorn | Michelle Lee |
| | Yanna Liang | Licheng Luo | Mikal Murphy |
| | Phalla Phuong | Anthony Robert | |
| I. Pacific United Service, Inc.: | | | |
| Players: | Briya Marshall | Jeffrey Tathum | David Trang |
| J. PT Gaming, LLC: | | | |
| Players: | Johnae Callins | Somalli Chun | Jordan Cramer |
| | David Escalante | Sanjita Khadka | Simon Kim |
| | Christian Powell | Courtney Riley | Karen Saefong |
| | Liba Sosna | Patrick Sweet | Martin Tuominen |
| | Kimberly Valdez | Cynthia Weese-Henson | Kim Yan |
| | Nancy Yang | Tanice Zapata | |

22. Consideration of Renewal of Third-Party Providers of Proposition Player Services License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218.8):

Metis TPS, LLC:

Player: Ramon Gonzalez, Jr.

23. Consideration of Initial Tribal-State Compact Key Employee Finding of Suitability (Pursuant to the Tribal-State Gaming Compact):

A. Agua Caliente Casino Palm Springs - Agua Caliente Band of Cahuilla Indians:
Jason McNeil

B. Bear River Casino Hotel - Bear River Band of the Rohnerville Rancheria:
Colton Beagle

C. Black Oak Casino - Tuolumne Band of Me-Wuk Indians of the Tuolumne Rancheria:

Lars Johnson Mark Kaminski

D. Blue Lake Casino and Hotel - Blue Lake Rancheria:

Cherrelle Ewing Jena Miyata

E. Chumash Casino Resort - Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation:

Howard Hall Richard Mepua

F. Graton Resort & Casino - Federated Indians of Graton Rancheria:

Richard Peleti Megan Quinlan Robert Wilbee

Ana Zetina

G. Harrah's Resort Southern California - Rincon Band of Luiseno Mission Indians of the Rincon Reservation:

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Jonathan Gordin

- H. Morongo Casino Resort and Spa - Morongo Band of Mission Indians:
Luz Castro Randall Reynolds
- I. Pechanga Resort & Casino - Pechanga Band of Luiseno Mission Indians of the Pechanga Reservation:
Tiffany Bandith Rolando Chiffer III Rhanzel Lamangan
Brian Link Joshua Woodruff
- J. Pit River Casino - Pit River Tribe:
Raymond Sloan Hecker
- K. River Rock Casino - Dry Creek Rancheria Band of Pomo Indians:
Melissa Chitwood
- L. Robinson Rancheria Resort, Casino & Bingo - Robinson Rancheria Band of Pomo Indians:
Samuel Cocharo
- M. San Manuel Casino - San Manuel Band of Mission Indians:
Linda Corlett Yevgeniy Levin
- N. Soboba Casino Resort - Soboba Band of Luiseno Indians:
Scott Burnell Jr. JoAnn Rodriguez
- O. Tachi Palace Hotel and Casino - Santa Rosa Indian Community:
Daniel Macias

24. Consideration of Renewal Tribal-State Compact Key Employee Finding of Suitability (Pursuant to the Tribal-State Gaming Compact):

- A. Agua Caliente Casino Palm Springs - Agua Caliente Band of Cahuilla Indians:
Jennifer Birmingham William Caronna Kyle Haas
Andres Lopez Brigitte Martins Kimberle Mason
Lorena Soto Ricarte Agbayani Sandra Burdette
Jacob Ezekiel Dawson Kristi Geske Stephanie Guzman
Fernando Martinez Vicki Schlom-Scott Cathie Wright
- B. Augustine Casino - Augustine Band of Cahuilla Indians:
Ricardo Castillo Juan Lozano
- C. Barona Resort and Casino - Barona Group of Capitan Grande Band of Mission Indians:
Trevor Bailey Yongchong Clugston Tyler Dollick
Claudia Flores Zoila Hernandez Emily Leyva
Salvador Lira Juan Morales Jai Saykham
- D. Blue Lake Casino and Hotel - Blue Lake Rancheria:
David Vainuku
- E. Cache Creek Casino Resort - Yocha Dehe Wintun Nation:
Aaron Casillas Brittney Heindel Allison Khon
Mark Pirruccello Xor Thao
- F. Chicken Ranch Casino - Chicken Ranch Rancheria of Me-Wuk Indians:
Bruce Nesbit
- G. Chukchansi Gold Resort and Casino - Picayune Rancheria of Chukchansi Indians:
Jewelana Bowlan
- H. Chumash Casino Resort - Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation:

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- Bradley Bendixen Anthony Hale Chuck Reyes
Matthew Sailors Cynthia St. Clair
- I. Colusa Casino Resort - Cachil DeHe Band of Wintun Indians of the Colusa Indian Community of the Colusa Rancheria:
Marcy Kitzan
- J. Eagle Mountain Casino - Tule River Indian Tribe of the Tule River Reservation:
Gustavo Mena Jr.
- K. Elk Valley Casino - Elk Valley Rancheria:
Daniel Huff
- L. Fantasy Springs Resort Casino - Cabazon Band of Mission Indians:
Kimberly Boeckholt Jay Chesterton Louis Crescenzo Jr.
Angelica Espinoza Veronica Espinoza Monica Flores
Michael Hennig John Kubisty Kathleen Levasseur
Patricia Martinez Michele Montana Deana Montana
Rungthip Nungkhiangjan Stephen Oskiera James Rounsavall
April Ryan Paul Ryan Randall Smith
Ben Smith-Newman Axel Van Der Velden Gene Wu
- Lenda Varela
- N. Graton Resort & Casino - Federated Indians of Graton Rancheria:
Paul Eder Joel Koh Jose Salas Ramirez
- O. Jackson Rancheria Casino Resort - Jackson Rancheria of Me-Wuk Indians:
Buntheap Chik Javier Ramos
- P. Morongo Casino Resort and Spa - Morongo Band of Mission Indians:
Vanessa Barcenas Richie Inthasone Ronald Jones
Jose Rodriguez Shayla Wright
- Q. Paiute Palace Casino - Bishop Paiute Tribe:
Clayton Eddy Gail Manriquez Eric Montoya
Christine Spratt
- R. Pala Casino Spa and Resort - Pala Band of Luiseno Mission Indians of the Pala Reservation:
Lee Underwood
- S. Pechanga Resort & Casino - Pechanga Band of Luiseno Mission Indians of the Pechanga Reservation:
Maher Bashary Nazan Carr Lea Cozort
Kelsey Cummings Blake Evans Elmer Flores
Venus Francisco Regina Gilbert Tammy Green
Derek Hall Mark Ingalls Kyong Sook Iwaki
Cecilia Jimenez Lindsay Anne Koballa Fredy Magana
Wilma McFarlin Frankie Morreo Sr Suzana Quintero
Kyana Robinson Richard Valverde
- T. Rain Rock Casino - Karuk Tribe:
Dennis Barnes
- U. Red Hawk Casino - Shingle Springs Band of Miwok Indians, Shingle Springs Rancheria:
Dimi Chong Devon Smith Kyle White
- V. River Rock Casino - Dry Creek Rancheria Band of Pomo Indians:
Michael Gallegos

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- W. San Manuel Casino - San Manuel Band of Mission Indians:
Kristi Galindo
- X. Sycuan Casino and Resort - Sycuan Band of the Kumeyaay Nation:
Glenn Barry Alan Buna Erik Carter
Travis Elliott Larry Ha Harold Johns
Christopher Manzano Carmela Paterno April Randle
Rick Rothenberger Nathan Wilder
- Y. Table Mountain Casino - Table Mountain Rancheria:
Ray Chhom Tina De La Torre Alicia Erbele
Thao Her Aaron Miller Thao Moua
Julian Rodriguez Gomez Bettie Yang
- Z. Tachi Palace Hotel and Casino - Santa Rosa Indian Community:
Nakaikuaana Raposa-Urata
- AA. Thunder Valley Casino Resort - United Auburn Indian Community of the Auburn Rancheria:
Kimberly Bradshaw John Gervais Larry Halvorson
Justin Law Delita Munoz Joseph Perry
Mao Yang
- BB. Twin Pine Casino & Hotel - Middletown Rancheria of Pomo Indians:
Misty Wathen
- CC. Win-River Casino - Redding Rancheria:
Johnathan Powers Teresa Romero

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Consent Calendar items 18 through 24.

PUBLIC COMMENT ON MATTERS NOT ON THE AGENDA:

None.

CLOSED SESSION DISCLOSURE:

- I. Litigation (Government Code section 11126(e)):
E. Rene Medina v. Xavier Becerra, Jim Evans, et al.; United States District Court, Northern District of California (17-cv-03293 KAW)
- II. Hearing Deliberations Pursuant to Government Code section 11126(c)(3):
C. In the Matter of the Application for Approval of Gambling Establishment Key Employee License for Darren John Reed, (CGCC-2019-0411-4G)
I. In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Pedro Fabian Reyes-Amado, (CGCC-2019-0516-8Bi)
K. Petition for Reduction in Penalty: In the Matter of the Accusation and Statement of Issues Against Seven Mile Casino, Harvey Souza, et al. (BGC Case No. HQ2015-00004AC)

CLOSED SESSION:

I. Litigation (Government Code section 11126(e)):

- A. *Stand Up For California, et al. v. State of California, et al.*, Madera County Superior Court (MCV062850)
- B. *Eric G. Swallow v. California Gambling Control Commission*, Sacramento County Superior Court (34-2016-800002402)
- C. *Garden City, Inc. v. Eric Swallow*, Sacramento County Superior Court (34-2016-00198132)
- D. *Lucky Chances, Inc. v. California Gambling Control Commission*, Sacramento County Superior Court (34-2017-80002549)
- E. *Rene Medina v. Xavier Becerra, Jim Evans, et al.*; United States District Court, Northern District of California (17-cv-03293 KAW)
- F. *California Valley Miwok Tribe v. California Gambling Control Commission et al.*; San Diego County Superior Court (37-2017-00050038-MU-CO-CTL)
- G. *Tongtoua Yang v. California Gambling Control Commission et al.*; Sacramento County Superior Court (34-2017-80002744)
- H. *Callaye Jo Strauss v. California Gambling Control Commission*, Sacramento County Superior Court (34-2018-80002882)
- I. *Yavapai-Apache Nation v. La Posta Band of Diegueno Mission Indians and California Gambling Control Commission*, Sacramento Superior Court (34-2018-00238711)
- J. *Manuel Corrales, Jr. v. California Gambling Control Commission*, San Diego County Superior Court (37-2019-00019079-CU-MC-CTL)
- K. *Kris Kat, LLC and Steven C. Ayers v. California Gambling Control Commission*, Sacramento County Superior Court (34-2019-80003142)

II. Hearing Deliberations Pursuant to Government Code section 11126(c)(3):

- A. In the Matter of the Accusation and Statement of Issues Against Lucky Chances, Inc., Rommel Medina, Ruell Medina, (OAH No.201511046), (GCADS-GEWP-002630)
- B. In the Matter of the Application for Approval of Initial Regular Work Permit for Eduardo Jesus Martinez, (CGCC-2019-0221-9)
- C. In the Matter of the Application for Approval of Gambling Establishment Key Employee License for Darren John Reed, (CGCC-2019-0411-4G)
- D. In the Matter of the Application for Approval of Initial Key Employee License for Callaye Strauss, (CGCC-2017-0713-7G)
- E. Default Decision and Order In the Matter of the Application for Approval of Third-Party Player of Proposition Player Services License for Ruth Reyes, (CGCC-2019-03211Aii)
- F. Default Decision and Order In the Matter of the Application for Approval of Third-Party Player of Proposition Player Services License for Christopher Walker, (CGCC-2019-0627-9A)
- G. In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Albert Tin King Wong, (CGCC-2019-0411-8Cii)
- H. In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Albert Tin King Wong, (CGCC-2019-0411-6E)
- I. In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Pedro Fabian Reyes-Amado, (CGCC-2019-0516-8Bi)

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- J. In the Matter of the Application for Approval of Gambling Establishment Key Employee License for David Christopher Jocis, (CGCC-2019-0516-6B)
- K. Petition for Reduction in Penalty: In the Matter of the Accusation and Statement of Issues Against Seven Mile Casino, Harvey Souza, et al. (BGC Case No. HQ2015-00004AC)

III. Personnel Matters (Government Code Section 11126(a)(1))

ADJOURNMENT:

Chairman Evans adjourned the Commission Meeting into Closed Session at 11:30 AM. No further information was given.