

California Gambling Control Commission

2399 GATEWAY OAKS DRIVE, SUITE 220

SACRAMENTO, CA 95833

(916) 263-0700 FAX (916) 263-0499

www.cgcc.ca.gov

**MINUTES OF THE SEPTEMBER 12, 2019
COMMISSION MEETING**

OPEN SESSION

1. Call to Order and Pledge of Allegiance.

Chairman Evans called the meeting to order at 10:00 a.m., and asked everyone to stand for the Pledge of Allegiance.

2. Roll Call of Commissioners.

Roll Call of Commissioners was taken with Chairman Jim Evans, Commissioner Paula LaBrie, Commissioner Gareth Lacy, and Commissioner Trang To present.

3. Approval of Commission Meeting Minutes for:
 - A. June 13, 2019 – Commission Meeting
 - B. June 27, 2019 – Commission Meeting
 - C. July 24, 2019 – GCA Hearing
 - D. July 31, 2019 – GCA Hearing

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Commission Meeting Minutes for June 13, 2019, and June 27, 2019, and GCA Hearing Minutes for July 24, 2019, and July 31, 2019.

4. Consideration of Revisions to the Selection Criteria for the members of the Gaming Policy Advisory Committee (GPAC) (Pursuant to Business and Professions Code section 19817)

Comments Received from:

Sergeant (Sgt.) Luis Jaramillo, GPAC Member, Category F, in person

Stacey Luna Baxter, Executive Director, California Gambling Control Commission, in person

Executive Director Luna Baxter discussed the changes proposed to the Commissioners. The GPAC Committee experienced some challenges over the last few years with the recruitment for certain category positions. The GPAC and GPAC members Sgt. Luis Jaramillo and William Lou, who were subcommittee members worked on this particular project, attempted to resolve those issues through these revisions before the Commission for consideration. The first is clarification for current GPAC members if they term out in their current category seats and they are eligible for other category seats they can apply for the other category seats. Secondly, it provides the Executive Director the authority to allow for a seated GPAC member whose term has expired up to an additional year, as long as the Commission is actively recruiting to fill that position. The GPAC has proposed this change because it has experienced situations where the Commission is actively recruiting, but still cannot find an eligible applicant to fill the seat; instead of allowing for that seat to remain vacant during that time, the proposal allows for an incumbent to keep that seat for up to one year while that position is being actively recruited. It also allows the Commission to approve an additional duration of time past the one year extension period that the Executive Director approved. The GPAC Committee has also proposed revisions category G to not only allow for applicants with accounting experience, but expands the requisite background experience to also now include individuals with business experience as well. Executive Luna Baxter noted that category G has been by far the hardest category to recruit for. The proposal also clarifies that category G seat, akin to category H, provides that applicants cannot be currently affiliated with any California legalized gambling entity. Executive Luna Baxter further stated the proposal also clarifies that all incumbents must maintain their eligibility throughout their term served. Lastly, Executive Director Luna Baxter stated that the proposal increases the notification that is required to be sent out by the Commission of the expiration of terms from two months to three months.

Commissioner LaBrie noted that she believed the proposals are really good changes and it is her hopes that it resolves all issues identified.

Executive Luna Baxter confirmed the terms would remain intact. If an individual is appointed, the individual would be appointed for the remainder of the term.

Chairman Evans, Commissioner Lacy, and Commissioner To thanked Sgt. Jaramillo for his efforts on GPAC and also voiced their support of the proposed revisions.

Sgt. Jaramillo thanked the Commissioners.

Action:

Upon motion of Commissioner To, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the proposed Selection Process revisions.

5. Consideration of Transfer of Shares (Pursuant to Business and Professions Code section 19904):

Club San Rafael: Pete's 881 Club, Inc.,
 Linda Pellolio (Transferor)
 The Robert and Karen Pellolio Revocable Trust Dated February
 25, 2011 (Transferee)

Comments Received From:

Maryann Poznekoff, via telephone
Stacey Luna Baxter, Executive Director, Gambling Control Commission, in person
Todd Vlaanderen, Chief Counsel, Gambling Control Commission, in person

Commissioner Lacy voiced the reasons he was in support of the approval of the transaction with conditions. Commissioner Lacy requested confirmation from the applicant whether she understood and would comply with imposed conditions.

Following a brief discussion, Ms. Poznekoff confirmed her understanding and assent to the conditions.

Executive Director Luna Baxter and Chief Counsel Vlaanderen further clarified the conditions and advised the applicant to review the language carefully when received.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Transfer of Shares with the conditions noted below:

1. **Acknowledgment of the Act.** Within 15 days following the Commission's approval of the Trust, the Trust's trustees shall confirm in writing to the Commission, with a copy to the Bureau, that they acknowledge, understand, and agree:
 - A. The Trust holds an ownership interest in a California licensed gambling enterprise., The ownership interest(s) are referred to as the "Gambling Interest."
 - B. The Gambling Interest is subject to the Gambling Control Act (Act) (Business and Professions Code sections 19800 et seq.) and is subject to regulation by the California Gambling Control Commission (Commission), California Department of Justice's Bureau of Gambling Control (Bureau), or similar agency.
 - C. The Act and regulations adopted under it require certain individuals and entities to hold licenses or registrations, including, among others, partners, members, shareholders, trusts, and trustees.
 - D. The Act and regulations adopted under it provide that licensing of certain persons and entities is necessary for a non-natural person to be eligible for a license or registration.

- E. The Act and regulations adopted under it make void certain dispositions of ownership interests, or prospective rights in ownership interests, in entities such as partnerships, limited liability companies, and corporations, without the Commission's prior approval.
 - F. The Act prohibits certain persons from holding a position, or engaging in an activity, until he, she, or it secures the required license.
 - G. The Gambling Interest shall be distributed only to beneficiaries or other persons, and held only by trustees, who are licensed, or otherwise permitted, by the Commission to hold such interests.
 - H. For as long as the Trust holds the Gambling Interest, or an ownership interest in an entity subject to California gambling law and regulation by the Commission, the Bureau, or similar agency, the trustee and any successor trustee shall comply in all respects with California gambling laws and regulations, including, without limitation, Penal Code sections 319 to 337.9 and the Act.
 - I. For as long as the Trust holds the Gambling Interest or an ownership interest in an entity subject to California gambling laws and regulations, the Trust's and its trustee's right, power, or privilege to hold that ownership interest depends upon compliance with such laws and regulations in all material respects.
2. **Legend on Stock Certificate.** Approval of the transfer contemplated by this application should be conditioned upon, within 15 days after the closing, the applicant and recipients confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the shares has been legended in accordance with the provisions of Business and Professions Code section 19882, subdivision (c).
3. **Additional Legend on Stock Certificate.** Business and Professions Code section 19904 voids any purported sale or other disposition of a security issued by a corporate licensee that the Commission did not approve in advance. Approval of the transaction contemplated by this application should be conditioned upon, within 15 days after the closing, the applicant and recipients confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the Shares includes the following legend:

THIS CERTIFICATE REPRESENTS SHARES OF STOCK ISSUED BY A CORPORATION THAT HOLDS A GAMBLING LICENSE PURSUANT TO THE LAWS OF THE STATE OF CALIFORNIA. THE STOCK MAY NOT BE TRANSFERRED, ASSIGNED, SOLD, PLEDGED, HYPOTHECATED, OR DISPOSED OF WITHOUT THE

PRIOR APPROVAL OF THE CALIFORNIA GAMBLING CONTROL COMMISSION OR ITS SUCCESSOR.

4. **Transaction Completed as Approved.** Within 15 days after the closing, the applicant and recipients shall confirm in writing to the Commission, with a copy to the Bureau, that the transaction has been completed as approved by the Commission.

6. Consideration of A) Transfer of Shares; and, B) Initial State Gambling License (Pursuant to Business and Professions Code sections 19904, 19850, and 19852; CCR, Title 4, sections 12054 and 12342):
 - A. Casino M8trix: Garden City, Inc.
Lunardi Family Living Trust (Transferor)
Erickson Family Trust Dated February 6, 2016 (Transferee)

Comments Received From:

James Erickson, III, In Person
Vanessa Erickson, In Person

Chairman Evans requested confirmation from the applicants whether they understood and would comply with imposed conditions.

The applicants confirmed their understanding and assent to the conditions.

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Transfer of Shares with the alternate conditions noted below:

1. **Acknowledgment of the Act.** Within 15 days following the Commission's approval of the Trust, the Trust's trustees shall confirm in writing to the Commission, with a copy to the Bureau, that they acknowledge, understand, and agree:
 - A. The Trust holds an ownership interest in a California licensed gambling enterprise., The ownership interest(s) are referred to as the "Gambling Interest."
 - B. The Gambling Interest is subject to the Gambling Control Act (Act) (Business and Professions Code sections 19800 et seq.) and is subject to regulation by the California Gambling Control Commission (Commission), California Department of Justice's Bureau of Gambling Control (Bureau), or similar agency.
 - C. The Act and regulations adopted under it require certain individual and entities to hold licenses or registrations, including, among others, partners, members, shareholders, trusts, and trustees.

- D. The Act and regulations adopted under it provide that licensing of certain persons and entities is necessary for a non-natural person to be eligible for a license or registration.
 - E. The Act and regulations adopted under it make void certain dispositions of ownership interests, or prospective rights in ownership interests, in entities such as partnerships, limited liability companies, and corporations, without the Commission's prior approval.
 - F. The Act prohibits certain persons from holding a position, or engaging in an activity, until he, she, or it secures the required license.
 - G. The Gambling Interest shall be distributed only to beneficiaries or other persons, and held only by trustees, who are licensed, or otherwise permitted, by the Commission to hold such interests.
 - H. For as long as the Trust holds the Gambling Interest, or an ownership interest in an entity subject to California gambling laws and regulation by the Commission, the Bureau, or similar agency, the trustee and any successor trustee shall comply in all respects with California gambling laws and regulations, including, without limitation, Penal Code sections 319 to 337.9 and the Act.
 - I. For as long as the Trust holds the Gambling Interest or an ownership interest in an entity subject to California gambling laws and regulations, the Trust's and its trustee's right, power, or privilege to hold that ownership interest depends upon compliance with such laws and regulations in all material respects.
2. **Legend on Stock Certificate.** Approval of the transfer contemplated by this application should be conditioned upon, within 15 days after the closing, the applicant and recipients confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the shares has been legended in accordance with the provisions of Business and Professions Code section 19882, subdivision (c).
3. **Additional Legend on Stock Certificate.** Business and Professions Code section 19904 voids any purported sale or other disposition of a security issued by a corporate licensee that the Commission did not approve in advance. Approval of the transaction contemplated by this application should be conditioned upon, within 15 days after the closing, the applicant and recipients confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the Shares includes the following legend:

THIS CERTIFICATE REPRESENTS SHARES OF STOCK ISSUED BY A CORPORATION THAT HOLDS A GAMBLING LICENSE PURSUANT TO THE LAWS OF THE STATE OF CALIFORNIA. THE

STOCK MAY NOT BE TRANSFERRED, ASSIGNED, SOLD, PLEDGED, HYPOTHECATED, OR DISPOSED OF WITHOUT THE PRIOR APPROVAL OF THE CALIFORNIA GAMBLING CONTROL COMMISSION OR ITS SUCCESSOR.

4. **Transaction Completed as Approved.** Within 15 days after the closing, the applicant and recipients shall confirm in writing to the Commission, with a copy to the Bureau, that the transaction has been completed as approved by the Commission.

B. Casino M8trix: Garden City, Inc.
 Erickson Family Trust Dated February 6, 2016,
 Shareholder
 James Erickson, III, Trustee, Beneficiary
 Vanessa Erickson, Director, Trustee, Beneficiary

Chairman Evans expressed his condolences regarding the passing of Pete Lunardi on behalf of the Commission to the Lunardi family and Erickson family.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved Initial State Gambling Licenses through February 29, 2020.

7. Consideration of A) Transfer of Shares; and, B) Initial State Gambling License (Pursuant to Business and Professions Code sections 19904, 19850, and 19852; CCR, Title 4, sections 12054 and 12342):

A. Casino M8trix: Garden City, Inc.
 Lunardi Family Living Trust (Transferor)
 Anthony Lunardi (Transferee)

Comments Received From:

Anthony Lundardi, In Person

Chairman Evans requested confirmation from Mr. Lunardi whether he understood and would comply with imposed conditions.

Mr. Lunardi confirmed his understanding and assent to the conditions.

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Transfer of Shares with the conditions noted below:

1. **Legend on Stock Certificate.** Approval of the transfer contemplated by this application should be conditioned upon, within 15 days after the closing, the applicant and recipients confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the shares has been legended in accordance with the provisions of Business and Professions Code section 19882, subdivision (c).

2. **Additional Legend on Stock Certificate.** Business and Professions Code section 19904 voids any purported sale or other disposition of a security issued by a corporate licensee that the Commission did not approve in advance. Approval of the transaction contemplated by this application should be conditioned upon, within 15 days after the closing, the applicant and recipients confirming in writing to the Commission, with a copy to the Bureau, that each and every certificate evidencing the Shares includes the following legend:

THIS CERTIFICATE REPRESENTS SHARES OF STOCK ISSUED BY A CORPORATION THAT HOLDS A GAMBLING LICENSE PURSUANT TO THE LAWS OF THE STATE OF CALIFORNIA. THE STOCK MAY NOT BE TRANSFERRED, ASSIGNED, SOLD, PLEDGED, HYPOTHECATED, OR DISPOSED OF WITHOUT THE PRIOR APPROVAL OF THE CALIFORNIA GAMBLING CONTROL COMMISSION OR ITS SUCCESSOR.

3. **Transaction Completed as Approved.** Within 15 days after the closing, the applicant and recipients shall confirm in writing to the Commission, with a copy to the Bureau, that the transaction has been completed as approved by the Commission.

B. Casino M8trix: Garden City, Inc.
 Anthony Lunardi, Vice President, Director, Shareholder

Action:

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial State Gambling License through February 29, 2020.

8. Consideration of A) Transfer of Interest; and, B) Initial State Gambling License (Pursuant to Business and Professions Code sections 19824(c), 19850, 19892, and 19900; CCR, Title 4, sections 12054 and 12342):
 - A. The Bicycle Hotel & Casino: The Bicycle Casino, L.P.
 Carter LCP Partnership, L.P. (Transferor)
 David W. and Megan R. Carter Gaming Trust dated
 September 5, 2014, (Transferee)

Robert H. and Sandra L. Carter Gaming Trust dated
October 27, 2015, (Transferee)
Deborah Carter Hunt (Transferee)
David Carter (Transferee)
Jerry Carter (Transferee)

Comments Received From:

Joy Harn, In Person

Chairman Evans requested confirmation from the applicants whether they understood and would comply with imposed conditions.

Joy Harn confirmed for her clients their understanding and assent to the conditions.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Transfer of Interest with alternate conditions noted below:

1. **Acknowledgment of the Act (As Applicable)**

Within 15 days following the Commission's approval of the Trusts, the Trusts' trustees shall confirm in writing to the Commission, with a copy to the Bureau, that they acknowledge, understand, and agree:

- A. The Trust holds an ownership interest in a California licensed gambling enterprise., The ownership interest(s) are referred to as the "Gambling Interest."
- B. The Gambling Interest is subject to the Gambling Control Act (Act) (Business and Professions Code sections 19800 et seq.) and is subject to regulation by the California Gambling Control Commission (Commission), California Department of Justice's Bureau of Gambling Control (Bureau), or similar agency.
- C. The Act and regulations adopted under it require certain individuals and entities to hold licenses or registrations, including, among others, partners, members, shareholders, trusts, and trustees.
- D. The Act and regulations adopted under it provide that licensing of certain persons and entities is necessary for a non-natural person to be eligible for a license or registration.
- E. The Act and regulations adopted under it make void certain dispositions of ownership interests, or prospective rights in ownership interests, in entities such as partnerships, limited liability companies, and corporations, without the Commission's prior approval.
- F. The Act prohibits certain persons from holding a position, or engaging in an activity, until he, she, or it secures the required license.

- G. The Gambling Interest shall be distributed only to beneficiaries or other persons, and held only by trustees, who are licensed, or otherwise permitted, by the Commission to hold such interests.
- H. For as long as the Trust holds the Gambling Interest, or an ownership interest in an entity subject to California gambling laws and regulation by the Commission, the Bureau, or similar agency, the trustee and any successor trustee shall comply in all respects with California gambling laws and regulations, including, without limitation, Penal Code sections 319 to 337.9 and the Act.
- I. For as long as the Trust holds the Gambling Interest or an ownership interest in an entity subject to California gambling laws and regulations, the Trust's and its trustee's right, power, or privilege to hold that ownership interest depends upon compliance with such laws and regulations in all material respects.

2. **Legend on Certificates Evidencing Partnership Interests** Copies of the certificates evidencing the interest to be transferred were not provided to the Bureau. Approval of the proposed transfer should be conditioned upon the parties confirming to the Commission within 15 days after the transfer that the certificates evidencing the interest transferred are legended in accordance with the provisions of Business and Professions Code section 19892, subdivision (d).

3. **Additional Legend on Certificates Evidencing Interest** Business and Professions Code section 19892, subdivision (a), voids any purported sale or other disposition of security issued by a partnership licensee that the Commission did not approve in advance. Approval of the proposed transfer should be conditioned upon the parties confirming to the Commission, with a copy to the Bureau, within 15 days after the transfer that, unless already included in the legend required under Condition No. 1, above, the certificates evidencing the interest transferred include the following legend:

THIS CERTIFICATE REPRESENTS INTEREST IN A PARTNERSHIP THAT HOLDS A GAMBLING LICENSE PURSUANT TO THE LAWS OF THE STATE OF CALIFORNIA. THE INTEREST MAY NOT BE TRANSFERRED, ASSIGNED, SOLD, PLEDGED, HYPOTHECATED, OR DISPOSED OF WITHOUT THE PRIOR APPROVAL OF THE CALIFORNIA GAMBLING CONTROL COMMISSION OR ITS SUCCESSOR.

4. **Transaction Completed as Approved.** Within 15 days after the closing, the applicant and recipients shall confirm in writing to the Commission, with a copy to the Bureau, that the transaction has been completed as approved by the Commission

- B. The Bicycle Hotel & Casino: The Bicycle Casino, L.P.
Robert H. and Sandra L. Carter Gaming Trust dated
October 27, 2015, Limited Partner
Sandra Carter, Trustee, Trustor, and Beneficiary
David Carter, Trustee
Deborah Carter Hunt, Limited Partner
Jerry Carter, Limited Partner

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial State Gambling License through April 30, 2021.

9. Consideration of A) Initial Portable Key Employee License; and, B) Renewal Portable Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054, 12350, and 12351):

- A. Thomas Rawlins

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee License through September 30, 2019.

- B. Thomas Rawlins

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal Key Employee License through September 30, 2021.

10. Consideration of Initial Portable Personal Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054 and 12350):

- A. Berney James Hoover

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm Mr. Hoover's attendance were made by Commission staff; however, Mr. Hoover did not confirm attendance

Commissioner Lacy noted his rationale on why he was inclined to refer the matter to an evidentiary hearing. Chairman Evans called forth Mr. Hoover to ask if he was present. Mr. Hoover was not present in person or by telephone.

Action:

Upon motion of Commissioner Lacy, seconded by Chairman Evans, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission referred the item to a GCA evidentiary hearing.

By concurrence of the Commissioners, items 10B, 10C, and 10D were heard together.

B. Toan Nguyen

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee Licenses though the dates listed in the reports.

C. Jorge Ramirez

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee Licenses though the dates listed in the reports.

D. Lisana Sanderson

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Key Employee Licenses though the dates listed in the reports.

11. Consideration of Renewal Portable Personal Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054 and 12351):

Han Liv

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm Mr. Liv's attendance were made by Commission staff; however, Mr. Liv did not confirm attendance. Mr. Liv did not announce himself at the meeting.

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal Key

Employee License through April 30, 2021, removing the existing condition noted below:

~~Mr. Liv must submit a completed Renewal Application within 60 days of his Initial Key Employee License approval.~~

12. Consideration of Initial Work Permit (Pursuant to Business and Professions Code section 19912; CCR, Title 4, sections 12054 and 12104):

Parkwest Casino Sonoma: Suny Yang

Action:

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Work Permit through February 28, 2021.

13. Consideration of Conversion of Third-Party Providers of Proposition Player Services Registrations to License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218):

Global Player Services, Inc.: James Daluz, Chief Executive Officer, Chief Financial Officer, Secretary, Director, Sole Shareholder

Comments Received From:

James Daluz, in person

Chairman Evans requested confirmation from Mr. Daluz whether he understood and would comply with the condition.

Mr. Daluz confirmed his understanding and assent to the condition.

Commissioner Lacy and Commissioner LaBrie briefly discussed their reasons and rationale in support of approval of the applications with conditions.

Action:

Upon motion of Commissioner Lacy, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Conversion License through September 30, 2021, with the condition noted below:

Within 45 days of Commission approval, Lisa Daluz must submit to the Bureau of Gambling Control an application for Third-Party Provider of Proposition Player Services Registration as an Owner of Global Player Services, Inc.

14. Consideration of Conversion of Third-Party Providers of Proposition Player Services Registrations to License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218):

A. Metis TPS, LLC:

Other Employee: Thomes Phomphakdy

Comments Received From:

Thomes Phomphakdy, via telephone

Commissioner LaBrie noted her initial concerns regarding the incident in 2014, as in the incident occurred after all the programs had been completed. Commissioner To noted that he also had concern given the number of previous convictions that the applicant had sustained. Commissioner To asked the applicant how he is doing today.

Mr. Phomphakdy elaborated on his support system and the steps that he is taking to ensure that he remains out of trouble.

Chairman Evans expressed the same concerns as his colleagues. Even considering the time that has elapsed since Mr. Phomphakdy's last conviction, he still has concerns that Mr. Phomphakdy is still drinking from time to time. Mr. Phomphakdy noted that he does drink from time to time at family functions.

Chairman Evans noted that he will support the application as the last incident occurred in 2014, the number of convictions provided pause, and cautioned the applicant to responsible. Commissioner To echoed the Chairman's concern and forewarning.

Action:

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Conversion License through September 30, 2021.

Player: John Emmanuel Ugwu

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Conversion License through September 30, 2021.

B. PT Gaming, LLC:

Player: Divina Gracia Fulminar

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Conversion License through September 30, 2021.

15. Consideration of Renewal of Third Party Provider of Proposition Services Licenses (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218.8):

A. Blackstone Gaming, LLC:

Player: Julie Lizalde

Comments Received From:

Julie Lizalde, via telephone

Chairman Evans confirmed that the information in the applicant's background report is her first offense.

Chairman Evans requested confirmation from Ms. Lizalde whether she understood and would comply with imposed condition.

Ms. Lizalde confirmed her understanding and assent to the condition.

Commissioner LaBrie and Ms. Lizalde briefly discussed condition compliance.

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Third Party Renewal License through September 30, 2021, with the condition noted below:

Beginning November 1, 2019 and every 90 days thereafter, Ms. Lizalde must provide the Bureau of Gambling Control a status update regarding the completion of her Driving Under the Influence program and proof of ongoing efforts to resolve the associated fine(s).

B. L.E. Gaming, Inc.:

Player: Ricardo Moreno

Comments Received From:

Ricardo Moreno, via telephone

Adrianna Alcala-Beshara, Deputy Director, Gambling Control Commission, in person

Chairman Evans asked if the applicant was in attendance. Mr. Moreno confirmed his attendance. Chairman Evans noted that Mr. Moreno has paid his fine, and noted that the applicant reported he was confused about the condition, even though he

affirmed on the previous condition imposition that he understood the condition. Chairman Evans asked if he could or wanted to elaborate on it.

Mr. Moreno stated that he forgot about the condition. However, he paid off the fine.

Commissioner LaBrie questioned why the Bureau was not notified. Commissioner LaBrie noted that she is seeing it happen more and more and is wondering if there is something else the Commission can do to resolve the issue that is presented when license or permit holders do not understand how to comply with the condition.

Deputy Director Alcalá-Beshara stated that Commission staff is in the process of revamping the condition notification letters so when the Commission does approve a license or a finding of suitability with a condition, it will expressly discuss the condition compliance process and have examples to guide the addressee through that process.

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Third Party Renewal License through September 30, 2021, removing the condition noted below:

~~*Mr. Moreno must provide a status update regarding his fine to the Commission with a copy to the Bureau of Gambling Control, every 90 days or until the fine is paid in full.*~~

16. Consideration to Withdraw Application(s) to Convert Third-Party Proposition Registration to a License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12015 and 12054):

Infinity Gaming, LLC: Charlito Lukban, Member
Elmira Lukban, Member

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm the applicant's attendance were made by Commission staff; however, the applicants did not confirm attendance. Commissioner LaBrie called forth the applicants to ask if they were present. The applicants were not present in person or by telephone.

Comments Received From:

Yolanda Morrow, Assist Director, Bureau of Gambling Control, in person
Todd Vlaanderen, Chief Counsel, Gambling Control Commission, in person

Commissioner LaBrie stated the reasons she believes there needs to be further discussion on this item.

Commissioner Lacy expressed his concerns allowing for a withdrawal. Commissioner Lacy asked the Bureau what could occur if the applicant hasn't paid the background fees and the Commission denies the withdrawal request.

Assistant Director Morrow stated that the Bureau would not have funds to conduct a background investigation. Further that the current situation makes it difficult because the regulations about the requirement to pay additional deposits actually prevent the Bureau from submitting a report and recommendation to the Commission until that deposit is paid. Ordinarily if a withdrawal was not approved, the Bureau would complete the background investigation and submit its findings to the Commission, but because the Bureau requested additional funds and they have not been paid or satisfactorily paid, it puts the Bureau in a tough position.

Assistant Director Morrow further stated that the Bureau would likely recommend a denial based on the returned checks. Assistant Director Morrow mentioned that Mr. Lukban also now has an interim key with Empire Sportsman so if the Commission want to hold the item over, it would be in the best interest of the applicant if he wants to continue in the industry.

Chairman Evans stated that he is okay with holding it over as the Bureau can't do what it needs to do and the applicant is again working in the industry. Commissioner Lacy concurred.

Chief Counsel Vlaanderen stated that even if the registration expires before the Commission considers the withdrawal request again, it doesn't affect the status of the application itself so there shouldn't be a problem holding over the item.

The item was tabled to a future meeting.

17. Consideration to Withdraw Application(s) to Convert Third-Party Proposition Registration to a License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12015 and 12054):

A. Blackstone Gaming, LLC:

Supervisor: Nicholas Nobida

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm Mr. Nobida's attendance were made by Commission staff; however, Mr. Nobida did not confirm attendance. Nicholas Nobida did not announce himself at the meeting.

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the request to withdraw without prejudice.

B. Global Player Services, Inc.:
Player: Shanice Macaraeg

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm Ms. Macaraeg's attendance were made by Commission staff; however, Ms. Macaraeg did not confirm attendance.

Commissioner Lacy noted that the Work Permit was denied by her local jurisdiction so that would make the applicant ineligible for registration or license and would make sense to allow withdrawing with prejudice as it is consistent with prior Commission actions. Commissioner To concurred. Commissioner LaBrie noted her analysis of the consideration concerning the withdrawal request.

Commissioner LaBrie called forth the applicant to ask if he was present. Shanice Macaraeg was not present in person or by telephone.

Action:

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the request to withdraw with prejudice.

C. Rhino Gaming:
Player: Amar Griset

Deputy Director Alcalá-Beshara informed the Commission that multiple attempts to confirm Mr. Griset's attendance were made by Commission staff; however, Mr. Griset did not confirm attendance. Amar Griset did not announce himself at the meeting.

Commissioner Lacy noted his analysis of the consideration concerning the withdrawal request.

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the request to withdraw with prejudice.

18. Consideration of Tribal-State Compact Renewal Finding of Suitability as a Gaming Resource Supplier (Pursuant to the Tribal-State Gaming Compact):

Synergy Information Solutions, Inc.: Synergy Information Solutions, Inc.
Jonathan Eaton, Chief Executive Officer, Chief
Financial Officer, Sole Shareholder, Executive
Director
Sabrina Eaton, Secretary, Treasurer

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Renewal Finding of Suitability through September 30, 2021.

19. Consideration of Initial Tribal-State Compact Key Employee Finding of Suitability (Pursuant to the Tribal-State Gaming Compact):

A. Barona Resort and Casino - Barona Group of Capitan Grande Band of Mission Indians:

Jai Saykham

Commissioner Lacy and Chairman Evans discussed why they were inclined to approve the application.

Action:

Upon motion of Commissioner Lacy, seconded by Chairman Evans, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through October 17, 2019.

B. Cache Creek Casino Resort - Yocha Dehe Wintun Nation:

Jimmy Phui

Comments Received From:

Jimmy Phui, in person

Mr. Phui stated that he is working as a slot shift manager at Hard Rock Hotel Casino and resigned from Cache Creek Casino with notice.

Assistant Director Morrow stated that the Bureau should have an application pending from the Hard Rock Casino.

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved to deem the Initial Finding of Suitability abandoned.

C. Graton Resort & Casino - Federated Indians of Graton Rancheria:

Jimmy Nixon

Comments Received From:

Jimmy Nixon, in person

The applicant appeared for the record in person and was available to respond to Commission questions.

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through November 10, 2020.

D. Jamul Casino - Jamul Indian Village of California:
Zusanne Jans

Comments Received From:

Adrianna Alcala-Beshara, Deputy Director, Gambling Control Commission, in person

Deputy Director Alcala-Beshara confirmed that Commission staff received notice Ms. Jans was no longer employed at Jamul Casino.

Commissioner Lacy called forth Ms. Jans to ask if she was present. Ms. Jans was not present in person or by telephone.

Action:

Upon motion of Commissioner Lacy, seconded by Chairman Evans, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved to deem the Initial Finding of Suitability abandoned.

E. Morongo Casino Resort and Spa - Morongo Band of Mission Indians:
William Kieler

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through January 10, 2021.

F. Pechanga Resort & Casino - Pechanga Band of Luiseno Mission Indians of the Pechanga Reservation:
Kai Lee

Action:

Upon motion of Commissioner LaBrie, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through January 31, 2021.

G. Rain Rock Casino - Karuk Tribe:
Bobby Murray

Action:

Upon motion of Chairman Evans, seconded by Commissioner To, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through December 14, 2019.

H. Red Hawk Casino - Shingle Springs Band of Miwok Indians, Shingle Springs Rancheria:
Kimberley Rice

Comments Received From:

Kimberley Rice, in person

Yolanda Morrow, Assist Director, Bureau of Gambling Control, in person

Chairman Evans requested confirmation from the Ms. Rice whether she understood and would comply with imposed condition.

Ms. Rice confirmed her understanding and assent to the condition.

Assistant Director Morrow stated that Ms. Rice provided proof that she paid the balance of her state tax lien however, the Bureau did not have time to confirm with the Franchise Tax Board that the lien was released.

Action:

Upon motion of Chairman Evans, seconded by Commissioner LaBrie, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved the Initial Finding of Suitability through October 13, 2020, with the condition noted below:

Beginning November 1, 2019 and every 90 days thereafter, Kimberley Rice must provide the Bureau of Gambling Control with proof of efforts to satisfy her state tax lien.

CONSENT CALENDAR ITEMS:

20. Consideration of Renewal Portable Personal Key Employee License (Pursuant to Business and Professions Code section 19854; CCR, Title 4, sections 12054 and 12351):

A. Kyle Alegrete

B. Ralph Baude

C. Ted Bui

D. Marilyn Calceta

E. Dustin Chase

F. Gilbert Cisneros

G. Roger Estrella

H. Mohammad Fathipour

I. Edward Ferretti

J. Joni Gimnicher

- | | |
|----------------------------|------------------------|
| K. Shalin Hall | T. Kian Nosrati |
| L. Bryce Hatch | U. Paul Priolo Jr. |
| M. Dante Hicks | V. Chauntel Recavarren |
| N. Sysavanh Inthongpradith | W. Roger Rogge |
| O. Stephen Krajewski | X. Erick Salerno |
| P. Manley Macal tao | Y. Adam Sanchez |
| Q. Charles McCormick | Z. Robert Schmitt |
| R. Robert Meras | AA. Arthur Van Loon |
| S. Florencio Mesina Jr. | BB. Leslie Woodward |

21. Consideration of Initial Work Permit (Pursuant to Business and Professions Code section 19912; CCR, Title 4, sections 12054 and 12104):

The Nineteenth Hole: Neil Riley

22. Consideration of Renewal Work Permit (Pursuant to Business and Professions Code section 19912; CCR, Title 4, sections 12054 and 12104):

- A. Empire Sportsmen's Association: Elisa Martinez
- B. Napa Valley Casino: Thai Doan Sominea Meas Anastasia Qiu
Lengpheav Tan Kien Watson Maison-M'Rell Wong
- C. Parkwest Casino Sonoma: Tyler Hattar
- D. The Deuce Lounge & Casino: Alanis Lee-Bowley
- E. Towers Casino: Michael Freifeld John Renslow

23. Consideration of Conversion of Third-Party Providers of Proposition Player Services Registrations to License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218):

- A. Acme Player Services, LLC:
Other Employee: Daniel Gutierrez Carias
Players: Justin Burkett Manuel Mones
- B. Blackstone Gaming, LLC:
Players: Samantha Alcazar Alcala Anthony Avalos Rainier-James Castro
Karen Flores Tressa Jackett Wanto Lim
Miranda Lopez Evelyn Magallanes Yvonne Menchavez
Trang Nguyen Do Celina Ivy Quintero Analyse Reynaga
Nicholas Romero Marlene Rosas Jaslyn Rush
Amado Saplan Salahdden Shaker Nelia Simmons
Juana Terriquez Renee Tran Henry Valera-Rios
Imelda Venturina Imelda Villanueva Caacbay
Brittany Zuberbrier
- C. Fortune Players Group, Inc.:
Player: Rachel Mendoza
- D. Knighted Ventures, LLC:
Players: Federico Baraldi Joseph Gianni Barreiro Harrison Bowser
Raul Cavazos Kymberly Crowder Rosa Garcia

Commission Meeting Minutes of September 12, 2019

- | | | | |
|------------------------------------|--------------------|-----------------------|-----------------|
| | Justin Harris | Tannera Ingram | Thai Lee |
| | Debria Mackay | Kevin Perez Pelcastre | |
| | Michael Richey | Jesus Sanchez | Cheenat Singh |
| | Susan Thai | Mai Vang | Mai K. Vang |
| E. L.E. Gaming, Inc.: | | | |
| Player: | Victor Munoz | | |
| F. Metis TPS, LLC: | | | |
| Other Employee: | Richard Ryan Silva | | |
| Players: | Lingjian Cheng | YongKang Dong | Visal Ham |
| | JinLi Lettersen | DanQing Li | Kevin Zhou |
| G. PT Gaming, LLC: | | | |
| Players: | Edgardo Celestino | Richard Dellafosse | Esmeralda Diaz |
| | Yingshan Kong | Donneil Lowe | Eric Manzanares |
| | Yer Xiong | | |
| H. Qualified Player Services, LLC: | | | |
| Player: | Steven Sok | | |

24. Consideration of Renewal of Third-Party Providers of Proposition Player Services License (Pursuant to Business and Professions Code section 19984; CCR, Title 4, sections 12054 and 12218.8):

- | | | | |
|-------------------------------|---------------------|---------------------|---------------------------|
| A. 2 Kings Gaming, Inc.: | | | |
| Players: | Francisca Reynoso | Yer Xiong | |
| B. Acme Player Services, LLC: | | | |
| Other Employees: | | Joseph Guffey | Czarmyne Jo |
| Players: | Asia Bragg | Julius Engreso | Jayson Guloy |
| | Leon Lam | | |
| C. Arise, LLC: | | | |
| Players: | Marisa Chaoui | Kia Her | Sarom Nhan |
| D. Blackstone Gaming, LLC: | | | |
| Players: | Phefattini Adams | Karina Amezcua | Normel Aspuria |
| | Henry Ayala Barrera | Emilinda Barbachano | Bryan Bonilla |
| | Kysha Bonsynat | Rosa Briseno | Patrick Burke Jr. |
| | Aniceto Camba, Jr. | Tina Chev | Kadra Corona |
| | Paul Brian DeLeon | Jean-Alain Dikongue | Glen Eames |
| | Bryan Espinoza | Michal Fabian | Anand Gangal |
| | Nathaniel Garcia | Eugenio Gonzalez | Jose Guillen Hernandez |
| | | Dominique Guzman | Marc Hall Jacob Harmon |
| | Anabell Hernandez | Christina Hernandez | Robert Higuera |
| | William Hoesan | Patrick Huynh | Libertad Iniestra Fragoso |
| | Gina Ken | Khue Kieu | Qashuan Leflore |
| | Calvin Lombard | Pazau Lor | |
| | Andy Luong | Thuan Luong | Sheena Maharaj |
| | Cinthya Martinez | Jeremy Matusow | Ashley Mehan |
| | John Melchor | Jun Mock | Raul Murillo |
| | Nam Nguyen | Vu Nguyen | Ariel Odulio |
| | Luisa Osborne | Adrian Ozuna | Nicolas Palomino |
| | Oscar Palomino | Ada Prasad | Gladys Rodriguez |

Commission Meeting Minutes of September 12, 2019

	Alejandra Rosales Pineda		Brandon Seak
	Seth Shigg		
	Jessica Sok	John Sydiongco	Julian Torres
	Calvin Tran	Ayla Villa	
E. Fortune Players Group, Inc.:			
Players:	Haishan She	Calvin Wu	
F. Gaming Fund Group, Inc.:			
Players:	Lai Fong	Marlena Jennings	John Montesino
	Amanda Tovia	Wei Wu	
G. Halcyon Gaming, LLC:			
Players:	Jesus Alvarado Magana		Ivan Garcia
Sanchez	Shane Horta		
	Qiuying Liu	Diana Macias	Tanaisha Peterson
	Christopher Race	Guadalupe Tellez-Alejandre	
H. KB Ventures:			
Players:	Gabriel Gonzales	Glenn Kaboua	Kheak Saysamongdy
I. Knighted Ventures, LLC:			
Players:	Isabella Cha	Cecilia Dimatulac	Magnolia Gabay
	Lorenz Gonzales	Raksmey Heng	Chantra Khut
	Juan Llamas Nieves	Anh Mai	Johannes Michailidis Westin
	Evelyn Miller	Ty Moore	Mahad Nuh
	Adriano Ortiz Carrion	Sundip Panta	Marion Raithe
	Lizbeth Romero	Gabriel Rosenberg	Kent Shapiro
	David Smith	James Smith	David Thao
	Vanessa Thompson	Jazz Wynn	Ivan Zepeda Flores
J. L.E. Gaming, Inc.:			
Players:	Ian De Leon	Cody Leyba	Fernando Lopez Reyes
	Jessica Martinez	Kayla Rivera	
K. Metis TPS, LLC:			
Players:	Irene Abrams	Jose Alejandrino, Jr.	Susan Bou
	Hung Chau	John Rex Dacara	Daniel Figueroa
	Jun Gu	Sotheary Hong	Xinghe Jin
	Bin Liang	Jiaoning Shang	Rendy Som
	Nan Sun	Tiger Tu	Hongbin Wang
	James Wang	Qichao Yan	Wei Yang
	Min Yao	Beibei Zuo	
L. Pacific United Service, Inc.:			
Other Employee:		Susan Chuang	
Players:	Cau Chong	Alma Dixon	Jason Lee
	Chaihua Liang	Kimgech Ly	Steve Ly
	Andrea Saragosa	Hong Truong	
M. Premier Player Providers, Inc.:			
Players:	Jenica Mendoza	Miranda Weiss	
N. PT Gaming, LLC:			
Players:	Azma Ambalika	Esperanza Boles	Ira Davis
	Linda Eng	Mom Lak	Vannak Loch
	Steven Sory		
O. Qualified Player Services, LLC:			

Commission Meeting Minutes of September 12, 2019

Supervisor: Jessica Grimes
Player: Justin Schwartz

25. Consideration of Initial Tribal-State Compact Key Employee Finding of Suitability (Pursuant to the Tribal-State Gaming Compact):

- A. Agua Caliente Casino Resort and Spa Rancho Mirage - Agua Caliente Band of Cahuilla Indians:
Saverio Scheri III
- B. Cache Creek Casino Resort - Yocha Dehe Wintun Nation:
Samantha Riley
- C. Chumash Casino Resort - Santa Ynez Band of Chumash Mission Indians of the Santa Ynez Reservation:
Michael Guerrero II Joshua Keller Hye Ran Kim
- D. Harrah's Resort Southern California - Rincon Band of Luiseno Mission Indians of the Rincon Reservation:
Eric de Souza
- E. Jamul Casino - Jamul Indian Village:
Roberto Saucedo
- F. Morongo Casino Resort and Spa - Morongo Band of Mission Indians:
Michael Hester Jonathan Melhorn
- G. Pala Casino Spa and Resort - Pala Band of Luiseno Mission Indians of the Pala Reservation:
Raymundo Goodsir
- H. San Manuel Casino - San Manuel Band of Mission Indians:
Rick Loya
- I. Sycuan Casino and Resort - Sycuan Band of the Kumeyaay Nation:
Rica Sipat
- J. Thunder Valley Casino Resort - United Auburn Indian Community of the Auburn Rancheria:
Chris Dinh Ronald Pratt Muang Saetern

26. Consideration of Renewal Tribal-State Compact Key Employee Finding of Suitability (Pursuant to the Tribal-State Gaming Compact):

- A. Running Creek Casino - Habematolel Pomo of Upper Lake:
Marilyn Azbill
- B. San Manuel Casino - San Manuel Band of Mission Indians:
Joel Dancel Robert Diaz Paveen Kaewsritong
Katherine Le Michael Quezada Andrew Spindler
Seth Tremble Allen Washington
- C. Tachi Palace Hotel and Casino - Santa Rosa Indian Community:
Susana Diaz Phonexay Khamvongsa Annette Miller
Chio Saechao Hani Salamat Juan Trevino Jr.
Mai Xiong
- D. Win-River Casino - Redding Rancheria:
Charles Hawes Jr.

Action:

Commission Meeting Minutes of September 12, 2019

Upon motion of Commissioner To, seconded by Commissioner Lacy, and carried in a vote by roll call with Chairman Evans, Commissioner LaBrie, Commissioner Lacy and Commissioner To voting yes; the Commission approved Consent Calendar items 20 through 26.

PUBLIC COMMENT ON MATTERS NOT ON THE AGENDA:

None.

CLOSED SESSION DISCLOSURE:

Chairman Evans noted the following items would be heard in closed session:

- II. Hearing Deliberations Pursuant to Government Code section 11126(c)(3):
 - B. In the Matter of the Application for Approval of State Gambling License for Sahara Dunes Casino, LP, dba Lake Elsinore Hotel and Casino; Ted Kingston; Joseph Kingston; and Sahara Dunes Management, Inc. (OAH No.2017070210)
 - D. In the Matter of the Application for Approval of Initial Work Permit for Brian Yee, (CGCC-2019-0411-5B)
 - E. In the Matter of the Application for Approval of Gambling Establishment Key Employee License for Brian Yee, (CGCC-2018-0906-4B)

CLOSED SESSION:

- I. Litigation (Government Code section 11126(e)):
 - A. *Stand Up For California, et al. v. State of California, et al.*, Madera County Superior Court (MCV062850)
 - B. *Eric G. Swallow v. California Gambling Control Commission*, Sacramento County Superior Court (34-2016-800002402)
 - C. *Garden City, Inc. v. Eric Swallow*, Sacramento County Superior Court (34-2016-00198132)
 - D. *Lucky Chances, Inc. v. California Gambling Control Commission*, Sacramento County Superior Court (34-2017-80002549)
 - E. *Rene Medina v. Xavier Becerra, Jim Evans, et al.*; United States District Court, Northern District of California (17-cv-03293 KAW)
 - F. *California Valley Miwok Tribe v. California Gambling Control Commission et al.*; San Diego County Superior Court (37-2017-00050038-MU-CO-CTL)
 - G. *Tongtoua Yang v. California Gambling Control Commission et al.*; Sacramento County Superior Court (34-2017-80002744)
 - H. *Callaye Jo Strauss v. California Gambling Control Commission*, Sacramento County Superior Court (34-2018-80002882)
 - I. *Yavapai-Apache Nation v. La Posta Band of Diegueno Mission Indians and California Gambling Control Commission*, Sacramento Superior Court (34-2018-00238711)
 - J. *Manuel Corrales, Jr. v. California Gambling Control Commission*, San Diego County Superior Court (37-2019-00019079-CU-MC-CTL)
 - K. *Kris Kat, LLC and Steven C. Ayers v. California Gambling Control Commission*, Sacramento County Superior Court (34-2019-80003142)
- II. Hearing Deliberations Pursuant to Government Code section 11126(c)(3):

Commission Meeting Minutes of September 12, 2019

- A. In the Matter of the Accusation and Statement of Issues Against Lucky Chances, Inc., Rommel Medina, Ruell Medina, (OAH No.201511046), (GCADS-GEWP-002630)
- B. In the Matter of the Application for Approval of State Gambling License for Sahara Dunes Casino, LP, dba Lake Elsinore Hotel and Casino; Ted Kingston; Joseph Kingston; and Sahara Dunes Management, Inc. (OAH No.2017070210)
- C. In the Matter of the Application for Approval of Third-Party Proposition Player Services Supervisor License for Elena Del Carmen Drouillard, (CGCC-2019-0207-8A)
- D. In the Matter of the Application for Approval of Initial Work Permit for Brian Yee, (CGCC-2019-0411-5B)
- E. In the Matter of the Application for Approval of Gambling Establishment Key Employee License for Brian Yee, (CGCC-2018-0906-4B)
- F. Consideration of Proposed Revised Stipulated Settlement in the Matter of the Accusation Against Michelle Miller-Wahler, BGC No. BGC-HQ2013-00001AC, OAH No. 2013120253
- G. Consideration of Proposed Revised Stipulated Settlement in the Matter of the Accusation Against David La, BGC No. BGC-HQ2013-00001PC, OAH No. 2013120253
- H. Default Decision and Order In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Maria Ramirez-Frausto, (GCADS-TPPL-018694)
- I. Default Decision and Order In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Eric Ortiz, (CGCC-2019-0221-11E)
- J. Default Decision and Order In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Daisy Aguilar, (CGCC-2019-0411-6A)
- K. Default Decision and Order In the Matter of the Application for Approval of Initial Regular Work Permit for Mindy Somneang Or, (CGCC-2019-0411-5A)
- L. Default Decision and Order In the Matter of the Application for Approval of Third-Party Proposition Player Services License for Jimena Mejia, (CGCC-2019-0221-11A)

ADJOURNMENT:

Chairman Evans adjourned the Commission meeting at 11:15 AM. No further information was given.