

CALIFORNIA GAMBLING CONTROL COMMISSION  
 MODIFIED TEXT OF PROPOSED REGULATIONS – 15-DAY CHANGES  
 COMMISSION FEES MODERNIZATION PROJECT  
 CGCC-GCA-2021-07-R/C

**California Code of Regulations**  
**Title 4. Business Regulations.**  
**Division 18. California Gambling Control Commission.**  
**CHAPTER 1. GENERAL PROVISIONS**

**ARTICLE 4. FEES**

**§ 12090. Schedule of Fees Required for Applications, Approvals, and Registrations.**

An applicant must submit the completed form and fee amount pursuant to this table with their completed application. The Bureau may request ~~for~~ additional background investigation deposits pursuant to other statutes or regulations.

<u>Form Number</u>	<u>Form Name</u>	<u>Fee Type and Amount</u>
<u>BGC-025</u>	<u>Application for Registration of Manufacturers or Distributors of Gambling Equipment</u>	<u>Application for Initial and Renewal Registration:</u> <ul style="list-style-type: none"> <li>• <u>Class A Equipment Manufacturer or Distributor: \$32</u></li> <li>• <u>Class A Equipment Manufacturer or Distributor as an “Antique Collector”: \$32</u></li> </ul>
<u>CGCC-CH2-01</u>	<u>Badge Replacement Request</u>	<u>Badge Replacement Request for All License and Permit Types: \$8</u>
<u>CGCC-CH2-04</u>	<u>Application for Employee Category License</u>	<u>Initial Application:</u> <ul style="list-style-type: none"> <li>• <u>Key Employee License: \$164</u></li> <li>• <u>TPPPS Supervisor License: \$164</u></li> <li>• <u>TPPPS Worker License: \$164</u></li> <li>• <u>Commission Work Permit: \$164</u></li> <li>• <u>Temporary Employee Category License/Commission Work Permit (additional fee): \$30</u></li> <li>• <u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></li> </ul> <u>Renewal Application:</u> <ul style="list-style-type: none"> <li>• <u>Key Employee License: \$164</u></li> <li>• <u>TPPPS Supervisor License: \$164</u></li> <li>• <u>TPPPS Worker License: \$164</u></li> <li>• <u>Commission Work Permit: \$164</u></li> <li>• <u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></li> </ul>

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<a href="#"><u>CGCC-CH2-05</u></a>	<a href="#"><u>Application for Owner Category License</u></a>	<p><a href="#"><u>Initial Application:</u></a></p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Cardroom Business License</u></a>: \$164</li> <li>• <a href="#"><u>Cardroom Endorsee License</u></a>: \$164</li> <li>• <a href="#"><u>TPPPS Business License</u></a>: \$164</li> <li>• <a href="#"><u>TPPPS Endorsee License</u></a>: \$164</li> <li>• <a href="#"><u>Temporary Owner Category License (additional fee)</u></a>: \$30</li> <li>• <a href="#"><u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></a></li> </ul> <p><a href="#"><u>Renewal Application:</u></a></p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Cardroom Business License</u></a>: \$164</li> <li>• <a href="#"><u>Cardroom Endorsee License</u></a>: \$164</li> <li>• <a href="#"><u>TPPPS Business License</u></a>: \$164</li> <li>• <a href="#"><u>TPPPS Endorsee License</u></a>: \$164</li> <li>• <a href="#"><u>Delinquency Fee (if applicable)</u></a>: \$492</li> <li>• <a href="#"><u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></a></li> </ul>
<a href="#"><u>CGCC-CH3-01</u></a>	<a href="#"><u>Application for Playing Book Approval</u></a>	<p><a href="#"><u>Initial Approval Request:</u></a></p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Hard Copy Playing Book Form</u></a>: \$94 <ul style="list-style-type: none"> <li>○ <a href="#"><u>Deposit</u></a>: \$187</li> </ul> </li> <li>• <a href="#"><u>Electronic Playing Book System</u></a>: \$94 <ul style="list-style-type: none"> <li>○ <a href="#"><u>Deposit</u></a>: \$4,378</li> </ul> </li> </ul> <p><a href="#"><u>Amendment Approval Request:</u></a></p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Hard Copy Playing Book Form</u></a>: \$94 <ul style="list-style-type: none"> <li>○ <a href="#"><u>Deposit</u></a>: \$0</li> </ul> </li> <li>• <a href="#"><u>Electronic Playing Book System</u></a>: \$94 <ul style="list-style-type: none"> <li>○ <a href="#"><u>Deposit</u></a>: \$0</li> </ul> </li> </ul>
<a href="#"><u>CGCC-CH3-02</u></a>	<a href="#"><u>Application for Contract Approval to Provide Proposition Player Services</u></a>	<p><a href="#"><u>Application for New Contract or Extension of an Existing Contract</u></a>: \$57</p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></a></li> </ul> <p><a href="#"><u>Application for Expedited Review of <del>New</del> Contract</u></a>: \$57</p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Expedited processing fee</u></a>: \$150</li> <li>• <a href="#"><u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></a></li> </ul> <p><a href="#"><u>Application for Amended Contract</u></a>: \$57</p> <ul style="list-style-type: none"> <li>• <a href="#"><u>Background investigation deposit as required in Title 11, CCR, Section 2037</u></a></li> </ul>

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<a href="#">CGCC-CH7-07</a>	<a href="#">Cardroom Business License: Gaming Tables</a>	<a href="#">Application for Additional Permanent Tables: \$164</a> <ul style="list-style-type: none"> <li><a href="#">Background investigation deposit as required in Title 11, CCR, Section 2037</a></li> </ul> <a href="#">Application for Additional Temporary Tables: \$164</a> <ul style="list-style-type: none"> <li><a href="#">Background investigation deposit as required in Title 11, CCR, Section 2037</a></li> </ul>
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Note: Authority cited: Sections 19841, 19876, 19915, 19951 and 19984, Business and Professions Code. Reference: 19841, 19876, 19915, 19951 and 19984, Business and Professions Code.

## CHAPTER 2. LICENSES AND WORK PERMITS

### ARTICLE 1. DEFINITIONS AND GENERAL PROVISIONS

#### § 12108. Replacement of a Badge.

(a) The Bureau will provide a replacement badge to a licensee if all of the following conditions are met:

...

(2) A completed Badge Replacement Request, CGCC-CH2-01 (~~New 05/20 Rev. 09 Rev. 11/21~~), which is attached in Appendix A to this chapter, and the badge replacement fee specified in Section 12090, is submitted.

...

Note: Authority cited: Sections 19811, 19823, 19824, 19826, 19827, 19840, 19841, 19854, 19912 and 19984, Business and Professions Code. Reference: 19801, 19824, 19826, 19854 and 19984, Business and Professions Code.

### ARTICLE 2. INITIAL AND RENEWAL LICENSES AND WORK PERMITS

#### § 12112. Initial License Applications; Required Forms.

A person applying for Commission approval must submit the following to the Bureau:

(a) A completed Application for Employee Category License, CGCC-CH2-04 (Rev. ~~10/11/21~~) or Application for Owner Category License, CGCC-CH2-05 (Rev. ~~10/11/21~~), which are attached in Appendix A to this chapter, ~~and any applicable fees required in Section 12090, and the applicable background investigation deposit required by Title 11, CCR, Section 2037.~~

...

(d) One of the following:

(1) If a resident of the State of California, a completed Request for Live Scan Service [California Department of Justice Form, BCIA 8016 (Rev. 04/2020)], including the ATI Number. The applicant is responsible for any fees necessary for the completion of this form; or,

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1 ...

2 Note: Authority cited: Sections 19811, 19824, 19840, 19841, 19850, 19912 and 19984, Business and Professions  
3 Code. Reference: Sections 19801, 19811, 19824, 19826, 19841, 19850, 19851, 19852, 19855, 19864, 19865, 19866,  
4 19867, 19868, 19878, 19880(d), 19883, 19890(e), 19893, 19912, 19951, 19982 and 19984, Business and  
5 Professions Code.  
6

7 **§ 12114. Renewal License Applications; Required Forms.**

8 ...

9 (c) For the purposes of this section, a “complete application” must consist of all of the following:

10 (1) A completed Application for Employee Category License, CGCC-CH2-04 ~~(Rev. 10/21)~~ or  
11 Application for Owner Category License, CGCC-CH2-05 ~~(Rev. 10/21)~~, as referred to in ~~paragraph (1) of~~  
12 subsection (a) of Section 12112, and any applicable fees required in Section 12090, ~~and the applicable~~  
13 ~~background investigation deposit required by Title 11, CCR, Section 2037;~~

14 ...

15 (4) One of the following:

16 (A) If a resident of the State of California, a Request for Live Scan Service, as referred to in  
17 subsection (d) of Section 12112 ~~[California Department of Justice Form, BCIA 8016 (Rev. 04/2020)]~~,  
18 including the ATI Number. The applicant is responsible for any fees necessary for the completion of this  
19 form; or,

20 ...

21 (5) If the application is an Application for Owner Category License, CGCC-CH2-05 ~~(Rev. 10/21)~~,  
22 then a completed copy of the Spousal Information, CGCC-CH2-12 ~~(Rev. 03/21)~~.

23 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19850, 19851, 19854, 19951 and 19984,  
24 Business and Professions Code. Reference: Sections 19811, 19823, 19824, 19826, 19841, 19850, 19851, 19852,  
25 19854, 19855, 19856, 19857, 19864, 19865, 19866, 19867, 19868, 19876, 19912, 19951 and 19984, Business and  
26 Professions Code.  
27

28 **§ 12120. Findings of Suitability Associated with a Tribal Compact.**

29 Applications for findings of suitability received pursuant to Tribal-State gaming compact section  
30 6.5.6 and comparable sections of new or amended compacts for Tribal gaming employees in key  
31 employee positions, Tribal gaming resource suppliers and financial sources, will be processed as initial or  
32 renewal licenses consistent with Section 12040. As identified by the Tribes' licensing requirements under  
33 Tribal-State gaming compact section 6.4.7(iv) and comparable sections of newer or amended compacts,  
34 the Commission will not require an application for a finding of suitability from shareholders of a gaming  
35 resource supplier or financial source who own ten percent or less of a corporation. Applicants pursuant to  
36 this section are not responsible for any fees necessary for the completion of the Request for Live Scan  
37 Service, as referred to in subsection (d) of Section 12112.

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1 Note: Authority cited: Sections 19823, 19824, 19840 and 19841, Business and Professions Code. Reference: Section  
2 19841, Business and Professions Code; and Section 12012.25, Government Code.

### 4 ARTICLE 3. TEMPORARY LICENSES AND WORK PERMITS

#### 6 § 12122. General Provisions.

7 ...  
8 (c) A temporary license will ~~be valid for a period as follows~~ have an effective period of no more than  
9 two years.

10 ~~(1)(A) For a temporary Commission work permit, the effective period will be no more than 120~~  
11 ~~calendar days.~~

12 ~~(B) If the Commission work permit is not issued within the effective period of the temporary~~  
13 ~~Commission work permit, a new temporary Commission work permit will be issued with no additional~~  
14 ~~fee.~~

15 ~~(2) For a temporary license, excluding temporary Commission work permits, the effective period will~~  
16 ~~be no more than two years.~~

17 ...

18 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841 and 19912, Business and Professions Code.  
19 Reference: Sections 10, 19801(j), 19811, 19910 and 19912, Business and Professions Code

### 21 CHAPTER 3. CONDITIONS OF OPERATION FOR TPPPS BUSINESSES

#### 22 ARTICLE 1. GENERAL PROVISIONS

#### 24 § 12250. Definitions.

25 (a) Except as otherwise provided in Section 12002 and in subsection (b) of this section, the definitions  
26 in Business and Professions Code section 19805 govern the construction of this chapter.

27 (b) For the purposes of this chapter, the following definitions apply:

28 (1) “Active Licensee” means a valid TPPPS business licensee or cardroom business licensee who has  
29 generated revenue for at least the last year as reported pursuant to Section 12313.

30 (2) “Annual Fee” is the amount that a TPPPS business licensee is required to pay to cover Non-  
31 Application Costs calculated pursuant to Section 12252.1.

32 (3) “Application Cost” means all costs, including the deposit, related to the processing of an  
33 application.

34 ~~(4)(1)~~ “Authentication” means the verification that an individual is authorized to access a system.

35 (A) “Active authentication” means the identification information of an individual with permission to  
36 use or access an electronic playing book system.

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1 (B) “Inactive authentication” means the identification information of an individual that no longer has  
2 permission to use or access an electronic playing book system.

3 ~~(5)(2)~~ “Backup” means the process of copying files to a physical and removable second medium that  
4 is accessible to the Bureau or other law enforcement, including but not limited to disk, tape, or flash  
5 memory.

6 (6) “Cost Pool 1” or “Even Across All” means a cost allocation for all Non-Application Costs that are  
7 not directly attributed to an application fee or deposit, and are appropriately borne by all TPPPS business  
8 licensees and cardroom business licensees equally. This includes such costs associated with, but may not  
9 be limited to, administration, information technology, and legislative and regulatory workload.

10 (7) “Cost Pool 2” or “Application Split” means a cost allocation for all Non-Application Costs on a  
11 per-application basis that relate to both TPPPS business licensees and cardroom business licensees that  
12 have a direct connection to the processing of applications for the controlled gambling industry, and are  
13 not directly attributed to an application fee or deposit. This includes such costs associated with, but may  
14 not be limited to, responding to general phone calls, and the processing electronic and regular mail.

15 (8) “Cost Pool 3” or “Entity Split” means a cost allocation for all Non-Application Costs generated by  
16 non-Commission actions that relate to both TPPPS business licensees and cardroom business licensees.  
17 This includes such costs associated with, but may not be limited to, compliance and enforcement,  
18 financial audits, calls for service, and incident reports borne separately amongst TPPPS business licensees  
19 and cardroom business licensees.

20 (9) “Cost Pool 4” or “Commission Actions” means a cost allocation for all Non-Application Costs  
21 ~~and~~ generated by matters requiring Commission action that relates to TPPPS business licensees or  
22 cardroom business licensees. This includes such costs associated with, but may not be limited to,  
23 administrative hearings and decisions, and Commission meetings.

24 (10) “Cost Pool 5” or “Cardroom Only” means a cost allocation for all Non-Application Costs that  
25 are specific to cardroom business licensees but not directly linked to a Commission approval such as, but  
26 may not be limited to, compliance reviews of games, reviews of reports, and local ordinance reviews.

27 (11) “Cost Pool 6” or “TPPPS Only” means a cost allocation for all Non-Application Costs that are  
28 specific to TPPPS business licensees but not directly linked to a Commission approval such as, but may  
29 not be limited to, contract renewal notices and non-investigation industry inquiries or correspondence.

30 ~~(12)(3)~~ “Electronic playing book” refers to a collection of digital playing book forms.

31 ~~(13)(4)~~ “Electronic Playing Book Device” or “playing book device” means a terminal used to access  
32 an electronic playing book.

33 ~~(14)(5)~~ “Hardcopy playing book” means a tangible collection of paper playing book forms.

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1 ~~(15)(6)~~ “Independent gaming test laboratory” means a gaming test laboratory that is either:

2 (A)(1) Licensed or registered to test, approve, and certify gambling equipment, systems, and software  
3 in any United States jurisdiction; and,

4 (2) Accredited by a signatory to the International Laboratory Accreditation Cooperation Mutual  
5 Recognition Arrangement; or,

6 (B) Operated by a state governmental gaming regulatory agency.

7 ~~(16)(7)~~ “Information technology technician” or “IT technician” means any person who is responsible  
8 for and has the system permissions necessary to access an electronic playing book system, including but  
9 not limited to the software coding, data storage functions, all critical components of system functioning,  
10 and the receipt of system alerts in accordance with paragraph (5) of subsection (a) of Section 12263.

11 ~~(17)(8)~~ “Ink” means a pigmented liquid or paste used especially for writing or printing. For purposes  
12 of this chapter, ink also includes printer toner powder or other means of placing an indelible mark onto  
13 paper.

14 ~~(18) “Non-Operational Licensee” means a TPPPS business licensee or cardroom business licensee~~  
15 ~~that maintains a valid TPPPS business license or cardroom business license or registration, and has not~~  
16 ~~generated revenue in the past year as reported pursuant to Section 12313.~~

17 ~~(19) “New Business Licensee” means a TPPPS business licensee or cardroom business licensee who~~  
18 ~~first became licensed prior to August 31 of the year the invoices are created.~~

19 ~~(20) “Non-Application Cost” means all costs other than Application Costs borne by the Commission,~~  
20 ~~Department of Justice, and all other State operations expenditures for the administration and enforcement~~  
21 ~~of the Act.~~

22 (18) “New Business Licensee” means a TPPPS business licensee or cardroom business licensee who  
23 first became licensed prior to August 31 of the year the invoices are created.

24 (19) “Non-Application Cost” means all costs other than Application Costs borne by the Commission,  
25 Department of Justice, and all other State operations expenditures for the administration and enforcement  
26 of the Act.

27 (20) “Non-Operational Licensee” means a TPPPS business licensee or cardroom business licensee  
28 that maintains a valid TPPPS business license or cardroom business license or registration, and has not  
29 generated revenue in the past year as reported pursuant to Section 12313.

30 ~~(21)(9)~~ “Permissions” means the assigned level of system access rights of an individual to view or  
31 make changes to the content of a system.

32 ~~(22)(10)~~ “Playing Book” means a record documenting each session of play by an authorized player.

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1        ~~(23)(11)~~ “Primary database” or “database” means a collection and storage of all electronic playing  
2 book information.

3        ~~(24)(12)~~ “Rebate” means a partial return by an authorized player of chips or money to a patron who  
4 has lost the chips or money to the authorized player through play in a controlled game at a gambling  
5 establishment.

6        ~~(25)(13)~~ “Session of play” as used in Section 12260 means the time period when a TPPPS business  
7 licensee operates an authorized player at a gaming table before the gaming table closes; however,  
8 provided that in no event may a time period be longer than 24 consecutive hours.

9        ~~(26)~~ “Surrendered or Revoked Licensee” means a former TPPPS business licensee ~~or cardroom~~  
10 ~~business licensee who stopped operating and ceased to maintain a TPPPS business license prior to August~~  
11 ~~31 of the year the invoices are created.~~

12        ~~(27)(14)~~ “Synchronization” or “synch” means the process of uploading information from a terminal to  
13 a primary database.

14        ~~(28)(15)~~ “System” means a group of interdependent components that interact regularly to perform a  
15 task.

16        ~~(29)(16)~~ “Terminal” means computer hardware that is used to enter data into or display information  
17 from a system.

18 Note: Authority cited: Sections 19840, 19841, 19853 and 19984, Business and Professions Code. Reference:  
19 Sections 19805, 19841, 19853 and 19984, Business and Professions Code.

20  
21 **§ 12252. TPPPS Annual Fee.**

22        ~~(a) No later than September 1 of each year, The Bureau will provide each TPPPS business licensee an~~  
23 ~~invoice, pursuant to Section 12006, by October 1, 2022 and each October 1 thereafter. Beginning January~~  
24 ~~1, 2023 and each January 1 thereafter, each TPPPS business licensee must submit to the Bureau the~~  
25 ~~annual fee Annual Fee set forth as determined by Section 12252.1, subsection (ab) of this section, based~~  
26 ~~on the total number of TPPPS endorsee licensees and TPPPS employee type licensees affiliated with the~~  
27 ~~TPPPS business licensee on the immediately preceding August 1.~~

28        ~~(b) Each TPPPS business licensee must pay the annual sum of two thousand eight hundred dollars~~  
29 ~~(\$2800) for each TPPPS endorsee licensee and each TPPPS employee type licensee.~~

30        ~~(eb)~~ The ~~annual fee~~ Annual Fee may be paid in installments. The TPPPS business licensee must  
31 submit a written request to the Bureau to make installment payments within 30 days from the date the  
32 invoice was mailed ~~prior to August 1 of that same year.~~

33        ~~(c)~~ Upon Subject to approval by the Bureau, in accordance with the Bureau’s approval notification,  
34 installment payments must be made in the year following the request pursuant to subsection (b) as

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1 follows: one-third of the ~~annual fee~~ Annual Fee to be submitted no later than ~~September~~ January 1, one-  
2 third no later than ~~December~~ April 1, and the balance no later than ~~March~~ June 30.

3 ~~(d) Refunds will not be available in the event of a subsequent decrease in the number of TPPPS~~  
4 ~~endorsee licensees or TPPPS employee type licensees upon which the annual fee payment was based.~~

5 ~~(e)(1) Following assessment of the annual fee, if the TPPPS business licensee increases the number of~~  
6 ~~its TPPPS endorsee licensees and TPPPS employee type licensees above the number upon which the~~  
7 ~~annual fee assessment was based, the TPPPS business license must submit to the Bureau the additional~~  
8 ~~per player annual fee set forth in subsection (b) within 30 calendar days of employment or transfer of~~  
9 ~~ownership.~~

10 ~~(2) Upon the first issuance of a TPPPS business license, be it either a temporary TPPPS business~~  
11 ~~license or an initial TPPPS business license, the TPPPS business licensee must submit to the Bureau the~~  
12 ~~annual fee appropriate for its TPPPS endorsee licensees and any TPPPS employee type licensees.~~

13 ~~(d)~~ The TPPPS certificate will not be issued until the Bureau has received the annual fees Annual Fee  
14 or ~~has is~~ approved the TPPPS business licensee for installment payments.

15 ~~(3) Annual fees due under this subsection will be prorated on a monthly basis.~~

16 ~~(4) Annual fees due under this subsection may be paid in installments, on the conditions that the~~  
17 ~~installment payment request is submitted in writing, that one third of the fees are paid upon submission of~~  
18 ~~the installment request, and that two subsequent equal payments are paid at reasonable intervals prior to~~  
19 ~~expiration of the applicable term, subject to the approval of the Bureau.~~

20 ~~(f)~~ (e) Any renewal application for the TPPPS business licensee will not be approved by the  
21 Commission until any delinquent Annual Fee has ~~have~~ been paid in full.

22 ~~(g)~~ (f) No application for a TPPPS contract may be approved by the Bureau until any delinquent  
23 ~~annual fees~~ Annual Fee has ~~have~~ been paid in full.

24 (g)(1) Upon the first issuance of a TPPPS business license, as either a temporary TPPPS business  
25 license or an initial TPPPS business license, whichever occurs first, the Bureau will issue an invoice in  
26 the amount determined in subsection (b) of Section 12252.1. The TPPPS business licensee must submit to  
27 the Bureau the Annual Fee appropriate for its TPPPS business license within 30 days from the date the  
28 invoice ~~were~~ was mailed.

29 (2) For the first full calendar year of licensure, the TPPPS business licensee will be invoiced the  
30 unadjusted Annual Fee, due under clause 1 of subparagraph (A) of paragraph (6) of subsection (a) of  
31 Section 12252.1 for the following calendar year. If the license is approved after October 1, the invoice  
32 will be issued at the same time as paragraph (1) of this subsection.

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1 Note: Authority cited: Sections 19823, 19824, 19826, 19840, 19841 and 19984, Business and Professions Code.  
2 Reference: Sections 19841 and 19984, Business and Professions Code.  
3

4 **§ 12252.1. TPPPS Annual Fee Calculation.**

5 (a) The Commission will conduct the following six-step analysis to determine the Annual Fee  
6 invoiced pursuant to subsection (a) of Section 12252.

7 (1) Step One. The Commission will take all Bureau and Commission Non-Application Costs and  
8 allocate them to Cost Pools 1 through 6. For future years, each cost pool will need to account for any  
9 prior year adjustments through a carry forward. A carry forward as used in this section will account for  
10 and reconcile any over and under costs allocated in prior years for each cost pool. The cost pools will  
11 determine how the Commission will allocate Non-Application Costs to TPPPS business licensees and  
12 cardroom business licensees. Other costs may be included in a specific Cost Pool that the Commission  
13 determines are necessary for the administration and enforcement of the Act based on the responsibilities  
14 of the Commission and Bureau pursuant to Business and Professions Code sections 19823 and 19826  
15 respectively.

16 (2) Step Two. Application Split. The Commission will determine the percentage of total TPPPS  
17 business licensee and cardroom business licensee applications, which the individual total of each  
18 constitutes by averaging the annual total of each license type's initial and renewal applications from the  
19 previous five fiscal years immediately prior to the forthcoming October 1st invoice issuance date, and  
20 dividing each individual average TPPPS business licensees and cardroom business licensees' total by the  
21 combined average TPPPS business licensees and cardroom business licensees' total. The Commission  
22 will multiply the Application Split funds by this percentage to determine the amounts payable by TPPPS  
23 business licensees pursuant to this step.

24 (3) Step Three. Entity Split. The Commission will determine the percentage of total Active and Non-  
25 Operational TPPPS business licensees and cardroom business licensees, which the individual total TPPPS  
26 business licensees versus cardroom business licensees each constitutes, by dividing the separate totals of  
27 Active and Non-Operational TPPPS business licensees and Active and Non-Operational cardroom  
28 business licensees, by the total of both Active and Non-Operational TPPPS business licensees and  
29 cardroom business licensees to distribute the funds allocated to this Cost Pool between TPPPS business  
30 licensees and cardroom business licensees. The Commission will multiply the total of Even Across All  
31 and Entity Split funds by this percentage to determine the amounts payable by TPPPS business licensees  
32 pursuant to this step.

33 (4) Step Four. Commission Actions. The Commission will determine the percentage of total  
34 Commission actions for TPPPS business licensees and cardroom business licensees, which the individual

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1 totals of each constitutes by calculating the total average annual number of Commission actions from the  
2 last three fiscal years for TPPPS business licensees and cardroom business licensees and convert that into  
3 a percentage by dividing the separate total of actions for TPPPS business licensees and cardroom business  
4 licensees respectively by the total applications for both TPPPS business licensees and cardroom business  
5 licensees. The Commission will multiply the Commission Actions funds by this percentage to determine  
6 the amounts payable by TPPPS business licensees pursuant to this step.

7 (5) Step Five. The Commission will determine the total fees to be paid by TPPPS business licensees  
8 by adding each of the respectively apportioned TPPPS business licensee costs outlined in paragraphs (2)  
9 through (4) of this subsection with the total from Cost Pool 6. The Commission will divide the total fees  
10 by the total average annual number of Commission actions from the last three fiscal years for TPPPS  
11 business licensees to determine the unadjusted Annual Fee for each TPPPS business licensee.

12 (6) Step Six. Final Adjusted Annual Fee Calculation. The Commission will determine for each  
13 TPPPS business licensee if they are an Active Licensee, Surrendered or Revoked Licensee, or Non-  
14 Operational Licensee, and if an Active Licensee, if they have average gross gaming revenues below  
15 \$1,500,000 or equal to or greater than \$1,500,000 based on the immediate previous three-year, or less if  
16 three years is unavailable, the annual average of gross gaming revenue as reported pursuant to Section  
17 12313.

18 (A) The Bureau will invoice the Annual Fee to TPPPS business licensees after the Commission  
19 calculates and sends the invoice amounts to the Bureau to be invoiced pursuant to subsection (a) of  
20 Section 12252, as follows:

21 1. Active TPPPS business licensees that have collected less than \$1.5 million average gross gaming  
22 revenue, as determined in paragraph (6), the unadjusted Annual Fee as determined in paragraph (5).

23 2. Non-Operational TPPPS business licensees, half the amount of the unadjusted Annual Fee  
24 determined in paragraph (5).

25 3. Surrendered or Revoked TPPPS business licensees, \$0.

26 4. Active TPPPS business licensees that have collected equal to or greater than \$1,500,000 average  
27 gross gaming revenue as reported pursuant to Section 12313, as determined in paragraph ~~(6)~~, will be  
28 invoiced an amount equal to the amount of the TPPPS business licensee total Annual Fee, minus the  
29 amount invoiced in clauses 1 and 2, multiplied by the percentage the TPPPS business licensee's gross  
30 gaming revenue from the previous three year annual average constitutes of the previous three year annual  
31 average of all Active TPPPS business licensee-s' gross gaming revenue.

32 (b) A New Business Licensee, that is either a temporary TPPPS business licensee or an initial TPPPS  
33 business licensee, whichever occurs first, must pay the unadjusted Annual Fee due under clause 1 of

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1 subparagraph (A) of paragraph (6) of subsection (a) divided by 12, multiplied by the number of whole  
2 months remaining in a calendar year from the date of licensure until the end of the calendar year for the  
3 incomplete calendar year of licensure.

4 Note: Authority cited: Sections 19823, 19824, 19826, 19840, 19841, and 19984, Business and Professions Code.  
5 Reference: Sections 19826, 19867, 19841 and 19984, Business and Professions Code.  
6

## 7 **ARTICLE 2. PLAYING BOOKS**

### 8 **§ 12260. General Provisions.**

9 *NOTE: The language being amended under this section (12260(j)) was inadvertently included under*  
10 *section (12261(j)) of the text noticed for the initial 45-day comment period. As such, the language and*  
11 *amendments to (j) have been appropriately moved to this section.*  
12

13 ...

14 (j) All IT technicians employed by or contracting with a TPPPS business licensee must be licensed as  
15 TPPPS workers regardless if they are present in the gambling establishment or not. This requirement  
16 applies to any subcontractor, independent contractor, or employee thereof who is authorized to perform IT  
17 technician duties as defined in ~~paragraph (7) of~~ subsection (b) of Section 12250.

18 ...

19 Authority cited: Sections 19840, 19841, 19853 and 19984, Business and Professions Code. Reference: Sections  
20 19826, 19841, 19853 and 19984, Business and Professions Code.

### 21 **§ 12261. Review of Playing Book Forms.**

22 ...

23 (b) To request the review of a new or amended hardcopy playing book, the form Application for  
24 Playing Book Approval, ~~BGC-APP-036 (Rev. 1/18)~~ CGCC-CH3-01 (New 05/20 Rev. 09 Rev. 11/21),  
25 attached in Appendix A to this chapter, must be completed and submitted to the Bureau along with the  
26 following:  
27

28 (1) ~~An~~ The initial or amendment application processing fee ~~of \$75~~ and deposit required in Section  
29 12090 as applicable. During the review, the Chief of the Bureau may require any additional sums as are  
30 required to pay all costs and charges of the review. Additional deposits are due to the Bureau within  
31 fifteen (15) days from the date of the request for the required deposit. All costs and charges of the review  
32 must be paid before the Bureau may approve the hardcopy playing book. The review concludes upon the  
33 Bureau's approval or denial of the hardcopy playing book, or upon a request to withdraw the hardcopy  
34 playing book from review. At the conclusion of the review, the Bureau must provide the applicant with an  
35 itemized accounting of the costs incurred and must cause a refund to be made of any unused portion of  
36 the deposit.

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1 ...

2 *NOTE: The language provided under the following subsection (j) was inadvertently included under this*  
3 *section (12261) of the text noticed for the initial 45-day comment period. As such, the language and*  
4 *amendments to (j) below have been appropriately moved to Section 12260(j).*

5 ~~(j) All IT technicians employed by or contracting with a TPPPS business licensee must be licensed as~~  
6 ~~TPPPS workers regardless if they are present in the gambling establishment or not. This requirement~~  
7 ~~applies to any subcontractor, independent contractor, or employee thereof who is authorized to perform IT~~  
8 ~~technician duties as defined in paragraph (7) of subsection (b) of Section 12250.~~

9 ...

10 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
11 19826, 19841 and 19984, Business and Professions Code.

12

13 **§ 12264. Review and Certification of Electronic Playing Book Systems.**

14 (a) Each electronic playing book system requires prior review and approval by the Bureau. To request  
15 review of the electronic playing book system, the form Application for Playing Book Approval, CGCC-  
16 CH3-01 ~~(New 05/20Rev 09/21)~~, referenced in subsection (b) of Section 12261, must be completed and  
17 submitted to the Bureau along with the following:

18 ~~(1) An~~ The applicable initial or amendment application processing fee ~~of \$1200 or an amendment~~  
19 ~~application processing fee of \$94 per system, as applicable~~ and deposit per system, as required in Section  
20 12090. During the review, the Chief of the Bureau may require any additional sums as are required to pay  
21 all costs and charges of the review. Additional deposits are due to the Bureau within fifteen (15) days  
22 from the date of the request for the required deposit. All costs and charges of the review must be paid  
23 before the Bureau may approve the electronic playing book system. The review concludes upon the  
24 Bureau's approval or denial of the electronic playing book system, or upon a request to withdraw the  
25 electronic playing book system from review. At the conclusion of the review, the Bureau must provide the  
26 applicant with an itemized accounting of the costs incurred and must cause a refund to be made of any  
27 unused portion of the deposit.

28 ...

29 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
30 19826, 19841 and 19984, Business and Professions Code.

31

32 **ARTICLE 3. TPPPS CONTRACTS**

33

34 **§ 12272. Review and Approval of TPPPS Contracts.**

35 (a)(1) Proposition player services must not be provided except pursuant to a written TPPPS contract  
36 approved in advance by the Bureau. Provision of proposition player services by any person subject to

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1 licensing under Chapter 2, or engagement of proposition player services by a cardroom owner type  
2 licensee, without a TPPPS contract as required by this section is a violation of this section. The Bureau  
3 must approve a TPPPS contract only if all the following requirements have been satisfied:

4 ...

5 (2) A complete application for TPPPS contract approval must include all of the following:

6 (A) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-  
7 CH3-02 (~~New 05/20Rev. 09/21~~), which is attached in Appendix A to this chapter ~~referenced in~~  
8 ~~paragraph (2)~~.

9 ...

10 (D) ~~A \$1000 \$57 The nonrefundable~~ application fee required in Section 12090.

11 ...

12 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
13 19951 and 19984, Business and Professions Code.

#### 15 § 12274. Expedited Review and Approval of TPPPS Contracts.

16 ...

17 (c) The Bureau will complete the expedited review and approval of a TPPPS contract within five (5)  
18 business days of receiving all of the following:

19 (1) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-  
20 CH3-02 (~~New 05/20Rev. 09/21~~), referenced in paragraph (2) of subsection (a) of Section 12272.

21 ...

22 (4) ~~A \$1000 \$57 The nonrefundable~~ application fee required in Section 12090.

23 (5) An expedited processing fee ~~of \$150~~ required in Section 12090 and a deposit as required by Title  
24 11, California Code of Regulations, Section 2037 ~~sum of money that, in the judgment of the Chief of the~~  
25 ~~Bureau, will be adequate to pay the anticipated processing costs in accordance with Business and~~  
26 ~~Professions Code section 19867.~~

27 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
28 19951 and 19984, Business and Professions Code.

#### 30 § 12276. Review and Approval of Amendments to TPPPS Contracts.

31 (a) Requests to review and approve an amendment to a TPPPS contract must be submitted with an  
32 application for approval [see Section 12272 (a)(~~32~~)(A)] along with an executed copy of the TPPPS  
33 contract, ~~a five hundred dollar (\$500) \$57 nonrefundable~~ the application fee required in Section 12090,  
34 and a deposit as required by Title 11, California Code of Regulations, Section 2037. The Bureau may  
35 require an additional sum to be deposited to pay the final costs of the review and approval or disapproval

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1 of the amendment. Any money received as a deposit in excess of the costs incurred in the review and  
2 approval or disapproval of the amendment must be refunded and an itemized accounting must be  
3 provided to the TPPPS business licensee or the TPPPS business licensee's designee.

4 ...

5 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
6 19951 and 19984, Business and Professions Code.

7  
8 **§ 12278. Extension of TPPPS Contracts.**

9 (a) An application for approval of a contract to continue proposition player services must include all  
10 of the following:

11 (1) A completed Application for Contract Approval to Provide Proposition Player Services, CGCC-  
12 CH3-02 (~~New 05/20 Rev. 09/21~~), referenced in paragraph (2) of subsection (a) of Section 12272.

13 (2) ~~A \$1000\$57~~ The application fee required in Section 12090.

14 ...

15 Note: Authority cited: Sections 19840, 19841 and 19984, Business and Professions Code. Reference: Sections  
16 19951 and 19984, Business and Professions Code.

17  
18 **CHAPTER 4. GAMBLING EQUIPMENT MANUFACTURERS OR DISTRIBUTORS**

19  
20 **§ 12309. Forms; Fees.**

21 (a) Applications for registration under Section 12301(b) must be submitted on the Application for  
22 Registration of Manufacturers or Distributors of Gambling Equipment, BGC-025 (Rev. ~~07/17-09/21~~  
23 11/21), which is hereby attached in Appendix A to this chapter.

24 ...

25 (c) For a gambling equipment manufacturer or distributor registration, the fee is as follows:

26 (1) For an initial and renewal registration as a Class A equipment manufacturer or distributor, the fee  
27 ~~is \$500-\$32~~ required in Section 12090.

28 (2) For an initial and renewal registration as an “antique collector,” within the meaning of paragraph  
29 (1) of subsection (b) of Section 12300 and subparagraph (B) of paragraph (10) of subsection (b) of  
30 Section 12301, the fee ~~is \$500-\$32~~ required in Section 12090.

31 ...

32 Note: Authority cited: Sections 19823, 19824, 19840, 19841(r) and 19864, Business and Professions Code.  
33 Reference: Sections 19841(r) and 19951(a), Business and Professions Code; Section 2015.5, Code of Civil  
34 Procedure; Section 330.8, Penal Code; and Chapter 24 (commencing with Section 1171) of Title 15 of the United  
35 States Code.

36  
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1                   **CHAPTER 7. CONDITIONS OF OPERATION FOR GAMBLING ESTABLISHMENTS**

2                                   **ARTICLE 1. GENERAL PROVISIONS**

3  
4   **§ 12364. Relocation of Gambling Establishment.**

5   *NOTE: In the following subsection (b), the version date of the form, (Rev. 01/21), was adopted via the*  
6   *emergency rulemaking, Emergency Sanitation Plan (CGCC-GCA-2021-02-E, OAL File #2021-02-E),*  
7   *which expired on January 12, 2022, during the initial 45-day comment period for this regulation*  
8   *package. Now that the Emergency Sanitation Plan regulations have lapsed, the form version (New 05/20)*  
9   *is being displayed in red strikeout, which was the original version of the form prior to those emergency*  
10   *regulations.*

11         ...

12         (b) A cardroom business licensee must notify the Bureau of a planned relocation of a gambling  
13         establishment at least 90 days in advance of the intended commencement of gambling operations at the  
14         new location on the form Notice of Relocation, CGCC-CH7-02 (~~New 05/20~~ Rev. 11/21), which is  
15         attached in Appendix A to this Chapter. A draft floor plan of the proposed gambling establishment  
16         depicting, at a minimum, the location of the main cage, the count room, the surveillance room, and the  
17         gaming area(s) must accompany the notice to the Bureau.

18         ...

19         Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19853(a)(3), 19860, 19862 and 19864,  
20         Business and Professions Code. Reference: Sections 19811, 19824, 19826, 19860, 19862 and 19868, Business and  
21         Professions Code.

22  
23                                   **ARTICLE 10. GAMING TABLES**

24   *NOTE: In the following subsections of this article (12470(a)(1), 12472(a)(1), and 12474(a)), the version*  
25   *date of the form, (Rev. 02/21), was adopted via the emergency rulemaking, Financial Reporting*  
26   *Requirements and Temporary Table Decreases (CGCC-GCA-2021-02-E, OAL File #2021-02-E), which*  
27   *expired on January 8, 2022, during the initial 45-day comment period for this regulation package. Now*  
28   *that the regulations for Financial Reporting Requirements and Temporary Table Decreases have lapsed,*  
29   *the form version (New 05/20) is being displayed in red strikeout, which was the original version of the*  
30   *form prior to those emergency regulations.*

31   **§ 12470. Request for Additional Temporary Tables for Tournaments or Special Events.**

32         (a) A cardroom business licensee may apply to operate, on a limited and temporary basis, for a  
33         tournament or special event (hereinafter, event), more tables than the gambling establishment is  
34         authorized to regularly operate. To apply for additional tables, the applicant must submit to the Bureau,  
35         no less than 45 business days prior to the event, the following for each event:

36                 (1) A completed and signed application form entitled Cardroom Business License: Gaming Tables,  
37                 CGCC-CH7-07 (~~New 05/20~~ Rev. 11/21), which is attached in Appendix A to this chapter.

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1 (2) ~~A~~ The non-refundable application fee ~~of \$164~~ required in Section 12090 plus a Bureau review  
2 deposit pursuant to Title 11, Cal Code Regs., Section 2037, made payable to the Bureau of Gambling  
3 Control.

4 ...

5 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841(a), 19841(b), 19841(c), 19841(p), 19864,  
6 19950(b) and 19952, Business and Professions Code. Reference: Section 19951, Business and Professions Code.  
7

#### 8 **§ 12472. Request for Additional Permanent Tables.**

9 (a) The cardroom business licensee may apply to operate additional tables on a permanent basis by  
10 submitting the following to the Bureau:

11 (1) A completed and signed application form entitled Cardroom Business License: Gaming Tables,  
12 CGCC-CH7-07-~~(New 05/20)~~, referenced in paragraph (1) of subsection (a) of Section 12470.

13 (2) A non-refundable application fee ~~of \$164~~ required in Section 12090 plus a Bureau review deposit  
14 pursuant to Title 11, Cal Code Regs., Section 2037, made payable to the Bureau of Gambling Control.

15 ...

16 Note: Authority cited: Sections 19811, 19823, 19824, 19840, 19841, 19864, 19950(b) and 19951, Business and  
17 Professions Code. Reference: Section 19951, Business and Professions Code.  
18

#### 19 **§ 12474. Reduction in Permanent Tables.**

20 (a) The cardroom business licensee may apply to reduce the number of tables operating on a  
21 permanent basis by submitting a completed and signed application form entitled Gambling Establishment:  
22 Gaming Tables, CGCC-CH7-07-~~(New 05/20)~~, referenced in paragraph (1) of subsection (a) of Section  
23 12470.

24 ...

25 Note: Authority cited: Sections 19811, 19824, 19826, 19840, 19841 and 19864, Business and Professions Code.  
26 Reference: Sections 19816 and 19951, Business and Professions Code.  
27

#### 28 ~~§ 12474.1. Temporary Reduction in Permanent Tables.~~

29 *NOTE: Section 12474.1 was adopted via the emergency rulemaking, Financial Reporting Requirements*  
30 *and Temporary Table Decreases (CGCC-GCA-2021-02-E, OAL File #2021-02-E), which expired on*  
31 *January 8, 2022, during the initial 45-day comment period for this regulation package. As such, Section*  
32 *12474.1, which is no longer in effect, has been removed from existing regulation.*

1 **CHAPTER 8. BINGO**

2  
3 **ARTICLE 2. MANUFACTURERS, DISTRIBUTORS, AND VENDORS OF BINGO EQUIPMENT,**  
4 **DEVICES, SUPPLIES, AND SERVICES**

5  
6 **§ 12492. Interim Licenses; Initial and Renewal; Conditions.**

7 ...

8 (d) Any person applying for an initial interim license as the owner-licensee, as defined in subsection  
9 (b) of section 12480, of the manufacturer, distributor, or vendor business shall submit the following to the  
10 Bureau:

11 ...

12 (3) If the applicant is an individual residing in the state of California, a completed Request for Live  
13 Scan Service, as referred to in subsection (d) of Section 12112 ~~California Department of Justice Form,~~  
14 ~~BCIA-8016 (Rev. 04/2020)~~, including ATI Number, confirming that the applicant has submitted his or  
15 her fingerprints to the BCII for an automated criminal history check and response. The applicant is  
16 responsible for any fees necessary for the completion of this form.

17 ...

18 (e) Any person applying for an initial interim license as an owner, as defined in subsection (b) of  
19 section 12480, of a manufacturing, distributing, or vending business shall submit the following to the  
20 Bureau:

21 ...

22 (3) If the applicant is an individual residing in the state of California, a completed Request for Live  
23 Scan Service, as referred to in subsection (d) of Section 12112 ~~California Department of Justice Form,~~  
24 ~~BCIA-8016 (Rev. 04/2020)~~, including ATI Number, confirming that the applicant has submitted his or  
25 her fingerprints to the BCII for an automated criminal history check and response. The applicant is  
26 responsible for any fees necessary for the completion of this form.

27 ...

28 Note: Authority cited: Sections 19850.5 and 19850.6, Business and Professions Code; and Sections 326.3 and 326.5,  
29 Penal Code. Reference: Sections 19850.5 and 19850.6, Business and Professions Code; and Sections 326.3 and  
30 326.5, Penal Code.

31  
32 **ARTICLE 10. REMOTE CALLER BINGO INTERIM LICENSES AND INTERIM WORK PERMITS**

33  
34 **§ 12500. Interim Licenses; Initial and Renewal; Conditions.**

35 ...

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1 (c) Any fiduciary or caller applying for an initial interim license shall submit the following to the  
2 Bureau:

3 ...

4 (3) Completed Request for Live Scan Service, as referred to in subsection (d) of Section 12112  
5 ~~California Department of Justice Form, BCIA-8016 (Rev. 04/2020)~~, including ATI Number, confirming  
6 that the applicant has submitted his or her fingerprints to the BCII for an automated criminal history  
7 check and response. The applicant is responsible for any fees necessary for the completion of this form.

8 ...

9 Note: Authority cited: Section 19850.5, Business and Professions Code; and Section 326.3, Penal Code. Reference:  
10 Section 19850.5, Business and Professions Code; and Section 326.3, Penal Code.

11  
12 **§ 12503. Interim Work Permits; Initial and Renewal; Conditions.**

13 ...

14 (b) Any employee applying for a remote caller bingo interim work permit shall submit the following  
15 to the Bureau:

16 ...

17 (3) A completed Request for Live Scan Service, as referred to in subsection (d) of Section 12112  
18 ~~California Department of Justice Form, BCIA-8016 (Rev. 04/2020)~~, including ATI Number, confirming  
19 that the applicant has submitted his or her fingerprints to the BCII for an automated criminal history  
20 check and response. The applicant is responsible for any fees necessary for the completion of this form.

21 ...

22 Note: Authority cited: Sections 19850.5 and 19850.6, Business and Professions Code; and Section 326.3, Penal  
23 Code. Reference: Sections 19850.5 and 19850.6, Business and Professions Code; and Section 326.3, Penal Code.

24 ///

25 ///

# Appendix A

## Chapter 2

# Badge Replacement Request

CGCC-CH2-01 (New 05/20 Rev. 09/24 Rev. 11/21)

Page 1 of 1

BUREAU USE ONLY
BGC ID# _____



**MAIL COMPLETED FORM AND FEE TO:**  
 BUREAU OF GAMBLING CONTROL  
 P.O. Box 168024  
 Sacramento, CA 95816-8024  
 (916) 830-1700

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant. **The A-replacement badge fee of ~~\$25~~ \$8 specified in Title 4, CCR, Section 12090, is required for all license types who require a replacement badge.**

### SECTION 1: PERSONAL INFORMATION

FULL NAME: LAST	FIRST	MIDDLE

### SECTION 2: REPLACEMENT INFORMATION

A) TYPE OF APPROVAL Provide one of the following:	LICENSE NUMBER
<input type="checkbox"/> <a href="#">Cardroom Business License (natural person only)</a>	<input type="checkbox"/> Cardroom Endorsee License (natural person only)
<input type="checkbox"/> <a href="#">TPPPS Business License (natural person only)</a>	<input type="checkbox"/> TPPPS Endorsee License (natural person only)
<input type="checkbox"/> Key Employee License	<input type="checkbox"/> Commission Work Permit
<input type="checkbox"/> TPPPS Supervisor License	<input type="checkbox"/> TPPPS Worker License

### B) PURPOSE OF REQUEST

BADGE WAS:

LOST     STOLEN     DAMAGED

CHANGE OF NAME:

FORMER NAME: \_\_\_\_\_

NEW NAME: \_\_\_\_\_

INCLUDE ONE OF THE FOLLOWING:

- COPY OF MARRIAGE CERTIFICATE
- COPY OF COURT DOCUMENT AUTHORIZING LEGAL NAME CHANGE
- CLEAR COPY OF DRIVER'S LICENSE

### SECTION 3: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at \_\_\_\_\_.

City and State

PRINTED NAME	SIGNATURE	DATE (MM/DD/YYYY)

*This form must be signed by the badge recipient.*

# Application for Employee Category License

CGCC-CH2-04 (Rev. 10/24/11/21)

Page 1 of 3

BUREAU USE ONLY
BGC ID# _____



**MAIL COMPLETED FORM AND FEE TO:**  
 BUREAU OF GAMBLING CONTROL  
 P.O. Box 168024  
 Sacramento, CA 95816-8024  
 (916) 830-1700

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply for an Employee Category License.

All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive an Employee Category License is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.

By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, Cal. Code Regs., Section 12015.

It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's Employee Category License. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

\_\_\_\_\_  
Applicant's Full Name

\_\_\_\_\_  
Associated Owner Category Licensee

\_\_\_\_\_  
Date of Photograph

**Affix a passport quality  
 photography taken  
 within the last 30  
 calendar days here.**

**PLEASE PRINT NAME  
 ON BACK OF  
 PHOTOGRAPH**

SECTION 1: PERSONAL INFORMATION		
FULL NAME: LAST	FIRST	MIDDLE

SECTION 2: APPLICATION
------------------------

A) TYPE OF APPLICATION (CHECK APPROPRIATE BOX)
--

<input type="checkbox"/> KEY EMPLOYEE LICENSE	<input type="checkbox"/> TPPPS SUPERVISOR LICENSE
<input type="checkbox"/> COMMISSION WORK PERMIT	<input type="checkbox"/> TPPPS WORKER LICENSE

B) SELECT IF THIS IS AN APPLICATION FOR AN INITIAL OR RENEWAL LICENSE (CHECK APPROPRIATE BOX)
---

<input type="checkbox"/> <b><u>INITIAL APPLICATION</u></b> <u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u> <ul style="list-style-type: none"> <li><del>• Key Employee License or TPPPS Supervisor License, an application fee of \$750 \$164</del></li> <li><del>• TPPPS Worker License, an application fee of \$500 \$164</del></li> <li><del>• Commission Work Permit, an application fee of \$250 \$164</del></li> <li>• Application fee required in Title 4, CCR, Section 12090</li> </ul> <input type="checkbox"/> <b><u>ADDITIONAL REQUEST FOR A TEMPORARY EMPLOYEE CATEGORY LICENSE/COMMISSION WORK PERMIT – (AS APPLICABLE)</u></b> <u>MUST INCLUDE THE FOLLOWING:</u> <ul style="list-style-type: none"> <li>• Include a additional temporary license fee of <del>\$25 \$4</del> required in Title 4, CCR, Section 12090</li> </ul>	<input type="checkbox"/> <b><u>RENEWAL APPLICATION</u></b> <u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u> <ul style="list-style-type: none"> <li><del>• Key Employee License or TPPPS Supervisor License, an application fee of \$750 \$164</del></li> <li><del>• TPPPS Worker License, an application fee of \$500 \$164</del></li> <li><del>• Commission Work Permit, an application fee of \$250 \$164</del></li> <li>• Application fee required in Title 4, CCR, Section 12090</li> </ul>
---	--

**NOTE: INITIAL APPLICANTS DO NOT COMPLETE SECTION 3.**

C) JOB INFORMATION
--------------------

JOB TITLE
JOB DESCRIPTION

SECTION 3: RENEWAL INFORMATION
--------------------------------

**Complete this section only for a renewal application. If you answer “YES” to any of the questions below, please provide a detailed explanation for each item marked “YES” on a separate sheet of paper and attach to the application.**

ALL APPLICANTS	
1. Have you been named in any administrative action affecting any license certification since last filing a license or Commission work permit application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
2. Have you been convicted of any crime (misdemeanor or felony) since last filing a license or Commission work permit application? Note: It is your responsibility to verify the circumstances and status of all crimes and you should err on the side of disclosure as failing to disclose a conviction can weigh against your application being approved.	<input type="checkbox"/> YES <input type="checkbox"/> NO
KEY EMPLOYEE OR TPPPS SUPERVISOR	
3. Have you been a party to any civil litigation since last filing a license or Commission work permit application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
4. Have you acquired or increased your financial interest in a business that conducts lawful gambling outside the State since last filing a license or Commission work permit application?	<input type="checkbox"/> YES <input type="checkbox"/> NO
5. Have you entered into any new agreements since last applying for a license? If yes, attach a list of agreements including the amount and all contracting parties.	<input type="checkbox"/> YES <input type="checkbox"/> NO

#### SECTION 4: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS **MUST** BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND COMPLETED AND RECEIVED BY THE STATE. MARK THE BOX NEXT TO EACH ATTACHED ITEM.

- COMPLETED REQUEST FOR LIVE SCAN SERVICE (BCIA 8016), INCLUDING THE ATI NUMBER
- AUTHORIZATION TO RELEASE INFORMATION, CGCC-CH2-13 – **PROVIDE ORIGINAL**
- INITIAL APPLICANTS MUST ALSO ATTACH A COMPLETED SUPPLEMENTAL BACKGROUND INFORMATION FORM, AS INDICATED BELOW:  
Key Employee License and TPPPS Supervisor License: Key Employee or TPPPS Supervisor: Supplemental Information, CGCC-CH2-08  
Commission Work Permit and TPPPS Worker License: Commission Work Permit or TPPPS Worker: Supplemental Information, CGCC-CH2-10

#### SECTION 5: DECLARATION

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at \_\_\_\_\_.

City and State

PRINTED NAME

SIGNATURE

DATE (MM/DD/YYYY)

*This form must be signed by the applicant.*



# Application for Owner Category License

CGCC-CH2-05 (Rev. 10/24/11/21)

Page 1 of 4

<b>BUREAU USE ONLY</b> BGC ID# _____
---



**MAIL COMPLETED FORM AND FEE/DEPOSIT TO:**  
 BUREAU OF GAMBLING CONTROL  
 P.O. Box 168024  
 Sacramento, CA 95816-8024  
 (916) 830-1700

## PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is used to provide information for individuals required to apply as an Owner Category Licensee as defined by the Gambling Control Act (Act) and/or implementing administrative regulations, as applicable.

All responses must be truthful and complete. All responses are subject to verification and will be used to determine suitability under gambling laws and regulations. Any misrepresentation or failure to disclose required information or documentation may constitute cause for denial of the application or discipline of the licensee. The burden of proving his/her qualifications to receive a license is on the applicant.

An applicant may be subject to administrative action for failing to provide all information, documentation, and assurances as required by the Act or requested by the California Gambling Control Commission (Commission) or the Bureau of Gambling Control (Bureau), or failing to reveal any material facts, or providing misleading or untrue information as to a material fact.

By filing an application, an applicant understands that pursuant to Business and Professions Code section 19828, the Bureau or Commission may make public any communication or publication from, or concerning an applicant's application or corresponding background investigation. By submitting this application, an applicant accepts any risks of adverse action, financial loss, or public notice which may result from any Commission or Bureau action taken with respect to the application, as the action is absolutely privileged and so shall not form a basis for imposing liability for defamation or constitute a ground for recovery in any civil action consistent with Business and Professions Code section 19828.

An applicant may request an application be withdrawn pursuant to Title 4, California Code of Regulations, Section 12015.

It is the responsibility of each applicant to obtain copies of, and be familiar with, the laws and regulations governing the applicant's license. As an applicant, it is your responsibility to ensure that you thoroughly understand the questions in this application. If you do not understand any question(s), it is your responsibility to obtain appropriate, competent assistance in order to fully and accurately complete the application.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

\_\_\_\_\_  
 Applicant's Full Name

\_\_\_\_\_  
 Associated Owner Category Licensee

\_\_\_\_\_  
 Date of Photograph

**Affix a passport quality  
 photography taken  
 within the last 30  
 calendar days here.**

**PLEASE PRINT NAME  
 ON BACK OF  
 PHOTOGRAPH**

## SECTION 1: APPLICATION

### A) TYPE OF APPLICATION (CHECK APPROPRIATE BOX)

- |   |  |
|---|--|
| <input type="checkbox"/> <b>CARDROOM BUSINESS LICENSE</b><br>The sole proprietor, LLC, corporation, partnership, trust, or business entity that operates a gambling establishment   | <input type="checkbox"/> <b>TPPPS BUSINESS LICENSE</b><br>The sole proprietor, LLC, corporation, partnership, trust, or other business entity that proposes to provide third-party proposition services as an independent contractor in a gambling establishment.  |
| <input type="checkbox"/> <b>CARDROOM ENDORSEE LICENSE</b><br>An endorsed licensee is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source. | <input type="checkbox"/> <b>TPPPS ENDORSEE LICENSE</b><br>An <del>owner</del> <b>endorsed licensee</b> is any other type not covered above, such as: an officer in a corporation, a shareholder, a limited partner in a partnership, any person who receives any percentage share of the revenues earned, or any funding source. |

### B) SELECT IF THIS IS AN APPLICATION FOR AN INITIAL OR RENEWAL LICENSE (CHECK APPROPRIATE BOX)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> <b><u>INITIAL APPLICATION</u></b><br><br><b><u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u></b> <ul style="list-style-type: none"> <li>• <del>\$1000</del> <del>\$164</del> Application Fee <a href="#">required in Title 4, CCR, Section 12090</a></li> <li>• <u>Cardroom business licensee</u>: an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951, unless the application is due to a change in the ownership structure of a currently licensed gambling establishment</li> <li>• <u>TPPPS business licensee: the amount specified in Title 4, CCR, Section 12252</u></li> <li>• <u>A background investigation deposit required in Title 11, CCR, Section 2037</u></li> </ul> | <input type="checkbox"/> <b><u>INITIAL APPLICATION WITH REQUEST FOR TEMPORARY LICENSE</u></b><br><br><b><u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u></b> <ul style="list-style-type: none"> <li>• <del>\$1000</del> <del>\$164</del> Application Fee <a href="#">required in Title 4, CCR, Section 12090</a></li> <li>• <u>Additional Application Fee for a Temporary Owner Category License required in Title 4, CCR, Section 12090</u></li> <li>• <u>Cardroom business licensee</u>: an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951, unless the application is due to a change in the ownership structure of a currently licensed gambling establishment</li> <li>• <u>TPPPS business licensee: the amount specified in Title 4, CCR, Section 12252</u></li> <li>• <u>A background investigation deposit required in Title 11, CCR, Section 2037</u></li> <li>• <del>Include additional temporary license fee of \$25.</del></li> </ul> | <input type="checkbox"/> <b><u>RENEWAL APPLICATION</u></b><br><br><b><u>MUST INCLUDE THE FOLLOWING (AS APPLICABLE):</u></b> <ul style="list-style-type: none"> <li>• <del>\$1000</del> <del>\$164</del> Application Fee <a href="#">required in Title 4, CCR, Section 12090</a></li> <li>• <del>Cardroom business licensee or Cardroom endorsee licensee, a</del> <u>delinquency fee of \$492 in the amount specified in Section 12090</u>, if applicable</li> <li>• <u>Cardroom business licensee: an amount determined by the schedule in subdivision (c) of Business and Profession Code section 19951, unless the application is due to a change in the ownership structure of a currently licensed gambling establishment</u></li> <li>• <u>TPPPS business licensee: the amount specified in Title 4, CCR, Section 12252</u></li> <li>• <del>Cardroom business licensee, a</del> <u>background investigation deposit required in Title 11, Cal. Code Regs. CCR, Section 2037</u></li> </ul> |
|---|--|--|

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> <del><b>BADGE REPLACEMENT REQUEST (AS NEEDED) REQUIRED</b></del><br><ul style="list-style-type: none"> <li>• <del>\$25-\$8 Application Fee</del></li> </ul> | <input type="checkbox"/> <del><b>BADGE REQUIRED</b></del><br><ul style="list-style-type: none"> <li>• <del>\$25 Application Fee</del></li> </ul> | <input type="checkbox"/> <del><b>BADGE REQUIRED</b></del><br><ul style="list-style-type: none"> <li>• <del>\$25 Application Fee</del></li> </ul> |
|--|--|--|
- ALL INITIAL OR RENEWAL OWNER CATEGORY LICENSE APPLICANTS: Check this box ONLY IF you need to be issued a badge upon approval of your application.**

**NOTE: INITIAL APPLICANTS AND INITIAL APPLICANTS WITH REQUEST FOR TEMPORARY LICENSE DO NOT COMPLETE SECTION 2.**

## SECTION 2: RENEWAL INFORMATION

Complete this section only for a renewal application. If you answer "YES" to any of the questions below, please provide a detailed explanation for each item marked "YES" on a separate sheet of paper and attach to the application.

<b><u>ALL APPLICANTS</u></b>		
1. Have you been a party to any civil litigation since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
2. Have you been named in any administrative action affecting any license certification since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
3. Have you been convicted of any crime (misdemeanor or felony) since last filing a license or Commission work permit application? Note: It is your responsibility to verify the circumstances and status of all crimes and you should err on the side of disclosure as failing to disclose a conviction can weigh against your application being approved.	<input type="checkbox"/> YES	<input type="checkbox"/> No
4. Have you acquired or increased your financial interest in a business that conducts lawful gambling outside the State since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
5. Have you transferred any ownership interest to any individual or into a Trust since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
6. Do you have a financial interest in the cannabis industry? If yes, answer question 7.	<input type="checkbox"/> YES	<input type="checkbox"/> No
7. If the answer to Question 6 was yes, do you currently have or do you intend to acquire a license or permit in the cannabis industry?	<input type="checkbox"/> YES	<input type="checkbox"/> No
<b><u>CARDROOM BUSINESS LICENSEE OR TPPPS BUSINESS LICENSEE</u></b>		
8. Have there been any changes affecting ownership or controlling interest in this business since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No
9. Have there been any changes affecting ownership or controlling interest in any entity that is endorsed upon the license since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> No

10. Has there been any newly acquired or increase to any financial interest in a business that conducts lawful gambling outside the State since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
<b>CARDROOM BUSINESS LICENSEE</b>		
11. Has there been any change to the terms (financial or otherwise) of the business' lease or a change of landlord since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
<b>TRUST</b>		
12. Has there been any amendment to any trust documents or any changes to a beneficiary, trustee, or trust asset since last filing a license application?	<input type="checkbox"/> YES	<input type="checkbox"/> NO

**SECTION 3: CARDROOM BUSINESS LICENSE OPERATIONS**  
 Complete this section only for a cardroom business licensee.

<input type="checkbox"/> GAMING HOURS 24 HOURS/365 DAYS OR:	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
OPENING TIME							
CLOSING TIME							
<input type="checkbox"/> BUSINESS OFFICE HOURS SAME AS GAMING HOURS OR:	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
OPENING TIME							
CLOSING TIME							

NUMBER OF PERMANENT TABLES OPERATING OR TO BE OPERATED IN THE GAMBLING ESTABLISHMENT:

NAME OF PROPOSED GAMES

**INDICATE ENDORSED OWNERS**  
 Identify all individual officers (President, Secretary, Treasurer, and Chief Financial Officer), directors, shareholders, partners, members, etc. of the entity. For Trusts, identify the Trustor and any Trustees. For officers and directors of corporations with no ownership interest, enter 0% in the ownership column. If a section does not apply, write "N/A" (not applicable). If additional space is needed, please use separate sheets of paper.

Entity /Individual's Name	Title	Ownership /Membership Interest Percentage	Compensation Arrangement (salary, hourly wage, incentives, bonuses, etc.)
		%	
		%	
		%	
		%	
		%	

**SECTION 4: ADDITIONAL REQUIRED ITEMS**

THE FOLLOWING ITEMS **MUST** BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF YOUR APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (a), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND RECEIVED BY THE STATE.  
 MARK THE BOX NEXT TO EACH ATTACHED ITEM.

<input type="checkbox"/>	COMPLETED REQUEST FOR LIVE SCAN SERVICE (BCIA 8016), INCLUDING THE ATI NUMBER
<input type="checkbox"/>	AUTHORIZATION TO RELEASE INFORMATION, CGCC-CH2-13 – <b>PROVIDE ORIGINAL</b>

NOTE: INITIAL APPLICANTS MUST ALSO ATTACH A COMPLETED SUPPLEMENTAL BACKGROUND INFORMATION FORM, AS INDICATED BELOW:

Cardroom business licensee or TPPPS business licensee: Business Entity: Supplemental Information, CGCC-CH2-06 in addition to any other form required below

Individual Applicants: Individual Owner/Principal: Supplemental Information, CGCC-CH2-07

Entity Applicants: Business Entity: Supplemental Information, CGCC-CH2-06

\*Trust Applicants: Trust: Supplemental Information, CGCC-CH2-09

\*Current beneficiaries do not need to submit an application if the beneficiary is less than 21 years of age. Contingent beneficiaries do not need to submit an application if benefits are contingent upon a specific future event or circumstance.

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

**SECTION 5: DECLARATION**

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at \_\_\_\_\_.

City and State

PRINTED NAME

SIGNATURE

DATE (MM/DD/YYYY)

*This form must be signed by the appropriate person identified below:*

- *If applicant is a corporation, LLC, or joint venture then by an authorized officer.*
- *If applicant is a general partnership or limited partnership then by an authorized partner.*
- *If applicant is a sole proprietor then by the owner.*
- *If applicant is a trust then by an authorized trustor or trustee.*
- *If applicant is a natural person then by the applicant~~s~~.*

# Appendix A

## Chapter 3

**Application for Playing Book Approval**

CGCC-CH3-01 (~~New 05/20~~ ~~Rev. 09/24~~ [Rev. 11/21](#))

Page 1 of 2

BUREAU USE ONLY
BGC ID# _____



**MAIL COMPLETED FORM AND FEE TO:**

BUREAU OF GAMBLING CONTROL  
 P.O. Box 168024  
 Sacramento, CA 95816-8024  
 (916) 830-1700

**COMMERCIAL/EXPRESS DELIVERIES ONLY**

BUREAU OF GAMBLING CONTROL  
 ATTN: THIRD-PARTY PROVIDER UNIT  
 2450 Del Paso Road, Suite 100  
 Sacramento, CA 95834

**PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM**

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section number. Please review California Code of Regulations, Title 4, Sections 12250 to 12290, prior to submitting a playing book for approval. Failure to provide the requested information may result in the abandonment or denial of this application.

**Enclose a check or money order made payable to: Bureau of Gambling Control**

SECTION 1: TYPE OF FORM SUBMITTED (check one box and submit the additional required items)	
<input type="checkbox"/> <b>HARD COPY PLAYING BOOK FORM</b> ( <del>\$75</del> ) COMPLETE SECTIONS 2, 3, 7 & 8	<input type="checkbox"/> <b>ELECTRONIC PLAYING BOOK SYSTEM</b> ( <del>\$1200</del> ) COMPLETE SECTIONS 2, 3, 4, 5, 7 & 8

SECTION 2: TYPE OF APPROVAL REQUESTED (check one box)	
<input type="checkbox"/> <b>INITIAL</b>	<input type="checkbox"/> <b>AMENDMENT</b> IN ADDITION TO THE SECTIONS IDENTIFIED ABOVE, COMPLETE SECTION 6

SECTION 3: TPPPS BUSINESS LICENSEE INFORMATION	
FULL NAME OF TPPPS BUSINESS LICENSEE (BUSINESS ENTITY OR SOLE PROPRIETOR)	LICENSE NUMBER

SECTION 4: INFORMATION TECHNOLOGY TECHNICIAN CONTACT INFORMATION			
<i>(Must be licensed pursuant to CCR §12260(j))</i>			
LAST NAME	FIRST NAME	LICENSE NUMBER	
MAILING ADDRESS (NUMBER/STREET/SUITE)	CITY	STATE	ZIP CODE
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS	

SECTION 5: DESCRIBE HOW THE SIGNATURE WILL BE INDICATED (FOR ELECTRONIC PLAYING BOOK SYSTEMS ONLY)

**SECTION 6: BRIEFLY EXPLAIN THE REASON FOR THE AMENDMENT AND IDENTIFY THE CHANGES**  
*(FOR AMENDMENTS ONLY)*

**SECTION 7: ADDITIONAL REQUIRED ITEMS**

THE FOLLOWING ITEMS MUST BE SUBMITTED WITH THIS COMPLETED FORM, AS APPLICABLE. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

APPLICATION FEE AND DEPOSIT SPECIFIED IN TITLE 4, CCR, SECTION 12090 (AS APPLICABLE):

~~INITIAL HARD COPY PLAYING BOOK FORM: \$75~~

~~INITIAL ELECTRONIC PLAYING BOOK SYSTEM: \$1200~~

~~AMENDMENT TO HARD COPY PLAYING BOOK FORM: \$75~~

~~AMENDMENT TO ELECTRONIC PLAYING BOOK SYSTEM: \$94~~

**FOR HARD COPY PLAYING BOOK FORM:**

SAMPLE PLAYING BOOK FORM

**FOR ELECTRONIC PLAYING BOOK SYSTEM:**

SCREEN SHOTS OR PICTURES OF THE FORM AS IT APPEARS ON THE DEVICE

PRINTED PLAYING BOOK FORM

CERTIFICATION FROM AN INDEPENDENT GAMING TESTING LABORATORY

CHART OF SYSTEM ACCESS

WRITTEN SUMMARY OF THE DESIGN AND OPERATION OF THE SYSTEM

AT LEAST ONE OF THE FOLLOWING:  A VIDEO OF THE SYSTEM IN OPERATION

A PROTOTYPE DEVICE WITH WRITTEN INSTRUCTIONS AND NECESSARY ACCESS

A LIVE DEMONSTRATION OF THE SYSTEM WILL BE PROVIDED

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

**SECTION 8: SIGNATURE**

PRINTED NAME

SIGNATURE

CAPACITY

DATE (MM/DD/YYYY)

*This form must be signed by the appropriate person identified below:*

- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
- *If licensee is a general partnership or limited partnership then by an authorized partner.*
- *If licensee is a sole proprietor then by the owner.*
- *If licensee is a trust then by an authorized trustor or trustee.*
- *If licensee is a natural person then by the licensee.*

**Application for Contract Approval to Provide Proposition Player Services**

CGCC-CH3-02 (New-05/20Rev-09/24Rev. 11/21)

Page 1 of 2

BUREAU USE ONLY
BGC ID# _____



**MAIL COMPLETED FORM AND FEE TO:**  
 BUREAU OF GAMBLING CONTROL  
 P.O. Box 168024  
 Sacramento, CA 95816-8024  
 (916) 830-1700

**COMMERCIAL/EXPRESS DELIVERIES ONLY**  
 BUREAU OF GAMBLING CONTROL  
 ATTN: THIRD-PARTY PROVIDER UNIT  
 2450 Del Paso Road, Suite 100  
 Sacramento, CA 95834

**PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM**

If a question does not apply to you, indicate with "N/A." Failure to provide the requested information may result in the abandonment or denial of this application. Any corrections, changes, or other alterations must be initialed and dated by the signatory.

The TPPPS business licensee is responsible for all costs incurred by the Bureau of Gambling Control (Bureau) while conducting the review. At the conclusion of the review, the signatory will receive an itemized accounting of all costs. Deposits received in excess of the actual costs incurred will be refunded to the applicant. A notice of contract approval will not be issued until all fees have been received.

**Enclose a check or money order made payable to: Bureau of Gambling Control**

**SECTION 1: APPLICATION**

A) INDICATE THE TYPE OF CONTRACT APPROVAL REQUEST (CHECK APPROPRIATE BOX)

<input type="checkbox"/> NEW	<input type="checkbox"/> EXPEDITED NEW	<input type="checkbox"/> AMENDMENT	<input type="checkbox"/> EXTENSION
------------------------------	--	------------------------------------	------------------------------------

B) TPPPS BUSINESS LICENSEE INFORMATION

BUSINESS ENTITY NAME (IF APPLICABLE)		
FULL NAME(IF APPLICABLE): LAST	FIRST	MIDDLE
MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE)		
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS

**SECTION 2: CARDROOM BUSINESS LICENSEE INFORMATION**

BUSINESS ENTITY NAME		
MAILING ADDRESS (STREET, CITY, STATE, ZIP CODE)		
TELEPHONE NUMBER	FAX NUMBER	EMAIL ADDRESS



### SECTION 3: ADDITIONAL REQUIRED ITEMS

THE FOLLOWING ITEMS **MUST** BE SUBMITTED WITH THIS COMPLETED FORM, AS APPLICABLE. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN THE ABANDONMENT OR DENIAL OF YOUR APPLICATION.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

#### FOR A NEW CONTRACT OR THE EXTENSION OF AN EXISTING CONTRACT:

- APPOINTMENT OF DESIGNATED AGENT (~~CGCC-CH1-04 (New-05/20)1~~) – **PROVIDE ORIGINAL**
- EXECUTED COPY OF THE CONTRACT
- NON-REFUNDABLE ~~\$1000\$57~~ APPLICATION FEE [REQUIRED IN TITLE 4, CCR, SECTION 12090](#)
- DEPOSIT AS REQUIRED BY TITLE 11, CCR, SECTION 2037

#### FOR AN EXPEDITED REVIEW OF NEW CONTRACT (IN ADDITION TO THOSE ITEMS REQUIRED OF NEW CONTRACTS):

- EXPEDITED REVIEW PROCESSING FEE ~~OF \$150~~ [REQUIRED IN TITLE 4, CCR, SECTION 12090](#)

#### FOR AN AMENDED CONTRACT:

- APPOINTMENT OF DESIGNATED AGENT (~~CGCC-CH1-04 (New-05/20)1~~) – **PROVIDE ORIGINAL**
- EXECUTED COPY OF THE AMENDED CONTRACT
- NON-REFUNDABLE ~~\$500\$57~~ AMENDMENT APPLICATION FEE [REQUIRED IN TITLE 4, CCR, SECTION 12090](#)
- DEPOSIT AS REQUIRED BY TITLE 11, CCR, SECTION 2037

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

### SECTION 4: SIGNATURE

PRINTED NAME	SIGNATURE	CAPACITY	DATE (MM/DD/YYYY)
--------------	-----------	----------	-------------------

*This form must be signed by the appropriate person identified below:*

- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
- *If licensee is a general partnership or limited partnership then by an authorized partner.*
- *If licensee is a sole proprietor then by the owner.*
- *If licensee is a trust then by an authorized trustor or trustee.*
- *If licensee is a natural person then by the licensee.*

# Appendix A

## Chapter 4



State of California  
 Department of Justice  
 Bureau of Gambling Control  
 (916) 830-1700

## APPLICATION FOR REGISTRATION OF MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT

**BGC-025 (Rev. ~~07/17-09/21~~ 11/21)**

Please read the instructions listed on this form. Type or print legibly in ink an answer for each question. If a question does not apply to you, please indicate with "N/A". **PLEASE SEND COMPLETED APPLICATIONS TO: Bureau of Gambling Control, P.O. Box 168024, Sacramento, CA 95816-8024**

<b>PLEASE TYPE OR PRINT ALL INFORMATION</b>		<input type="checkbox"/> New	<input type="checkbox"/> Renewal										
<b>PART I</b>	Registration Type: <i>Check One</i> _____ Class A (include registration fee with application)      _____ Class B												
	APPLICANT NAME		PHONE NUMBER (    )										
	PRINCIPAL PLACE OF BUSINESS ADDRESS												
	MAILING ADDRESS (IF DIFFERENT THAN BUSINESS ADDRESS)												
	FACSIMILE NUMBER (    )	FEDERAL EMPLOYER IDENTIFICATION NUMBER (if any)	SOCIAL SECURITY NUMBER (if no FEIN)										
	ADDITIONAL GAMBLING EQUIPMENT BUSINESS LOCATION(S) WITHIN CALIFORNIA, INCLUDING STORAGE FACILITIES (if any)												
BUSINESS ACTIVITY STATEMENT WITH REGARDS TO GAMBLING EQUIPMENT (PLEASE MARK EACH BOX THAT APPLIES): <table style="width: 100%; margin-top: 10px;"> <tr> <td><input type="checkbox"/> MANUFACTURING (INCLUDING THE ASSEMBLY, PRODUCTION, PROGRAMMING, OR MODIFICATION OF)</td> <td><input type="checkbox"/> TESTING</td> </tr> <tr> <td><input type="checkbox"/> DISTRIBUTING</td> <td><input type="checkbox"/> REPAIRING</td> </tr> <tr> <td><input type="checkbox"/> SELLING</td> <td><input type="checkbox"/> REFURBISHING</td> </tr> <tr> <td><input type="checkbox"/> LEASING</td> <td><input type="checkbox"/> STORING</td> </tr> <tr> <td><input type="checkbox"/> INSPECTING</td> <td></td> </tr> </table>				<input type="checkbox"/> MANUFACTURING (INCLUDING THE ASSEMBLY, PRODUCTION, PROGRAMMING, OR MODIFICATION OF)	<input type="checkbox"/> TESTING	<input type="checkbox"/> DISTRIBUTING	<input type="checkbox"/> REPAIRING	<input type="checkbox"/> SELLING	<input type="checkbox"/> REFURBISHING	<input type="checkbox"/> LEASING	<input type="checkbox"/> STORING	<input type="checkbox"/> INSPECTING	
<input type="checkbox"/> MANUFACTURING (INCLUDING THE ASSEMBLY, PRODUCTION, PROGRAMMING, OR MODIFICATION OF)	<input type="checkbox"/> TESTING												
<input type="checkbox"/> DISTRIBUTING	<input type="checkbox"/> REPAIRING												
<input type="checkbox"/> SELLING	<input type="checkbox"/> REFURBISHING												
<input type="checkbox"/> LEASING	<input type="checkbox"/> STORING												
<input type="checkbox"/> INSPECTING													
<b>PART II</b>	If more space is necessary or more information included with the registration, please indicate with an X in the "Additional Information Attached" box for that section. Please indicate on attached sheet(s) which information section the attachment is intended to supplement.		Additional Information Attached										
	1. If applicant is a business entity: name, mailing address, phone number, facsimile number (if any), of chief executive officer, or other person designated by the entity to serve as the entity's representative.		<input type="checkbox"/>										
	2. If principal place of business is located outside of California, enclose copy of current gaming licensure in the jurisdiction in which it is located to manufacture or distribute gambling equipment, or a statement that gaming licensure is not required by the jurisdiction in which it is located.		<input type="checkbox"/>										
	3. Statement of registration with United States government as required by Title 15, United States Code, section 1173 or statement that registration is not required.		<input type="checkbox"/>										
	4. Have you designated an agent for service of process with the California Secretary of State? If so, name of designated agent for service of process:		<input type="checkbox"/>										
	5. Please answer both A and B with either yes or no: A. Do you sell, lease, inspect, test, repair, refurbish, or store only slot machines or devices which are "antique slot machines" within the definition of Penal Code 330.7? B. Are you otherwise a manufacturer or distributor as defined in 4 CCR sec. 12300(b)(7)?		Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/>										
6. Within my personal knowledge, the foregoing information is accurate and complete.		<input type="checkbox"/>											

<b>PART III</b>	<b>I declare under penalty of perjury under the laws of the State of California that the foregoing is true, and correct.</b>	
	_____ Signature of Applicant's Designated Representative	_____ Date
	_____ Typed or Printed Name of Applicant's Designated Representative	
	_____ Title	

## REGISTRATION OF MANUFACTURERS OR DISTRIBUTORS OF GAMBLING EQUIPMENT INSTRUCTIONS

The regulations contained in Title 4, California Code of Regulations, sections 12300 through 12310 require manufacturers or distributors of gambling equipment to apply for registration with the Bureau of Gambling Control (Bureau).

Section 12300(b)(5) defines "gambling equipment" as follows:

"any slot machine or device as defined in section 330b or 330.1 of the Penal Code. 'Gambling Equipment' also includes (A) any essential part and (B) any inoperable slot machine or device that is substantially complete and repairable or that can be made operable with the installation of one or more essential parts. Any reference to slot machines or devices has the meaning defined in Penal Code sections 330b and 330.1."

Section 12300(b)(7) defines "manufacturer or distributor" as follows:

"any person that manufactures, including the assembly, production, programming, or modification of, distributes, sells, leases, inspects, tests, repairs, refurbishes, or stores gambling equipment in this state or for use in this state. Manufacturer or distributor includes, in addition to in-state manufacturers and distributors, persons performing these functions in a location outside of this state with respect to gambling equipment intended for operation in this state."

The application form requires the following information:

1. Registration type: Indicate Class A or Class B. [Section 12300(b)(2).]
  - a. Class B registration applies to any manufacturer or distributor that has no place of business in the State of California and that does not transport gambling equipment to a destination within the State of California, other than transportation of gambling equipment from an out-of-state location to a tribal gaming facility in this state.
  - b. All other registrations are Class A.
2. Name, address of principal place of business (whether located within or outside California), telephone and facsimile numbers, and mailing address (if different from the address of the principal place of business).
3. Federal Employer Identification Number ("FEIN") or Social Security Number, if no FEIN.  
*If you do not have an "FEIN" number, disclosure of your social security number is mandatory. Section 19841(a) of the Business and Professions Code authorizes collection of your social security number. If you fail to disclose your social security number, your application will not be processed. Your social security number will be used exclusively for identification purposes or for purposes of compliance with any judgment or order for family support in accordance with Section 17520 of the Family Code.*

*Effective July 1, 2012, the Commission is required to deny an application and to suspend the license/registration/permit/ approval of any applicant or licensee who has outstanding state tax obligations and appears on either the Franchise Tax Board's or Board of Equalization's certified list of the top 500 tax delinquencies over \$100,000 (Revenue and Taxation Code section 494.5).*
4. Gambling equipment business location(s) within California, including storage facilities (if applicable).

5. Part I. Business Activity Statement - A description of the types of gambling equipment businesses conducted by the applicant: manufacturing (i.e., assembly, production, programming, or modification), distributing, selling, leasing, inspecting, repairing, or storing. Indicate all categories that apply.
6. If the applicant is a business entity, the name, mailing address, voice telephone number, and facsimile telephone number (if any) of the chief executive officer, or other person designated by the entity to serve as the entity's representative.
7. If the applicant's principal place of business is located outside of this state, provide a copy or other evidence of current gaming licensure in the jurisdiction in which the applicant is located to manufacture or distribute gambling equipment, or submit a statement that gaming licensure is not required by the jurisdiction in which the applicant is located.
8. A copy of the applicant's current registration with the United States Attorney General pursuant to the Gambling Devices Act of 1962 (the Johnson Act), Title 15 United States Code section 1173, if registered. If not registered, include a statement that the applicant is not required to register under the Gambling Devices Act of 1962, Title 15 United States Code section 1173.
9. If an agent for service of process has been designated with the California Secretary of State, indicate the name of that designated agent. [California Corporations Code section 1505.] (An agent for service of process is a person authorized to receive the summons used to initiate a legal action).
10. Signature of the applicant's designated representative. (This individual would be the person designated to represent the entity for the purpose of applying for registration.)
11. Except as noted below, ~~a the~~ non-refundable ~~\$500-\$32~~ application fee for Class A registration required in Title 4, CCR, Section 12090. ~~A The~~ nonrefundable application fee ~~of forty dollars (\$40) thirty two (\$32)~~ required in Title 4, CCR, Section 12090, shall be submitted by any manufacturer or distributor applying for Class A registration that sells, leases, inspects, tests, repairs, refurbishes, or stores ONLY slot machines or devices which are "antique slot machines" within the meaning of Penal Code section 330.7, provided that this provision does not apply to a person that is otherwise a manufacturer or distributor. (Section 12301(b)(10)(B).)

The information can be *mailed* to:

For Regular Mail Delivery:

**Bureau of Gambling Control  
P.O. Box 168024  
Sacramento, CA 95816-8024**

For Commercial/Personal Delivery:

**Bureau of Gambling Control  
2450 Del Paso Road, Suite 100  
Sacramento, CA 95834**

***The Commission strongly encourages all manufacturers and distributors to read the regulations published in the California Code of Regulations: Title 4, sections 12300 through 12310. ~~The text of the regulations may also be found on the Commission's website: [www.cgcc.ca.gov](http://www.cgcc.ca.gov), under "Laws and Regulations."~~***

# Appendix A

## Chapter 7

Notice of Relocation

CGCC-CH7-02 (New 05/20 Rev. 11/21)

Page 1 of 2

BUREAU USE ONLY
BGC ID#



MAIL COMPLETED FORM TO:
BUREAU OF GAMBLING CONTROL
P.O. Box 168024
Sacramento, CA 95816-8024
(916) 830-1700

PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

This form is for notifying the Bureau of Gambling Control (Bureau) of a change in the physical location of a gambling establishment. This form must be submitted at least 90 days prior to the commencement of gambling operations at the new location of the gambling establishment. Failure to do so may result in disciplinary action.

All information must be typed or printed legibly in blue or black ink. Any questions that do not apply should be indicated with "N/A" (Not Applicable). If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the applicant.

SECTION 1: INFORMATION
NAME OF CARDROOM BUSINESS LICENSEE LICENSE NUMBER
NAME OF GAMBLING ESTABLISHMENT
CURRENT RESIDENCE (STREET, CITY, STATE, ZIP CODE)
CURRENT PRIMARY TELEPHONE NUMBER CURRENT FAX NUMBER
NEW RESIDENCE (STREET, CITY, STATE, ZIP CODE)
NEW PRIMARY TELEPHONE NUMBER NEW FAX NUMBER
LOCAL JURISDICTION DATE OF COMMENCEMENT OF OPERATIONS IN NEW LOCATION
THE NEW ADDRESS OF THE GAMBLING ESTABLISHMENT:
[ ] IS NOT WITHIN 1000 FEET OF THE BOUNDARY LINE OF THE LOCAL JURISDICTION (COMPLETE SECTIONS 2 AND 3)
[ ] IS WITHIN 1000 FEET OF THE BOUNDARY LINE OF THE LOCAL JURISDICTION (COMPLETE SECTIONS 2, 3, AND 4)

SECTION 2: REQUIRED DOCUMENTATION
A. THE FOLLOWING INFORMATION MUST BE SUBMITTED TO THE BUREAU WITH THIS FORM:
[ ] A DRAFT FLOOR PLAN OF THE PROPOSED GAMBLING ESTABLISHMENT DEPICTING AT A MINIMUM, THE LOCATION OF THE MAIN CAGE, THE COUNT ROOM, THE SURVEILLANCE ROOM, AND THE GAMING AREA(S).
B. THE FOLLOWING INFORMATION MUST BE SUBMITTED TO THE BUREAU 30 DAYS PRIOR THE BUREAU'S SITE VISIT:
[ ] THE FULLY EXECUTED LEASE AGREEMENT OR EVIDENCE OF THE OWNER CATEGORY LICENSEE'S OWNERSHIP OF THE BUILDING.
[ ] A COPY OF THE OWNER CATEGORY LICENSEE'S FIRE SAFETY AND EVACUATION PLAN FOR THE NEW LOCATION, IN COMPLIANCE WITH CALIFORNIA CODE OF REGULATIONS, TITLE 4, SECTION 12370.
[ ] A COPY OF THE OWNER CATEGORY LICENSEE'S SECURITY AND SURVEILLANCE PLAN FOR THE NEW LOCATION, IN COMPLIANCE WITH CALIFORNIA CODE OF REGULATIONS, TITLE 4, SECTION 12372.

**Notice of Relocation**

**C. THE FOLLOWING INFORMATION MUST BE SUBMITTED TO THE BUREAU WITH THE INFORMATION IN ITEM B, IF AVAILABLE, OR UPON AVAILABILITY AND PRIOR TO THE COMMENCEMENT OF GAMBLING OPERATIONS:**

ALL REQUIRED APPROVALS, LICENSES, AND PERMITS BY ANY APPLICABLE LOCAL JURISDICTIONAL ENTITY (E.G. LOCAL BUSINESS LICENSE, CONDITIONAL USE PERMITS, LOCAL GAMING LICENSES, OCCUPANCY PERMITS, ZONING VARIANCES, ETC.).

**D. THE FOLLOWING INFORMATION MUST BE SUBMITTED TO THE BUREAU PRIOR TO THE COMMENCEMENT OF THE RELATED ACTIVITY:**

ANY REQUIRED APPROVALS, LICENSES, AND PERMITS BY ANY APPLICABLE STATE OR FEDERAL AGENCY (E.G., LIQUOR LICENSES, CHECK CASHING PERMITS, ETC.).

**SECTION 3: NEIGHBORING JURISDICTION DOCUMENTATION**

I HAVE ATTACHED DOCUMENTATION FROM THE NEIGHBORING JURISDICTION PURSUANT TO TITLE 4, CCR., SECTION 12364(B)(2)(A).

THE APPROPRIATE INDIVIDUAL HAS SIGNED BELOW CONFIRMING NO OBJECTIONS TO THE PROPOSED LOCATION PURSUANT TO TITLE 4, CCR., SECTION 12364(B)(2)(A).

A NOTICE HAS BEEN PROVIDED TO THE NEIGHBORING JURISDICTION PURSUANT TO TITLE 4, CCR., SECTION 12364(B)(2)(B). A COPY OF THE NOTICE AND PROOF OF RECEIPT TO THE NEIGHBORING JURISDICTION IS ATTACHED TO THIS APPLICATION.

THE RELOCATION IS EXEMPT PURSUANT TO TITLE 4, CCR., SECTION 12364(B)(2)(C).

PRINTED NAME	SIGNATURE	CAPACITY	DATE (MM/DD/YYYY)
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**IF THE NEW LOCATION IS WITHIN 1000 FEET OF MULTIPLE BOUNDARY LINES, ADDITIONAL COPIES OF THIS PAGE MAY BE ATTACHED FOR EACH ADDITIONAL NEIGHBORING JURISDICTION.**

**SECTION 4: ADDITIONAL REQUIRED ITEMS**

THE FOLLOWING ITEMS MUST BE SUBMITTED, AS APPLICABLE, WITH THIS COMPLETED FORM. PROVIDE COPIES OF DOCUMENTS UNLESS OTHERWISE STATED. ONLY DOCUMENTS THAT ARE DATED AND SIGNED BY THE APPLICANT AND/OR SPOUSE, AS APPLICABLE, WILL BE ACCEPTED. FAILURE TO PROVIDE REQUIRED ITEMS MAY RESULT IN DENIAL OF THE APPLICATION. PURSUANT TO BUSINESS AND PROFESSIONS CODE SECTION 19868, SUBDIVISION (A), THE APPLICATION PACKAGE WILL NOT BE DEEMED COMPLETE UNTIL ALL REQUIRED FORMS, DOCUMENTATION, AND FEES HAVE BEEN COMPLETED AND RECEIVED BY THE STATE.

MARK THE BOX NEXT TO EACH ATTACHED ITEM.

ANY DOCUMENTS REQUIRED BY SECTION 2

ANY DOCUMENTS REQUIRED BY SECTION 3

PRIOR TO CONDUCTING ITS SITE VISIT PURSUANT TO SECTION 12364(D), THE BUREAU WILL REQUEST PAYMENT OF ~~A-THE~~ DEPOSIT ~~OF \$600 AS AMOUNT PROVIDED~~ REQUIRED IN TITLE 11, CCR, SECTION 2037 ~~(A)(1)(F)~~

ADDITIONAL DOCUMENTATION MAY BE REQUIRED BY THE BUREAU OF GAMBLING CONTROL.

**SECTION 5: DECLARATION**

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at \_\_\_\_\_.

City and State

PRINTED NAME	SIGNATURE	CAPACITY	DATE (MM/DD/YYYY)
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*This form must be signed by the appropriate person identified below:*

- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
- *If licensee is a general partnership or limited partnership then by an authorized partner.*
- *If licensee is a sole proprietor then by the owner.*
- *If licensee is a trust then by an authorized trustor or trustee.*
- *If licensee is a natural person then by the licensee.*



# Cardroom Business License: Gaming Tables

CGCC-CH7-07 (New 05/20 Rev. 11/21)

Page 1 of 2

BUREAU USE ONLY
BGC ID# _____



**MAIL COMPLETED FORM AND FEE/DEPOSIT TO:**  
 BUREAU OF GAMBLING CONTROL  
 P.O. Box 168024  
 Sacramento, CA 95816-8024  
 (916) 830-1700

### PLEASE READ THE FOLLOWING INFORMATION CAREFULLY BEFORE YOU COMPLETE THIS FORM

All information must be typed or printed legibly in blue or black ink. If the space available is insufficient, attach a separate sheet of paper and precede each answer with the applicable section and question number. Any corrections, changes, or other alterations must be initialed and dated by the licensee/applicant.

SECTION 1: CARDROOM BUSINESS LICENSEE INFORMATION	
ENTITY NAME	LICENSE NUMBER

SECTION 2: REQUEST		
Select one and submit any additional required items.		
<input type="checkbox"/> <del>New</del> <u>Additional</u> Permanent Tables  <u>Must include the following:</u> <ul style="list-style-type: none"> <li>• Application fee pursuant to Title <del>11</del> <u>4</u>, CCR, Section <del>12166</del> <u>12090</u></li> <li>• Review Deposit pursuant to Title 11, CCR, Section 2037</li> </ul>	<input type="checkbox"/> <u>Additional</u> Temporary Tables  <u>Must include the following:</u> <ul style="list-style-type: none"> <li>• Application fee pursuant to Title <del>11</del> <u>4</u>, CCR, Section <del>12164</del> <u>12090</u></li> <li>• Temporary table fee pursuant to <del>S</del>-Title <del>11</del> <u>4</u>, CCR, Section <del>12164</del> <u>12470</u></li> <li>• Review Deposit pursuant to Title 11, CCR, Section 2037</li> </ul>	<input type="checkbox"/> Reduce Permanent Tables

SECTION 3: TABLE INFORMATION	
Current number of Authorized Permanent Tables:	
Requested Change or Temporary Tables:	
Total Number of Tables Proposed:	

SECTION 4: REQUEST FOR TEMPORARY TABLES
To be completed only if the request is for temporary tables.
PROPOSED DATE(S) AND TIME(S) OF THE EVENT (IF THE NUMBER OF TABLES VARY ON MULTIPLE DATES, ATTACH A LIST BY DATE)
NAME OF THE EVENT(S)
LOCATION OF THE EVENT(S) WITHIN THE GAMBLING ESTABLISHMENT
APPROVED GAMES OR GAMING ACTIVITIES TO BE OFFERED DURING THE EVENT (INDICATE IF ANY ARE STILL PENDING BUREAU APPROVAL)

**SECTION 5: DECLARATION**

I declare under penalty of perjury under the laws of the State of California that the information in this form is true, accurate, and complete, and that this declaration is executed by me at \_\_\_\_\_.

City and State

PRINTED NAME

SIGNATURE

CAPACITY

DATE (MM/DD/YYYY)

*This form must be signed by the appropriate person identified below:*

- *If licensee is a corporation, LLC, or joint venture then by an authorized officer.*
- *If licensee is a general partnership or limited partnership then by an authorized partner.*
- *If licensee is a sole proprietor then by the owner.*
- *If licensee is a trust then by an authorized trustor or trustee.*
- *If licensee is a natural person then by the licensee.*